

DOCKET NUMBER: 23-cr-433-3CRIMINAL CAUSE FOR pleadingBEFORE CHERYL L. POLLAK, U.S.M.J. DATE: 2/20/2025 TIME IN COURT: 36 minutesDEFENDANT'S NAME: Thomas SmithX Present Not Present Custody Not CustodyDEFENSE COUNSEL: Kathryn Wozencroft Federal Defender CJA X RetainedA.U.S.A.: John O'Donnell Enright, Dana
Rehnquist

PRETRIAL/PROBATION:

COURT REPORTER X ESR OPERATOR Kathryn Arnett LOG: FTR 9:06-9:42INTERPRETER: NoneLANGUAGE: n/a

<u> </u> Arraignment	<u> </u> Revocation of Probation – non-contested
<u>X</u> Change of Plea Hearing (~Util-Plea Entered)	<u> </u> Revocation of Probation – contested
<u> </u> In Chambers Conference	<u> </u> Sentencing – non-evidentiary
<u> </u> Pre-Trial Conference	<u> </u> Sentencing – contested
<u> </u> Initial Appearance	<u> </u> Revocation of Supervised Rel. – evidentiary
<u> </u> Status Conference	<u> </u> Revocation of Supervised Rel. – non-evidentiary
<u> </u> Telephone Conference	<u> </u> Voir Dire Begun
<u> </u> Jury Selection	<u> </u> Voir Dire Held
<u> </u> Motion Hearing – evidentiary	
<u> </u> Other Hearing:	

X Case calledX Defendant: Sworn Informed of RightsX Defendant consents to have plea taken by a U.S. Magistrate Judge after being advised of the right to have the plea taken before a U.S. District Judge. Waiver of Indictment ExecutedX Defendant Withdraws Not Guilty Plea and Enters Plea of Guilty as Stated on the RecordX Court Finds Factual Basis for the Plea Sentencing Set for:X Based on the proceedings held in open court, it is respectfully recommended that the Court: (1) find that the plea of guilty was made knowingly, and is voluntary and uncoerced; (2) find that there is a factual basis for the plea; and (3) accept the plea. Order of Excludable Delay Entered: From To Order of Temporary Detention Entered**TEXT**

Case called. Defendant Thomas Smith present with Counsel Kathryn Wozencroft. AUSAs John O'Donnell Enright, Dana Rehnquist present for the government. Defendant consented to having plea taken by Magistrate Judge Pollak. Defendant pleads guilty to Counts One and Two of the Indictment (Conspiracy to Commit

Securities Fraud, 18 U.S.C. § 371, and Conspiracy to Commit Wire Fraud, 18 U.S.C. § 1349). Judge Pollak recommends that Judge Komitee accept defendant's plea.

UTILITIES

<u>X</u>	~Util-Plea Entered	<u> </u>	~Util-Add/Terminate Attorneys
<u> </u>	~Util-Exparte Matter	<u> </u>	~Util-Terminate Parties
<u> </u>	~Util-Set/Reset Deadlines	<u> </u>	~Util-Indictment Unsealed
<u> </u>	~Util-Set/Reset Hearings	<u> </u>	~Util-Information Unsealed
<u> </u>	~Util-Set/Reset Deadlines/Hearings	<u> </u>	~Util-Bond Set/Reset
<u> </u>	~Util-Terminate Motions	<u> </u>	~Util-Set/Reset Mot./R&R Deadlines/Hearings