

Scope and Severity

HCFA Final Rule on Survey, Certification and Enforcement (11/94)

| | | | |
|---|--|--|--|
| IMMEDIATE JEOPARDY to resident health or safety | Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2 J | Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2 K | Plan of Correction Required: Category 3 Optional: Category 1 Optional: Category 2 L |
| ACTUAL HARM that is not immediate jeopardy | Plan of Correction Required: Category 2 Optional: Category 1 G | Plan of Correction Required: Category 2 Optional: Category 1 H | Plan of Correction Required: Category 2 Optional: Category 1 Optional: Temporary Management I |
| NO ACTUAL HARM with POTENTIAL FOR MORE THAN MINIMAL HARM that is not immediate jeopardy | Plan of Correction Required: Category 1 Optional: Category 2 D | Plan of Correction Required: Category 1 Optional: Category 2 E | Plan of Correction Required: Category 2 Optional: Category 1 F |
| NO ACTUAL HARM with POTENTIAL FOR MINIMAL HARM | No Plan of Correction No Remedies Commitment to Correct Not on HCFA-2567 A | Plan of Correction B | Plan of Correction C |
| | ISOLATED | PATTERN | WIDESPREAD |

Substandard Quality of Care is defined as any deficiency in §483.13 Resident Behavior and Facility Practices, §483.15 Quality of Life, or in §483.25 Quality of Care that constitutes: Immediate jeopardy to resident health or safety; or, a pattern of or widespread actual harm that is not immediate jeopardy; or, a widespread potential for more than minimal harm that is not immediate jeopardy, with no actual harm.

Substantial Compliance

Remedy Category 1
Directed Plan of Correction
State Monitoring;
and/or
Directed In-Service Training

Remedy Category 2
Denial of Payment for New Admissions;
Denial of Payment for all Individuals;
Imposed by HCFA:
and/or
Civil Money Penalties of \$50 to \$3,000/Day

Remedy Category 3
Temporary Management;
Termination

Optional:
Civil Money Penalties of \$3,050 to \$10,000/Day