

# THE BLACKLIST

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A list of allegations made against 50 companies invested in by the Permanent University Fund, a joint fund between Texas A&M and the University of Texas

## LINKED TO GENOCIDE IN SUDAN

Companies the Texas Comptroller banned the Teacher Retirement System and the Employees Retirement System from investing in due to links to the genocide in Sudan

	Investment amount from FY 2014	Return on Investment FY 2014	Offense
<b>*China Petroleum and Chemical</b> <i>Chemicals</i>	\$530,206	37.4%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*PetroChina</b> <i>Oil and Gas</i>	\$494,858	25.7%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*Petronas Chemicals Group</b> <i>Chemicals</i>	\$169,135	- 4.4%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>Bharat Heavy Electricals</b> <i>Energy</i>	\$143,063	- 45.7%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*Oil and Natural Gas Corporation</b> <i>Oil and Gas</i>	\$106,374	10.3%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*MISC Berhad</b> <i>Shipping</i>	\$55,060	14.2%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>LS Industrial Systems</b> <i>Energy and Power</i>	\$54,177	- 3.9%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*Petronas Gas</b> <i>Oil and Gas</i>	\$42,886	129.5%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>Oil India</b> <i>Oil and Gas</i>	\$37,525	- 11.3%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan
<b>*Petronas Dagangan</b> <i>Oil and Gas</i>	\$22,516	103.6%	Accused by the State of Texas of being "directly involved" in the genocide in Darfur, Sudan

*\*Also accused by the State of Texas of significant dealings with the Government of Iran*

## FOREIGN CORRUPTION VIOLATORS

Companies with allegations of bribery on an international scale, charged by the Securities Exchange Commission

	Location of offense	Investment amount from FY 2014	Return on Investment FY 2014	Offense
<b>Baker Hughes</b> <i>Oil and Gas</i>	Indonesia	\$10,838,416	20.5%	\$75,000 to bribe Indonesian officials to avoid taxes
<b>Halliburton</b> <i>Oil and Gas</i>	Nigeria	\$9,889,249	67.8%	\$5 million of bribes to Nigerian officials for contracts
<b>BHP Billiton PLC</b> <i>Mining</i>	Columbia	\$5,429,446	9.7%	\$3 million to illegally sponsor 236 government officials to attend the Beijing 2008 Olympics
<b>Helmerich &amp; Payne</b> <i>Oil and Gas</i>	Venezuela	\$2,815,177	3.8%	\$185,000 of bribes to Venezuelan officials to win oil contracts
<b>Statoil ASA</b> <i>Oil and Gas</i>	Iran	\$2,666,443	25.9%	\$5.2 million of bribes to Iranian officials to win contracts
<b>Alcoa Inc.</b> <i>Metals</i>	Bahrain	\$1,190,148	35.0%	\$110 million in bribes to Bahraini officials
<b>Tenaris SA</b> <i>Steel</i>	Uzbekistan	\$1,082,281	0.56%	\$5 million to win government contracts
<b>Johnson &amp; Johnson</b> <i>Pharmaceuticals</i>	Greece, Romania, Iraq	\$1,011,641	19.0%	Kickback schemes in Europe and Iraq to illegally obtain contracts business
<b>General Electric Co</b> <i>Multi-industry</i>	China	\$897,843	1.02%	\$3.6 million kickback scheme to Iraqi governmental officials while the country was sanctioned
<b>IBM Corporation</b> <i>Electronics</i>	China, South Korea	\$895,557	23.2%	Contracting a local police force that has killed 16 people
<b>Hewlett Packard</b> <i>Electronics</i>	Russia, Poland, Mexico	\$507,926	1.79%	\$3.6 million in bribes to government officials to win contracts
<b>Siemens AG</b> <i>Multi-industry</i>	Multiple continents	\$507,034	23.2%	\$1.4 billion bribe scheme to government officials in Asia, Africa, Europe and South America
<b>Chevron</b> <i>Oil and Gas</i>	Iraq	\$299,634	2.8%	\$20 million to bribe Iraqi officials for contracts while the government was sanctioned
<b>AON Corporation</b> <i>Insurance</i>	Multiple continents	\$285,410	74.9%	\$3.6 million to win contracts in Asia, the Middle East and South America
<b>Total SA</b> <i>Oil and Gas</i>	Iran	\$257,778	20.2%	\$60 million to Iranian officials to win oil contracts
<b>Magyar Telekom</b> <i>Telecommunications</i>	Macedonia, Montenegro	\$70,721	- 46.1%	\$15 million in bribes to defeat competition and defeat regulations
<b>Pfizer Inc.</b> <i>Pharmaceuticals</i>	Multiple continents	\$12,364	- 2.7%	Bribed foreign officials to influence regulations and drug approvals
<b>ABB Ltd.</b> <i>Power</i>	Iraq	\$3,908	- 14.2%	\$2.7 million in bribes to sanctioned Iraqi officials to win contracts.