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7	CANNABIS CONTROL BOARD
8	[PROPOSED] RULES AND REGULATIONS
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## **CHAPTER 9** 1 **CANNABIS CONTROL BOARD** 2 3 4 Article 1. General **Licensing of Cannabis Establishments** Article 2. 5 **Cannabis Cultivation** Article 3. 6 **Cannabis Product Manufacturing** Article 4. 7 Article 5. **Retail Cannabis Stores** 8 **Cannabis Labeling and Packaging** 9 Article 6. Article 7. **Cannabis Testing** 10 **Enforcement and Penalties** Article 8. 11

1	Article 1
2	General
3	§ 9101. Definitions.
4	As used in these rules and regulations, "Act" means the Guam Cannabis
5	Industry Act of 2019.
6	(a) "Allowable amount" means
7	(1) one (1) ounce or less of cannabis, eight (8) grams or less of
8	cannabis concentrate, or any cannabis-infused products containing
9	eight hundred (800) mg or less of tetrahydrocannabinol;
10	(2)possessing, growing, processing, or transporting no more than six
11	(6) cannabis plants, with three (3) or fewer being mature flowering
12	plants, and possession of the cannabis produced by the plants on
13	the premises where the plants were grown; provided, that the
14	growing takes place in an enclosed, locked place, is not conducted
15	openly or publicly, and is not made available for sale;
16	(3)transferring one (1) ounce or less of cannabis and immature
17	cannabis plants; eight (8) grams or less of cannabis concentrate; or
18	any cannabis-infused products containing eight hundred (800) mg
19	or less of tetrahydrocannabinol to a person who is twenty-one (21)
20	years of age or older without remuneration; [11 GCA Chp 8, §
21	8103. Personal Use of Cannabis.]
22	(b) "Applicant" means a Person that has submitted an application for
23	licensure or registration, or for renewal of licensure or registration, pursuant to
24	these rules that was accepted by the Department for review but has not been
25	approved or denied by the Cannabis Control Board.
26	(c) "Batch" means a specific processed product produced by a
27	cannabis product manufacturing facility that is produced at the same time, in the
28	same facility, using the same method, and the same ingredients or extraction

methods.

- 1 (d) "Business day" means Monday, Tuesday, Wednesday, Thursday, 2 and Friday between 8:00 a.m. to 5:00 p.m., that is not a government of Guam 3 holiday.
- 4 (e) "Board" means the Cannabis Control Board referenced in 11 5 GCA, Chapter 8, § 8109.

- (f) "Cannabis" means all parts of the plant of the genus cannabis, whether growing or not, the seeds thereof, the resin extracted from any part of the plant, and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds, or its resin, including cannabis or marijuana concentrate. Cannabis does not include the mature stalks of the plant, fiber produced from the stalks, oil, or cake made from the seeds of the plant, sterilized seed of the plant which is incapable of germination, or the weight of any other ingredient combined with marijuana to prepare topical or oral administrations, food, drink, or other products.
  - (g) "Cannabis accessories" means any equipment, products, or materials of any kind that are used, intended for use, or designed for use in planting, propagating, cultivating, growing, harvesting, composting, manufacturing, compounding, converting, producing, processing, preparing, testing, analyzing, packaging, repackaging, storing, vaporizing, or containing marijuana, or for ingesting, inhaling, or otherwise introducing marijuana into the human body.
- 22 (h) "Cannabis concentrate" means any cannabis product or subset of cannabis produced by extracting cannabinoids from cannabis.
  - (i) "Cannabis cultivation facility" means an entity licensed to cultivate, prepare, and package cannabis; and to sell cannabis to retail cannabis stores, cannabis product manufacturing facilities, and other licensed cannabis cultivation facilities, but not to consumers.

- 1 (j) "Cannabis establishment" means a cannabis cultivation facility, 2 cannabis testing facility, a cannabis product manufacturing facility, or a retail 3 cannabis store.
  - (k) "Cannabis flower or flower" means the inflorescence(s) of the mature pistillate (female) cannabis plant.

- (l) "Cannabis Identification Card" means the official card issued by the Department to legally permit a responsible official, employee or designated transporter to possess, handle or transport cannabis.
- (m) "Cannabis-infused product" means any product that is comprised of cannabis concentrate or cannabis plant material and other ingredients, and is intended for use or consumption other than by smoking or vaporizing, including ingestible cannabis-infused products, topical cannabis-infused products, transdermal cannabis-infused products, and transmucosal cannabis-infused products.
- (n) "Cannabis product" means a finished product intended for human consumption or use that is comprised partially or completely of cannabis. This term is used generally to refer to one (1) or more of the following: cannabis flower, cannabis concentrates, and cannabis-infused products.
- (o) "Cannabis product category" means a defined group of cannabis products that are in the same form. Cannabis flower, cannabis concentrates, and cannabis-infused products are all cannabis product categories, though the latter category may be further broken down into subcategories such as ingestible cannabis-infused products and non-ingestible cannabis-infused products.
- (p) "Cannabis product manufacturing facility" means an entity licensed to purchase cannabis from licensed cannabis cultivation facilities to manufacture, prepare, and package cannabis products; and to sell cannabis and cannabis products to other cannabis product manufacturing facilities and to retail cannabis stores, but not to consumers. Nothing herein shall be construed

to prohibit a cannabis product manufacturing facility from purchasing cannabis or cannabis products from other cannabis product manufacturing facilities.

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- (q) "Cannabis testing facility" means an entity licensed to analyze and certify the safety and potency of cannabis. Only a licensed cannabis testing facility may collect and transport cannabis or cannabis samples to and from a cannabis testing facility.
- (r) "Canopy" means the surface area utilized to produce mature cannabis plants calculated in square feet and measured using the outside boundaries of any area that includes mature cannabis plants, including all of the space within the boundaries.
- (s) "Cardholder" means a responsible official, designated transporter, or cannabis establishment employee that has been issued and possesses a valid cannabis identification card.
- (t) "Chain of custody" form means a form, approved by the Department, to track the movement of cannabis, cannabis concentrate, cannabis infused product or cannabis products as it is transferred from licensed cannabis establishment to licensed cannabis establishment.
- (u) "Change" or "Amend" means adding or deleting information on an individual's cannabis identification card that does not affect the individual's ability to perform or delegate a specific act or function.
- (v) "Complete Application" means that the application received by the Department contains all of the required information, as determined by the Department to be necessary for processing the application.
- (w) "Consumer" means a person twenty-one (21) years of age or older who purchases cannabis or marijuana products for personal use only, but not for resale to others.
- 27 (x) "Consumption" means the act of ingesting, inhaling, or otherwise 28 introducing cannabis or marijuana into the human body, including through the 29 use of topicals, ointments, or tinctures.

(y) "Crop" means a specific complete harvest of cannabis grown from one (1) or more seeds or cuttings that are planted of the same genetic strain that are planted and grown in the same facility using the same exact methods at the same time.

- (z) "Current photograph" means a picture of an individual, taken no more than sixty (60) calendar days before the submission of the individual's application to the Department.
- (aa) "Denial" means the Board's decision not to issue a cannabis identification card, cannabis establishment license or Permit to Operate to an applicant, because the applicant or the application does not comply with the applicable requirements in these rules and regulations.
- 12 (bb) "Department" means the Guam Department of Revenue and 13 Taxation.
  - (cc) "Designated transporter" means a responsible official or employee of a licensed cannabis establishment who is twenty-one (21) years of age or older. Designated transporters *shall* be designated by the licensed cannabis establishment to possess and transport cannabis; and *shall* apply for and obtain a cannabis identification card.
  - (dd) "Director" means the Director of the Guam Department of Revenue & Taxation.
  - (ee) "Drug free school zone" means any area within one thousand (1,000) feet of a public or private elementary, secondary, or post-secondary educational institution or its accompanying grounds; or within the vehicle of any school bus which transports students while in motion. A drug free school zone shall not include private real property which is not a school or the accompanying grounds of a school.
- 27 (ff) "Edible food product" means a substance, beverage, or ingredient 28 used or intended for use or for sale in whole or in part for human consumption.

(gg) "Emergency" means any situation arising from sudden and
reasonably unforeseeable events beyond the control of the owner or operator of
a cannabis establishment, including force majeure, which situation requires
immediate corrective action to restore normal operation, and that causes a
cannabis establishment to violate these rules and regulations. An emergency
shall not include noncompliance to the extent caused by malfunction of
equipment, lack of preventive maintenance, careless or improper operation, or
human error.

- (hh) "Employee" means any person, including the owner, operator, manager or other person performing any function or services in a licensed cannabis establishment, whether for compensation or otherwise.
- (ii) "Enclosed area" when used in conjunction with "enclosed locked facility" means outdoor space surrounded by solid walls at least ten (10) feet in height, constructed of metal, concrete, or stone, surrounded by concertina wire that prevents any viewing of the cannabis plants, and a solid metal gate at least one (1) inch thick.
- (jj) "Enclosed, locked facility" means an area that is completely enclosed by solid walls at least ten (10) feet in height, constructed of metal, concrete, or stone on all sides or windows exclusive of doors and passage ways and away from public view.
  - (kk) "Felony offense" means:

- (1) A violent crime that was classified as a felony in the jurisdiction where the person was convicted;
- (2) A violation of a state or federal controlled substance law that was classified as a felony in the jurisdiction where the person was convicted, but does not include:
  - A. An offense for which the sentence, including any term of probation, incarceration, or supervised release, was completed ten (10) or more years earlier; or

1	B. An offense involving conduct that would be immune
2	from arrest, prosecution or penalty under the Act
3	except that the conduct occurred before the effective
4	date of the Act or was prosecuted by an authority
5	other than Guam; and
6	C. A crime involving fraud, dishonest dealing or moral
7	turpitude that is or was formerly classified as a felony
8	in the jurisdiction where the person was convicted.
9	(ll) "Finished product" means a product infused with marijuana that is
10	intended for use, ingestion or consumption other than smoking, including but
11	not limited to edible products, ointments, concentrates and tinctures. (A finished
12	product does not mean dried marijuana flowers.)
13	(mm) "Gross weight" means the weight of cannabis, cannabis
14	concentrate, cannabis-infused product or cannabis products that includes the
15	weight of the packaging.
16	(nn) "GCA" means Guam Code Annotated.
17	(00) "Guam residency" means that the applicant shall prove that they
18	are a Guam resident by submitting:
19	(1) A valid Guam mayor's verification; or
20	(2) Guam rental agreement, lease or mortgage with the
21	applicant's name and Guam home address; or
22	(3) Guam utility bills (i.e. power, water, and trash) with the
23	applicant's name and Guam home address.
24	(pp) "Ingestible cannabis-infused product" or "ingestible" means a
25	product that contains cannabis and at least one (1) other ingredient, is intended
26	for use or consumption other than by inhalation, is intended to be taken into the
27	body, and is one (1) of the following:
28	(1) "edible cannabis-infused product" or "edible", which is an
29	ingestible cannabis-infused product that is intended to be

taken by mouth, swallowed, and is primarily absorbed through the gastrointestinal tract. Edible cannabis-infused products may be psychoactive when used as intended. Without limitation, edible cannabis-infused products may be in the form of a food, beverage, capsule, or tablet; or

- (2) "transmucosal cannabis-infused product" or "transmucosal", which is an ingestible cannabis-infused product that is intended to be placed in a body cavity and absorbed through the mucosal lining of that cavity, and may be psychoactive when used as intended. Transmucosal cannabis-infused products include, but are not limited to, cannabis-infused tinctures, anal suppositories, lozenges, and nasal sprays
- (qq) "Licensed cannabis establishment" means any person or association of persons within Guam that the Department determines to be qualified to test, cultivate, manufacture, or sell cannabis pursuant to this Act, and that is licensed by the Board to do so.
  - (1) At least fifty-one percent (51%) of the licensed cannabis establishment shall retain ownership by legal residents of Guam who have maintained continuous legal residential address or addresses on Guam for a period of no less than three (3) years prior to the application for a cannabis license.
- the Board *shall not* issue a license for a cannabis establishment located within a distance of one thousand (1,000) feet from any public or private school and other places or facilities where youth generally congregate, which include childcare centers, public playgrounds, and parks. The measurements *shall* be taken in a straight line from the center of the nearest entrance to the building of such school or place of facility stated herein to the center of the nearest entrance

of the cannabis establishment for which a license is applied. The provisions of this Section *shall not* prohibit the renewal of any valid license previously issued and in effect at the time of a subsequent construction or establishment of a school or place or facility stated herein within five hundred (500) feet of such licensed cannabis establishment, and provided that the premises of such licensed cannabis establishment *shall not* subsequently be added to or enlarged.

- (ss) "Lot" means the flowers from one (1) or more cannabis plants of the same strain and from the same crop, in a quantity that weighs five (5) pounds or less, or the leaves or other plant matter from one or more cannabis plants, other than full female flowers, in a quantity that weighs fifteen (15) pounds or less.
- (tt) "Marijuana" means "cannabis" as defined in Subsection (f) of this Section 11003. For purposes of this Chapter, the terms marijuana and cannabis *shall* carry the same meaning and *shall* be used interchangeably.
- (uu) "Non-ingestible cannabis-infused product" or "non-ingestible" means a product that contains cannabis and at least one (1) other ingredient, is intended for use or consumption other than by inhalation, is intended for external use only, and is one (1) of the following: [Act]
  - (1) "topical cannabis-infused product" or "topical", which is a non-ingestible cannabis-infused product that produces a non-psychoactive effect when used as intended. Topical cannabis-infused products include, but are not limited to, cannabis-infused creams, salves, bath soaks, and lotions; or
  - "transdermal cannabis-infused product" or "transdermal", which is a non-ingestible cannabis-infused product that contains at least one (1) skin-permeation-enhancing ingredient to facilitate absorption through the skin into the bloodstream, and may be psychoactive when used as intended. Transdermal cannabis-infused products include,

1	but are not limited to, cannabis-infused adhesive patches that
2	are applied to the skin surface. [Act]
3	(vv) "Owner" means a person who owns, operates, or controls a
4	cannabis establishment.
5	(ww) "Paraphernalia" means accessories, devices, and other equipment
6	that is necessary or used to assist or facilitate in the consumption of cannabis.
7	(xx) "Pesticide" means any substance or mixture of substances
8	intended for preventing, destroying, repelling or mitigating any pest or any
9	substance or mixture of substances intended for use as a plant regulator,
10	defoliant or desiccant.
11	(yy) "Premises" means a location approved and registered by the
12	Department under these rules and regulations and includes all areas of the
13	business at the registered location, including offices, kitchens, restrooms and
14	storage rooms; also including all public and private areas where individuals are
15	permitted to be present.
16	(zz) "Public Place"
17	(1) "Public place" means any location, facility, or venue that
18	the public is invited or in which the public is permitted, but
19	is not intended for the regular exclusive use of an individual
20	or a specific group of individuals.
21	(2) "Public place" includes, but is not limited to, the following:
22	A. Airports;
23	B. Banks;
24	C. Bars;
25	D. Child care facilities;
26	E. Child care group homes during hours of operation;
27	F. Common areas of apartment buildings, condominiums, or
28	other multi-family housing facilities;
29	G. Educational facilities;

1		H. Entertainment facilities;
2		I. Government of Guam offices, buildings, and properties;
3		J. Health care institutions;
4		K. Hotel and motel common areas;
5		L. Laundromats;
6		M. Libraries;
7		N. Office buildings;
8		O. Parking lots;
9		P. Parks;
10		Q. Public beaches;
11		R. Public transportation facilities;
12		S. Reception areas;
13		T. Restaurants;
14		U. Retail food production or marketing establishments;
15		V. Retail food establishments;
16		W. Retail stores;
17		X. Schools;
18		Y. Shopping malls;
19		Z. Sidewalks;
20		AA. Sports facilities;
21		BB. Theaters; and
22		CC. Waiting rooms.
23	(3)	"Public place" does not include the following:
24		A. Private residences; or
25		B. Hotel and motel rooms rented to guests;
26	(4)	Nothing in this Chapter will be so construed as to prohibit
27		the right of every private employer to designate any place of
28		employment under his control, or any portion thereof as a

1	nonsmoking area, or an area	where cannabis use is
2	prohibited.	
3	3 (aaa) "Quarantine" means that a lot of can	nabis or batch of prepared
4	4 cannabis or cannabis products shall be separated to	from all other inventory of
5	5 cannabis, prepared cannabis and cannabis products.	
6	6 (bbb) "Responsible official" means:	
7	7 (1) A president, vice-president, sec	retary, or treasurer of the
8	8 corporation in charge of a princip	oal business function, or any
9	other person who performs si	milar policy or decision-
10	making functions for the corporat	ions;
11	11 (2) A general partner or sole propriet	orship;
12	12 (3) For a public agency: a principal	executive officer, ranking
13	elected official, or an authorized	representative as approved
14	by the Director. For the pur	poses of these rules and
15	regulations, a principal executive	officer of a federal agency
16	includes the chief executive office	cer, commanding officer, or
17	equivalent rank or position, who	has responsibility for the
18	overall operations of a principal u	unit of the agency;
19	19 (4) A responsible official shall not l	nave been convicted in any
20	state or jurisdiction of the Ur	nited States, including the
21	Commonwealth of the Northern	n Mariana Islands, for the
22	manufacture or delivery of a	controlled substance in
23	Schedule I or Schedule II; and	
24	(5) A responsible official shall	be registered with the
25	Department and hold a cannabis i	dentification card.
26	(ccc) "Retail cannabis store" means an e	ntity licensed to purchase
27	cannabis and to sell cannabis and cannabis produ	cts to consumers. Nothing

herein shall be construed to prohibit a licensed retail cannabis store to purchase,

- sell, or transfer cannabis and cannabis products to another licensed retail cannabis store.
- (ddd) "Revocation" means the Department's decision that an individual's cannabis identification card or a Cannabis Establishments License or Permit to Operate is revoked because the individual or licensed cannabis establishment does not comply with the applicable requirements or violates any condition in the Act or these rules and regulations.
  - (eee) "Solvent-based medical marijuana concentrate" means a cannabis concentrate that was produced by extracting cannabinoids from cannabis through the use of a solvent approved by the DPHSS/DOAG.

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- (fff) "Unrecognizable cannabis" means marijuana or cannabis plant material rendered indistinguishable from any other plant material.
  - (ggg) "Unreasonably impracticable" means that the measures necessary to comply with this Chapter require such a high investment of risk, money, time, or any other resource or asset that the operation of a cannabis establishment is not worthy of being carried out in practice by a reasonably prudent businessperson.
- (hhh) "Usable cannabis" means the dried flowers of the cannabis plant, and any mixture or preparation thereof, but does not include the seeds, stalks, and roots of the plant and does not include the weight of any ingredients combined with cannabis and prepared for consumption as food or drink or prepared as other finished products.
- 23 (iii) "Verification of identity" means proof of identity by submitting the 24 following:
  - (1) Certified copy of birth certificate; and
  - (2) Valid Guam driver's license; or
- 27 (3) Valid Guam identification card; or
- 28 (4) Photograph page in the consumer's U.S. passport; or

1	(5) Photograph page in the consumer's foreign passport, as
2	approved by the Director.
3	(jjj) "Water-based medical marijuana concentrate" means a cannabis
4	concentrate that was produced by extracting cannabinoids from cannabis
5	through the use of only water, ice or dry ice.
6	(kkk) "Weight" means the net weight of cannabis, cannabis concentrate,
7	cannabis-infused product or cannabis products in ounces without any
8	packaging.

1 Article 2

2	Fe	es, Responsible Official, Cannabis Establishment License,			
3		and Permit to Operate			
4	§ 9201.	Fees			
5	§ 9202.	Responsible Official			
6	§ 9203.	Applying for a Cannabis Identification Card by a Responsible			
7		Official or Designated Transporter.			
8	§ 9204.	Denial or Approval of a New Application for a Cannabis			
9		Identification Card for a Responsible Official or Designated			
LO		Transporter.			
L1	§ 9205.	Revoking the Cannabis Identification Card of a Responsible			
L2		Official or Designated Transporter.			
L3	§ 9206.	Changing the Information on a Cannabis Identification Card of a			
L4		Responsible Official or Designated Transporter.			
L5	§ 9207.	Types of Cannabis Establishment Licenses.			
L6	§ 9208.	Requirements for a Cannabis Establishment License.			
L7	§ 9209.	Application Process for a Cannabis Establishment License.			
L8	§ 9210.	Applying for a Cannabis Establishment License.			
L9	§ 9211.	Issuance of a Cannabis Establishment License.			
20	§ 9212.	Permit to Operate a Cannabis Establishment.			
21					
22	§ 9201. Fee	es.			
23	(a)	The following fees are required to apply for the following			
24	<b>Cannabis</b>	Identification Cards:			
25		(1) Responsible Official:			
26		a. New: One Thousand Dollars (\$1,000)			
27		b. Renewal: Seven Hundred Fifty Dollars (\$750)			
8		(2) Designated Transporter:			

1		a.	New: Two Hundred Dollars (\$200)
2		b.	Renewal: One Hundred Seventy Five (\$175)
3	(3)	Canr	nabis Establishment Occupational License: Manager and
4		Emp	loyee
5		a.	New: Fifty Dollars (\$50)
6		b.	Renewal: Twenty Five (\$25)
7	(b) Th	e follo	wing fees are required to apply for the following
8	Cannabis Estab	lishme	nt licenses:
9	(1)	Туре	e I Cultivation Facility License:
LO		a.	Application Fee: Two Thousand Dollars (\$2,000)
l1		b.	New License Fee: Three Thousand Dollars (\$3,000)
L2		c.	*License Renewal Fee: Three Thousand Dollars
L3			(\$3,000)
L4		d.	Permit to Operate Fee: Two Thousand Dollars
L5			(\$2,000) per year
L6	(2)	Туре	e II Cultivation Facility License:
L7		a.	Application Fee: Five Thousand Dollars (\$5,000)
L8		b.	New License Fee: Five Thousand Dollars (\$5,000)
L9		c.	*License Renewal Fee: Seven Thousand Five
20			Hundred Dollars (\$7,500)
21		d.	Permit to Operate Fee: Five Thousand Dollars
22			(\$5,000) per year
23	(3)	Туре	e III Cultivation Facility License:
24		a.	Application Fee: Ten Thousand Dollars (\$10,000)
25		b.	New License Fee: Ten Thousand Dollars (\$10,000)
26		c.	*License Renewal Fee: Fifteen Thousand Dollars
27			(\$15,000)
28		d.	Permit to Operate Fee: Fifteen Thousand Dollars
29			(\$15.000) per year

1		(4)	Type	IV – Micro Cultiva	tion Li	icense:		
2			a.	Application Fee:	Five I	Hundred	Dollars (\$50	0)
3			b.	New License Fee:	Five I	Hundred	Dollars (\$50	0)
4			c.	*License Renewal	Fee:	Six Hur	ndred Dollars	s (\$600)
5			d.	Permit to Operate	Fee:	Six Hur	ndred Dollars	s (\$600)
6		(5)	Cann	abis Product Manuf	acturir	ng Facilit	ty License:	
7			a.	Application Fee:	Five 7	Γhousan	d Dollars (\$5	,000)
8			b.	New License Fee:	Five 7	Γhousand	d Dollars (\$5	,000)
9			c.	*License Renewal	Fee:	Five	Thousand	Dollars
10				(\$5,000)				
11			d.	Permit to Operate	Fee:	Five	Thousand	Dollars
12				(\$5,000) per year				
13		(6)	Cann	abis Testing Facility	y Licer	nse:		
14			a.	Application Fee:	Two	Γhousan	d Dollars (\$2	2,000)
15			b.	New License Fee:	Two	Γhousan	d Dollars (\$2	2,000)
16			c.	*License Renewal	Fee:	Two Th	ousand Doll	ars
17				(\$2,000)				
18			d.	Permit to Operate	Fee:	Two Th	ousand Doll	ars
19				(\$2,000) per year				
20		(7)	Retai	l Cannabis Store Li	cense:			
21			a.	Application Fee:	Five 7	Γhousand	d Dollars (\$5	,000)
22			b.	New License Fee:	Five 7	Γhousand	d Dollars (\$5	,000)
23			c.	*License Renewal	Fee:	Five Th	ousand Dolla	ars
24				(\$5,000)				
25			d.	Permit to Operate	Fee:	Five Th	ousand Dolla	ars
26				(\$5,000) per year				
27	(c)	Addi	tional	Cannabis Identific	cation	Card, L	icense and <b>F</b>	Permit
20	Foos:					•		

1		(1)	Late Fee of Cannabis Establishment License: One Hundred		
2			Dollars (\$100)		
3		(2)	Late Fee of Permit to Operate: One Hundred Dollars (\$100)		
4		(3)	Amendment of Cannabis Establishment License: One		
5			Hundred Dollars (\$100)		
6		(4)	Amendment of Permit to Operate: One Hundred Dollars		
7			(\$100)		
8		(5)	Copy of Cannabis Establishment License: One Hundred		
9			Dollars (\$100)		
10		(6)	Copy of Permit to Operate: One Hundred Dollars (\$100)		
11		(7)	Copy of Cannabis Identification Card: One Hundred Dollars		
12			(\$100)		
13	(d)	All f	ees are non-refundable.		
14					
15	§ 9202. Res	sponsi	ble Official		
16	(a)	The	individual identified in the cannabis establishments by-laws as		
17	the responsible official for the cannabis establishment, who owns, operates, or is				
18	otherwise r	espons	sible for a cultivation facility, product manufacturing facility,		
19	testing facility, or retail store, and who meets the qualifications established in				
20	these rules	and re	gulations and have been approved by the Board, is responsible		
21	for submitti	ing all	required applications, documents, and reports for the cannabis		
22	establishme	ent. Th	is includes applications for a Cannabis Establishment License		
23	and Permit	to Ope	erate.		
24	(b)	The	responsible official is accountable for any intentional or		
25	unintention	nal act	tion of its owners, officers, managers, employees or agents,		
26	who, with	or wit	hout the knowledge of the responsible official, violates the		

When a cannabis establishment is required by these rules and

regulations to provide information, sign documents, or ensure actions are

Act or these rules and regulations.

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1	taken, the individual in subsection (a) shall comply with the requirement on
2	behalf of the cannabis establishment.
3	(d) A mailing address submitted for a responsible official as part of
4	any application for a cannabis establishment shall be located in Guam.
5	
6	§ 9203. Applying for a Cannabis Identification Card by a Responsible
7	Official or Designated Transporter.
8	Cannabis Identification Cards are required for all Responsible Officials
9	and Designated Transporter of a Cannabis Establishment who will be handling
10	or transporting retail cannabis, prepared retail cannabis and retail cannabis
11	products.
12	(a) To apply for a cannabis identification card, a responsible official or
13	designated transporter of a cannabis establishment shall submit in person to the
14	Department the following:
15	1. An application in a form prescribed by the Department that
16	includes:
17	A. The responsible official's or designated transporter's:
18	i. First name; middle name, if applicable; last
19	name; and suffix, if applicable;
20	ii. Date of birth;
21	iii. Guam home and mailing addresses;
22	iv. Email address;
23	v. Job title, duties, and responsibilities;
24	vi. Proof of Guam residency, as defined in the
25	REAL ID Act, that the Responsible Official or
26	Designated Transporter has been living in Guam

submitting the application;

continuously for at least one year (1) prior to

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1			vii. Clearances from the Guam Police Department,
2			and Superior Court;
3		B.	The mailing and physical address of the licensed
4			Cannabis Establishment of the Designated
5			Transporter's place of employment or Responsible
6			Official owns;
7		C.	The phone number of the licensed Cannabis
8			Establishment;
9		D.	Signature of Responsible Official or Designated
10			Transporter and the date Responsible Official or
11			Designated Transporter signed;
12		E.	Proof of U.S. Citizenship.
13	2.	A ve	erification of identity from the Responsible Official or
14		Desi	gnated Transporter of the Cannabis Establishment;
15	3.	The	applicable fees in § 9201 for a Cannabis Identification
16		Card	for a Responsible Official or Designated Transporter.
17			
18	§ 9204. Denial	or A	Approval of a New Application for a Cannabis
19	Identification Ca	rd for	a Responsible Official or Designated Transporter.
20	(a) The	Depar	tment shall verify the information contained in the new
21	application is con	nplete	and shall forward to the Board for review the completed
22	application within	thirty	(30) calendar days of receipt.
23	(b) Deni	al of A	Application:
24	1.	The	Board may deny an application if:
25		A.	The applicant does not provide all the information
26			required and the application is considered insufficient
27			as determined by the Board; or
28		B.	The application or supporting documents are
29			determined by the Board to have been falsified.

1		2.	If the	e application is denied, the Board shall provide a written
2			notif	ication to the applicant of the reason for denial of the
3			appli	cation within ten (10) business days.
4		3.	A po	erson whose application has been denied and given
5			notic	e of the reason for denial shall have ten (10) business
6			days	to appeal or comply. The person, whose application
7			was	denied, can file an appeal with the Board.
8		4.	If the	e denial is upheld on appeal, the person shall not reapply
9			for s	six (6) months from the date of the denial unless
10			other	wise authorized by the Board.
11	(c)	Appr	oval o	f application:
12		1.	If th	e application is approved, the Department shall issue a
13			Canr	nabis Identification Card, within five (5) business days
14			of th	e Board approving the application.
15			A.	The cardholder shall pick up the Cannabis
16				Identification Card in person at the Department.
17			B.	The Cannabis Identification Card shall expire one (1)
18				year from the date of issuance.
19		2.	The	Cannabis Identification Card for a Responsible Official
20			or D	esignated Transporter of a Cannabis Establishment shall
21			conta	ain:
22			A.	The identification number;
23			B.	The full name of the applicant;
24			C.	Date of birth of applicant;
25			D.	The date of issuance and expiration date of the
26				Cannabis Identification Card;
27			E.	The name and physical address of the licensed
28				Cannabis Establishment;

1	F. The name of the Responsible Official of the licensed
2	Cannabis Establishment; and
3	G. The Cannabis Identification Card type.
4	
5	§ 9205. Revoking the Cannabis Identification Card of a Responsible
6	Official or Designated Transporter.
7	(a) The Department may revoke a responsible official's or designated
8	transporter's Cannabis identification card within twenty-four (24) hours upon
9	notification that the responsible official or designated transporter:
10	1. Has violated any provision
11	2. Diverted cannabis to an individual who was not authorized
12	to possess cannabis under the Act and these rules and
13	regulations;
14	3. Had entered a plea of guilty to, a plea of nolo contendere to,
15	been found guilty of, or been convicted of a felony offense
16	or crime of moral turpitude as defined in these rules and
17	regulations; or
18	4. Violated the Act or these rules and regulations.
19	(b) The Department shall provide to a responsible official or
20	designated transporter of a Cannabis Establishment a written notice stating the
21	specific reason(s) for the revocation of their cannabis identification card within
22	two (2) business days of voiding the card when:
23	1. The Board receives the written notification from the
24	Cannabis Establishment that the responsible official or
25	designated transporter:
26	A. No longer serves as a Responsible Official or
27	Designated Transporter; or
28	B. Is no longer employed by the Cannabis Establishment.

1	2. The Cannabis Establishment license that is listed on the
2	responsible official's or designated transporter's Cannabis
3	identification card is no longer valid.
4	(c) The cardholder of the revoked cannabis identification card shall
5	return by mail or in person the revoked cannabis identification card to the
6	Department within five (5) business days after receipt of notice.
7	(d) The responsible official or designated transporter whose cannabis
8	identification card has been revoked can file an appeal with the Board within ten
9	(10) business days of notice of revocation.
10	(e) The cardholder of the revoked cannabis identification card shall not
11	be able to apply for a new cannabis identification card for one (1) year from
12	time of revocation of previous cannabis identification card.
13	
14	§ 9206. Changing the Information on a Cannabis Identification Card of a
15	Responsible Official or Designated Transporter.
16	(a) To make an amendment to the responsible official's or designated
17	transporter's name, or home or mailing address on the cardholder's cannabis
18	identification card, the cardholder shall submit in person an application form
19	prescribed by the Department, within ten (10) business days of the change, to
20	the Department which includes:
21	1. For a change of name:
22	A. The cardholder's former name;
23	B. The cardholder's cannabis identification number on
24	the cardholder's current cannabis identification card;
25	C. The cardholder's new name or address, as applicable;
26	D. Valid documentation of the legal name change, such
27	as a: marriage certificate, final divorce decree,

adoption decree, or other valid court order showing a

change of legal name;

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1	2.	For a change in home address:			
2		A. A valid Guam mayor's verification; or			
3		B. A Guam rental agreement or mortgage with the			
4		applicant's name; or			
5		C. A Guam utility bill (power, water, or trash) with the			
6		applicant's name on it; or			
7		D. Other acceptable forms of identification.			
8	3.	The signature of the cardholder and date the cardholder			
9		signed.			
10	4.	A verification of identity;			
11	5.	A current photograph of the cardholder;			
12	6.	The applicable fee in §9201; and			
13	7.	Any applicable late fee.			
14	(b) The	Department shall approve or deny the change within ten (10)			
15	business days of	receipt and shall follow the time frames described in § 9203			
16	and § 9204.				
17	(c) The	expiration date for the amended cannabis identification card			
18	will be the same	e as the expiration date of the original registry identification			
19	card.				
20					
21	§ 9207. Types of	Cannabis Establishment Licenses:			
22	(a) Type	e I Cultivation Facility License: for cultivation of less than or			
23	equal to two tho	usand five hundred (2,500) square feet of canopy on a single			
24	premise.				
25	(b) Type	e II Cultivation Facility License: for cultivation of two			
26	thousand five h	undred one (2,501) to five thousand (5,000) square feet of			
27	canopy on a single premise.				

- 1 (c) Type III Cultivation Facility License: for cultivation of five 2 thousand one (5,001) to ten thousand (10,000) square feet of canopy on a single 3 premise.
- 4 (d) Type IV Cultivation Facility License: for cultivation of up to five 5 hundred (500) square feet of a canopy on a single premise.
  - (e) Cannabis Product Manufacturing Facility License
  - (f) Cannabis Testing Facility License
    - (g) Retail Cannabis Store License

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## § 9208. Requirements for a Cannabis Establishment License.

- (a) Legal residents of Guam who have maintained continuous legal residential address(es) on Guam for a period of no less than three (3) years prior to the application for a Cannabis Establishment License shall retain at least fifty-one percent (51%) ownership of the cannabis establishment.
- (b) Responsible officials, board members, businesses, stakeholders, principals, or entities of a cannabis cultivation facility, a cannabis product manufacturing facility or a retail cannabis store can only own or have financial interest in one (1) cultivation facility, one (1) product manufacturing facility, or one (1) retail store at any given time so long as the provisions for the application of the separate cultivation facility, product manufacturing facility, or retail cannabis store license are completed in full by the applicant.
- (c) Responsible officials, board members, business stakeholders, principals, or entities of a Cannabis Testing Facility are prohibited from owning or having any financial stake in any cultivation facility, product manufacturing facility, retail store, cannabis establishment that refer cannabis for their testing, or another cannabis testing facility.
- 26 (d) Cannabis Cultivation Facilities and Manufacturing Facilities shall 27 only be located in the following zones: Agriculture Zone (A), Light Industrial 28 Zone (M1), or Heavy Industrial Zone (M2).

1	(e) Retail Cannabis Stores shall only be located in the following zones
2	Commercial Zone (C), Light Industrial Zone (M1) and Heavy Industrial Zone
3	(M2).
4	(f) The cannabis establishment must meet all applicable local and
5	federal laws and requirements for their respective zones.
6	
7	§ 9209. Application Process for a Cannabis Establishment License.
8	(a) The responsible official of a cultivation facility, produc
9	manufacturing facility, retail store, or a testing facility shall submit in person are
10	application for the appropriate cannabis establishment license in § 10000, in
11	form approved by the Department, with the required declarations and
12	documents in §10000 and the appropriate application fees in § 10000.
13	(b) Upon receipt of an application for a cannabis establishment license
14	and fee, The Department shall:
15	1. Verify the information contained in the application; and
16	2. Conduct a thorough investigation to determine whether o
17	not the applicant and the premises qualify for a license; and
18	3. Generate an Investigation Report of the Department'
19	discoveries and recommendations; and
20	4. Shall forward to the Board for reviewa completed
21	application within thirty (30) calendar days of receipt; or
22	5. Shall provide written notification to the responsible official
23	of an incomplete application within thirty (30) business day
24	of the Department's determination and specify where the
25	application is incomplete.
26	(c) The Board shall deny an application if:

The responsible official did not provide all the required

The Board determines that the information provided is false.

information; or

(1)

(2)

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- 1 (d) The Board shall reject any application that does not comply with 2 this Act.
- 3 (e) The Board shall provide the responsible official with a written 4 notification within seven (7) business days of denial and specify the reason for 5 denial.
- 6 (f) The responsible official, whose application was denied, can file an 7 appeal with the Board within ten (10) business days.
  - (g) The cannabis establishment, whom the responsible official was representing and whose application was denied, shall not reapply for up to six (6) months from the date of denial unless otherwise authorized by the Board.
  - (h) The Board shall issue a license within five (5) business days of approving the application. The application will be approved if the application is complete and in accordance with the Act.
  - (i) The cannabis establishment license is valid for one (1) year from date of issuance.
  - (j) An application fee that is submitted with a cannabis establishment license application that is later withdrawn is not refunded.
  - (k) Cannabis Establishment Licenses are *non-transferable*.

## § 9210. Applying for a Cannabis Establishment License.

To apply for a cultivation facility license, product manufacturing facility license, retail cannabis store license, or a cannabis testing facility license, the responsible official from the cannabis establishment business, who is twenty-one (21) years of age or older, shall submit in person to the Department an application in a form prescribed by the Department, that includes the following:

- (a) The authorized responsible official's:
- 1. Name

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- 2. ID Car Number
- 29 (b) If the applicant is applying as a business organization:

1		l.	Legal name of the business organization;
2		2.	Physical address of the proposed cannabis establishment
3			business;
4		3.	Type of business organization (sole proprietor, corporation,
5			partnership, LLC); and
6		4.	Names and titles of the owners, responsible official and
7			board members;
8	(c)	Doc	uments from each owner, responsible official, and board
9	member inc	cluding	g:
10		1.	Proof of Guam residency;
11		2.	A verification of identity;
12		3.	Police, court and Attorney General clearances;
13		4.	Proof that none of the persons who are proposed to be
14			owners, officers, or board members of the proposed licensed
15			cannabis establishment business are under twenty-one (21)
16			years of age;
17	(d)	Veri	fication of the permitted use of the premises including:
18		1.	Map of the proposed location of the cannabis establishment
19			business;
20		2.	Affirmation that the cannabis establishment is not located
21			within a Drug Free School Zone;
22		3.	Proof that the applicant has legal title filed with the
23			Department of Land Management on which the proposed
24			cannabis establishment will be located, or has a lease
25			agreement with the property owner that includes consent to
26			operate the proposed cannabis establishment on that
27			property;
28		4.	A certified letter from the planning department of the
29			Department of Land Management stating that the location of

1	the cannabis establishment meets all zoning requirements of
2	this Act;
3	i. Proof that the cannabis establishment is
4	registered and has a business license and a Business
5	Privilege Tax Number with the Department;
6	(e) Clearances from the appropriate health, water, building and fire
7	agencies or authorities;
8	(f) Certification that none of the persons who are proposed to be
9	owners, officers, or board members of the proposed cannabis establishment
LO	have served as an owner, officer or board member for a licensed cannabis
l1	establishment that has had its license revoked within three (3) years of the
L2	current application date;
L3	(g) Certification that the proposed licensed cannabis establishment will
L4	not knowingly employ or contract a person who is under the age of twenty-one
L5	(21).
L6	(h) The appropriate application fees in § 9201.
L7	
L8	§ 9211. Issuance of a Cannabis Establishment License.
L9	(a) If the application is approved, the Department shall give a written
20	notification within five (5) business days upon approval to the responsible
21	official:
22	1. That the application is approved and that the cannabis
23	establishment license can be picked up by the cardholder in
24	person at the Department after the applicable license fee in
25	§ 9201 is paid;
26	2. That the responsible official must apply for a Permit to
27	Operate a cannabis establishment business; and
28	3. That the cannabis cultivation facility, cannabis
9	manufacturing facility retail cannabis store or cannabis

1			testir	ng facility shall not conduct transactions involving the
2			trans	fer of cannabis from one licensed cannabis
3			estab	lishment to another, or at final point of sale to retail
4			const	umer until the facility has been issued a Permit to
5			Oper	rate from the Department.
6		4.	The	Department shall inspect the facilities of a licensed
7			cann	abis establishment prior to issuing a Permit to Operate.
8	(b)	The	cannab	is establishment license shall include the following:
9		1.	Cann	nabis establishment license number issued by the
10			Depa	artment
11		2.	The	cannabis establishment's:
12			A.	Legal name;
13			B.	Physical address; and
14			C.	Telephone number.
15		3.	The 1	responsible official's:
16			A.	First name; middle name, if applicable; last name; and
17				suffix, if applicable;
18			B.	Cannabis Identification Card number
19			C.	Guam mailing address;
20			D.	Email address; and
21			E.	Telephone number(s).
22		4.	Type	e of cannabis establishment;
23		5.	Appl	ication fee payment receipt number;
24		6.	The	date of issuance; and
25		7.	The	date of expiration.
26				
27	<b>§ 9212. Per</b>	mit to	Oper	rate a Cannabis Establishment.

## § 9212. Permit to Operate a Cannabis Establishment.

To apply for a Permit to Operate a cannabis establishment, the (a) responsible official shall submit in person to the Department the following:

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1	1.	An a	ppncat	ion in a form prescribed by the Department that
2		inclu	des:	
3		(A)	The c	cannabis establishments:
4			i.	Legal name;
5			ii.	Physical address;
6			iii.	Guam mailing address;
7			iv.	Responsible official's full name;
8			v.	Cannabis identification card number;
9			vi.	Type of cannabis establishment license;
10			vii.	Date of issue of the cannabis establishment
11				license;
12			viii.	Date of expiration of the cannabis establishment
13				license;
14			ix.	Date the licensed cannabis establishment must
15				reapply; and
16			х.	The Business Privilege Tax Number issued by
17				the Department.
18		(B)	A de	eclaration that the information provided to the
19			Depa	rtment to apply for a Permit to Operate a
20			canna	abis establishment is true and correct; and
21		(C)	The s	signature of the responsible official and the date
22			the re	esponsible official signed;
23	2.	A sit	te plan	drawn to scale of the cannabis establishments
24		locat	ion de <sub>l</sub>	picting streets, property lines, buildings, parking
25		areas	, outdo	oor areas if applicable, fences, security features,
26		fire h	ydrant	s if applicable, and access to water mains;
27	3.	The	distanc	ee of the cannabis establishments to the closest
28		schoo	ol, bus	stop and bus transfer station;

1	4.	A flo	oor plan drawn to scale of the building where the
2		canna	abis establishments is located showing the following:
3		(A)	Layout and dimensions of each room;
4		(B)	Name and function of each room;
5		(C)	Location of each hand washing sink;
6		(D)	Location of each toilet;
7		(E)	Location of all means of entry;
8		(F)	Location of each video camera, alarm system, motion
9			sensor;
10		(G)	Location of standby power source;
11		(H)	Location of each panic button; and
12		(I)	Location of natural and artificial lighting sources;
13	5.	Clear	rances from the appropriate agencies to ensure that all
14		appli	cable building, zoning, agricultural, water, wastewater,
15		air qu	nality, safety, and protection of endangered species laws
16		and n	regulations are followed as well as the Department of
17		Publi	c Health and Social Services, Division of
18		Envi	conmental Health, if the cannabis establishment is
19		plann	ing to prepare, package, store, sell, distribute or
20		dispe	nse cannabis-infused edible food products.
21		(A)	Those employees of the Department so designated to
22			guide applicants through the application process will
23			determine, after considering the scope of the business
24			being proposed for permitting, which agencies from
25			the list below must clear the permit application prior
26			to approval by the Board.
27		(B)	Clearances may only be indicated by the signature,
28			whether written or electronic, of the director of said
29			agency, or a designee of the director, who is an

1	employee of said agency; provided, however, that no
2	director or designee may determine clearance for a
3	business in which said director or designee has a
4	conflict of interest, where a reasonable person may
5	suspect that such a conflict may result in the financial
6	favor of the person clearing the application.
7	i. In such a case, the director must designate
8	another employee of the agency who does not have
9	such a conflict, or if the conflicted party is the director
10	himself, then the governor shall choose an acting
11	director for the purposes of this section.
12 (C	) Agencies include:
13	i. Department of Public Works for compliance
14	with the building code, solid waste requirements,
15	signage laws, and where applicable upon real property
16	owned by fee simple or leasehold by the applicant and
17	for which any improvements will be made for the
18	purpose of this business;
19	ii. Guam Environmental Protection Agency for
20	compliance with runoff, sanitation, waste disposal,
21	and air quality regulations;
22	iii. Guam Fire Department for compliance with fire
23	safety code provisions that apply;
24	iv. Department of Public Health and Social
25	Services, Division of Environmental Health for
26	compliance with all regulatory codes with which the
27	proposed business must comply;
28	v. Guam Waterworks Authority for compliance
29	with water and wastewater requirements;

1	vi. The Department's branches for compliance with
2	the Business Privilege Tax law, payment of all
3	applicable taxes, or the approval of a payment plan for
4	recovery of delinquent taxes, or existence of a
5	challenge to each claim by the Department of Revenue
6	and Taxation that taxes are delinquent;
7	vii. Whenever improvements will be made to real
8	property to be used for such business, Department of
9	Agriculture shall determine whether mitigation will be
10	required in the interest of endangered species.
11	6. The applicable fee in § 9201.
12	(b) The Department shall conduct an inspection within thirty (30)
13	calendar days of receipt of the application for Permit to Operate. The
14	Department will inspect, but not limited to the cannabis establishments:
15	1. Security system, including the video surveillance system and
16	alarm system as required by this Chapter
17	2. Labeling and packaging procedures that comply with this
18	Chapter;
19	3. Required policies and procedures as described in these rules
20	and regulations; and
21	4. Electronic data management system in accordance with these
22	rules and regulations.
23	(c) The Department shall provide a written notification of failure to
24	pass inspection to the responsible official of the cannabis establishment within
25	five (5) business days of the Department's determination of failure to pass and
26	specify the areas of concern.
27	(d) If the cannabis establishment fails the inspection, the responsible
28	official shall notify the Department when the cannabis establishment is ready

for another inspection.

- 1 (e) Once approved, the Department shall issue the Permit to Operate, 2 to the cannabis establishment within five (5) business days.
- 3 (f) The responsible official shall pick up the Permit to Operate in 4 person at the Department after paying all applicable fees in § 9201.
- 5 (g) The Permit to Operate must be displayed in a conspicuous place 6 inside the licensed cannabis establishment.

1 Article 3

2		Cannabis Cultivation
3	§ 9301.	Policy
4	§ 9302.	Producer Registration Requirement
5	§ 9303.	Acceptable Cannabis Growing Conditions
6	§ 9304.	Cultivation Site
7	§ 9305.	Cannabis Soil Amendments
8	§ 9306.	Cannabis Plant Production
9	§ 9307.	Cannabis Seed Selection
10	§ 9308.	Crop pest, weed and disease management practice standard
11	§ 9309.	Cannabis waste management
12	§ 9310.	Cannabis Cultivation Penalties and Disbarment
13		
14	§ 9301. Po	olicy.
15	The	Guam Department of Agriculture (DOAG) shall duly exerc

The Guam Department of Agriculture (DOAG) shall duly exercise the powers vested in it as a "Regulatory Agency" in the cultivation, production, green debris management and destruction of cannabis in the Territory of Guam for which the use and/or end product results in either recreational and/or medicinal applications. Only approved naturally derived cultivation practices shall be permitted in the growing of cannabis. The producer is responsible for obtaining the necessary permits and/or certifications required by the regulatory agency and must comply with any specific instructions while engaged in the cultivation and production of cannabis on the island of Guam.

Commercial synthetic pesticides have catastrophic effects on human health and the natural environment. As well as causing significant damage to the environment, synthetic pesticides used on cannabis contain chemicals that will

- inevitably end up in the human body. Attention to biodiversity and greater
- 2 emphasis on environmental protection will ensure that our island's future
- 3 generations will have a sustainable future. Once natural resources are gone,
- 4 many aspects cannot be replaced.

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#### § 9302. Producer Registration Requirement.

Individual growers must register with DOAG and obtain a "Bonafide Farmer Certificate".

- (a) Grower information shall include, but is not limited to:
  - (1) Company Name and Responsible Official Contact information including mailing address, telephone number, and e- mail.
- (2) Physical Address
- (3) Global Positioning System Coordinates of both the proposed cannabis grow site and the storage facility
  - (4) Size of the plantation and map
- 16 (5) Expected number of plants to be grown (in various stages) as
  17 allowable by the Department of Revenue and Taxation
  18 (DRT) producer license
  - (6) A copy of the valid DRT license, a renewed copy should be provided each renewal year
  - (b) No changes or alterations are permitted to the Bonafide Farmer Certificate unless first approved by the Department of Agriculture. Bonafide Farmer Certificate renewals shall be initiated thirty (30) days prior to expiration date.
    - (c) Site evaluations shall be conducted for the purpose of examining the operations and ensuring compliance with the Guam Cannabis Law for production and handling operations. A representative(s) of DOAG shall conduct site evaluations, at any time with or without prior notice. Failure to comply will

- result in grounds for suspension and/or revocation of the Bonafide Farmer Certificate.
- 3 (d) If a Notice of Violations is issued, pursuant to noncompliance with 4 the production, cultivation, green waste management and disposal requirements,
- 5 DOAG shall establish a review panel comprised of personnel from its Division
- of Agricultural Development Services (ADS), to determine actions to be taken.
- 7 All reports are to be submitted no later than fifteen (15) calendar days for
- 8 administrative review within the Department for corrective actions to be taken.
- 9 Failure to comply within 30 days after issuance of the notice of violation and an
- administrative directive for corrective measures are proposed shall be grounds
- 11 for the Department to notify the CCB of the failure to comply with the
- 12 Department's directive and a possible revocation of the Bonafide Farmer
- 13 Certificate. The Department, its agents, and/or representatives shall not be held
- liable for any consultations given to growers which may result in crop failure
- due to grower negligence, acts of God, or unforeseen circumstances.

## § 9303. Acceptable cannabis growing conditions.

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- 17 (a) Cannabis may be grown directly in the ground, in containers, or in 18 a closed system (e.g. hydroponics, aeroponics, aquaponics) but without the use 19 of synthetic liquid or nonliquid fertilizers. For all growing operations, only 20 naturally derived non- synthetic cultivation practices are acceptable.
  - (b) Cannabis production must take place within:
    - (1) a fully enclosed secure indoor facility or greenhouse with rigid walls, a roof, and doors; or
    - (2) Outdoor production may take place in nonrigid greenhouses, or similar structures; or
  - (3) An expanse of open ground fully enclosed by a physical barrier that obscures public view of the premises with a wall

- or fence at least 10 feet high with at least a 1 inch thick metal gate.
- 3 (c) Any cannabis plantation, in a field, farm parcel, greenhouse, or 4 growing facility in which cannabis is intended to be grown, harvested, 5 processed or sold for commercial purposes, must not have any prohibited 6 substances on premises.
- 7 (d) The plantation must have a distinct, defined boundary and/or buffer 8 zone.

#### § 9304. Cultivation Site.

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(a) A cannabis cultivation site is defined as a contiguous land area on which the applicant plans to engage in cultivation, storage, or management of cannabis green waste. A cannabis plantation may be grown in an open air or enclosed area.

#### § 9305. Cannabis Soil Amendments.

- (a) The producer must manage plant and animal materials, to maintain or improve soil organic matter content, in a manner that does not contribute to the contamination of crops the air, water, or any adverse impacts to the environment by plant nutrients, pathogenic organisms, heavy metals, or residues of substances. Animal and plant material include:
- 20 (i) Raw animal manure
- 21 (ii) Worm castings (vermiculture)
- 22 (iii) Fish emulsion
- 23 (iv) Compost tea
- (v) Green waste compost
- vi) Seaweed
- 26 (vii) Beneficial Microorganisms
- 27 (viii) Beneficial bacteria

- (b) A producer may manage crop nutrients and soil fertility to maintain or improve soil organic matter content in a manner that does not contribute to the contamination of crops, soil, air, or water.
  - (c) The producer must not use any fertilizer or composted plant and animal material that contains a synthetic substance.
    - (d) Genetic modification of cannabis is prohibited.

### § 9306. Cannabis Plant Production.

- (a) Only the following specified soil amendments, fertilizers, other crop production aids, and pesticides may be used in the production of cannabis:
  - (1) The substance(s) for consideration of use in the production of cannabis shall only be derived from natural non-synthetic cultivation systems and practices, if these substances are commercially purchased from an off island source, proper clearances and permits must be obtained prior to importation from the Guam Environmental Protections Agency (GEPA).
  - (2) The pesticides, herbicides, fungicides, repellents and fertilizers manufacture, use and disposal or the like, must not have adverse effects on Guam's environment and use must be carried out in a manner compatible with natural farming practices and according to the manufacture's label instructions.
  - (3) The quality of the substance is maintained when the substance or its breakdown products do not have an adverse effect on human or environmental health.
  - (4) The substance(s) made by a naturally derived non-synthetic cultivation system and practice production should be safe and contain no residue of heavy metals or contaminants.
  - (b) Examples of prohibited products:

1	(1)	The u	ise of products containing plant growth regulators not
2		allow	ed for use on food crops including, but not limited to,
3		any o	f the following ingredients, are prohibited:
4		(i)	Ancymidol;
5		(ii)	Chlormequat chloride;
6		(iii)	Clofencet;
7		(iv)	Colchicine;
8		(v)	Colloidal silver;
9		(vi)	Daminozide;
10		(vii)	Dikegulac-sodium;
11		(viii)	Flumetralin;
12		(ix)	Flurprimidol; and
13		(x)	Paclobutrazol.
14	(2)	The f	following nonsynthetic substances prohibited for use in
15		canna	bis production include, but are not limited to:
16		(i)	Ash from manure burning;
17		(ii)	Arsenic;
18		(iii)	Calcium chloride, bine process is natural and
19			prohibited for use except as a foliar spray to treat a
20			physiological disorder associated with calcium uptake;
21		(iv)	Lead salts;
22		(v)	Potassium chloride – unless derived from a mined
23			source and applied in a manner that minimize chloride
24			accumulation in the soil;
25		(vi)	Sodium fluoaliminate (mined);
26		(vii)	Sodium Nitrate – unless use is restricted to no more
27			than 20% of the crops's total nitrogen requirement;
28		(viii)	Strychnine;
29		(ix)	Tobacco dust (nicotine sulfate)

1	$(3) \qquad \qquad$	The use of vitamin-hormone products not intended for use
2	(	on food crops is prohibited.
3	(4)	The use of products containing the insecticide DDVP
4	(	(Dichlorvos) is prohibited in all areas where cannabis is
5	1	being grown or processed.
6	(c) Soil an	nendments, fertilizers, growing media, other crop production
7	aids, and pesticides	that do not conform to this Section cannot be used, kept, or
8	stored on the license	ed premises.
9	(d) The fo	ollowing cannabis and cannabis products are subject to
10	seizure and destruct	tion:
11	(1)	Cannabis exposed to unauthorized soil amendments or
12	j	fertilizers; and
13	(2)	Cannabis with levels of unauthorized pesticides or plant
14	\$	growth regulators.
15	(e) A "N	aturally derived, non-synthetic cultivation systems and
16	practice" plan mus	st meet the requirements set forth in the production of
17	cannabis or handli	ng. A cannabis production or handling system plan must
18	include:	
19	(1)	A description of practices and procedures to be performed
20	a a	and maintained, including the frequency with which they
21	•	will be performed;
22	(2)	A list of each substance to be used as a production or
23	1	handling input, indicating its composition, source,
24	1	location(s) where it will be used, and documentation of
25	(	commercial availability, as applicable.
26	(3)	A description of the monitoring practices and procedures to
27	1	be performed and maintained, including the frequency with
28	•	which they will be performed, to verify that the plan is
29		effectively implemented.

**(4)** A description of the recordkeeping system implemented to 1 comply with the requirements established by the CCB to 2 allow for compatibility with the CCB's seed-to-sale software 3 system. 4 (5) Additional information deemed necessary by the Department 5 to evaluate compliance with the regulations. 6 A producer may substitute a plan prepared to meet the 7 (6) requirements of the Department of the naturally derived non-8 synthetic cultivation systems and practices of cannabis 9 provided that the submitted plan meets all the requirements 10 of subparts. 11 § 9307. Cannabis Seed Selection. Cannabis seeds must be locally sourced. 12 Importation of cannabis seeds from outside the island of Guam is strictly 13 prohibited. Cannabis tissue cultures must be locally sourced. 14 § 9308. Crop Pest, Weed and Disease Management Practice Standards. 15 (a) The producer may use management practices to prevent crop pests, 16 weeds, and disease including but not limited to: 17 (i) Crop rotation and soil and crop nutrient management 18 practices. 19 Sanitation measures to remove disease vectors, weed (ii) 20 seeds, and habitat for pest organisms; and 21 Practices that enhance crop health, including selection 22 of plant species and varieties with regard to suitability to 23 site-specific conditions and resistance to prevalent pests, 24 weeds, and diseases. 25 Pest problems may be controlled through Integrated Pest (b)

Management (IPM) but not limited to:

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1			(i)	Augmentation or introduction of predators of the pest
2			speci	es;
3			(ii)	Development of habitat for natural enemies of pests;
4			(iii)	Non-synthetic controls such as lures, traps, and
5			repel	lents;
6		(c)	Weed	d problems may be controlled through:
7			(i)	Mulching with fully biodegradable materials
8			(ii)	Hand weeding and mechanical cultivation;
9			(iii)	Flame, heat, or electrical means.
10		(d)	Disea	ase problems may be controlled through:
11			(i)	Management practices which suppress the spread of
12				disease organisms; or
13			(ii)	Application of non-synthetic, biological, or mineral
14				inputs.
15	§ 9309. Car	ınabis	s Wast	e Management.
16	(a)	Cann	abis	waste management hall be consistent with the
17	formulation	and u	sage d	efined:
18		(1)	Com	post bin;
19		(2)	Incin	eration;
20		(3)	In-ve	essel digestion;
21		(4)	On-s	ite soil incorporation.
22	(b)	Cont	ainers	that may or may not have contaminant residue must be
23	decontamin	ated a	nd mad	de suitable for reuse or be sent back to the manufacturer
24	for proper d	isposa	ıl and/o	or recycling.
25	(c)	A re	cord	of the final disposition of cannabis waste rendered
26	unusable mi	ust be	kept fo	or 120 days or in the absence of crop residue.

- 1 (d) The use, and/or disposal of materials originating from the producer 2 shall be properly recorded, packaged, disposed of in a manner consistent with 3 the regulatory agency requirements.
- 4 (e)

#### § 9310. Penalties and Disbarment.

- Violations of this Chapter may result in the revocation of your Bonafide
- 7 Farmer Certificate. All applicable cannabis establishment licenses are also
- 8 punishable. These provisions are not exclusive. These violations may be subject
- 9 to other fines or offenses as otherwise permitted by law.

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1 Article 4

#### **Cannabis Product Manufacturing** 2 General Sanitation \$ 9401. 3 4 § 9402. Manufacturer Requirements § 9403. Extraction Requirements for Cannabis Product Manufacturing 5 **Facilities** 6 7 § 9404. Cannabis Concentrate License requirements for all Food Facilities § 9405. 8 § 9406. Display of Identification Badge 9 § 9407. Alarm Systems 10 § 9408. Surveillance System 11 § 9409. Lock Standards 12 § 9410. Traceability 13 § 9411. Transportation 14 § 9412. Waste Management and Disposal 15 § 9413. **Employee Training** 16 17 § 9401. General Sanitation. 18 Cannabis product manufacturing facilities shall ensure (a) 19 processing facilities that create or handle cannabis products are constructed, 20 kept, and maintained in a clean and sanitary condition in accordance with Guam 21 laws. 22 Cannabis product manufacturing facilities that do not create or (b) 23 handle cannabis-infused products shall adopt and enforce policies and 24 procedures to ensure that operations involving the receiving, inspecting, 25 transporting, segregating, preparing, production, packaging, and storing of 26 cannabis or cannabis products are conducted in accordance with adequate 27

sanitation principles including:

(c) Any person who, by medical examination or supervisory observation, is shown to have, or appears to have, an illness, open lesion, including boils, sores or infected wounds, or any other abnormal source of microbial contamination for whom there is a reasonable possibility of contact with cannabis or cannabis products shall be excluded from any operations that may be expected to result in microbial contamination until the condition is corrected.

- (1) A person who has an open or draining skin is prohibited from working unless the individual wears an absorbent dressing and protective gloves;
  - (2) A person is prohibited from working if he has an illness accompanied by diarrhea or vomiting.
- (d) All persons working in direct contact with cannabis or cannabis products must conform to hygienic practices while on duty including, but not limited to:
  - (1) Maintaining personal cleanliness;
  - (2) Washing hands thoroughly in hand-washing areas before starting work and at any other time when the hands may have become soiled or contaminated especially after using toilet facilities;
  - (3) Hand-washing facilities must be available and furnished with running hot water. Hand-washing facilities shall be located in the permitted premises and where good sanitary practices require employees to wash or sanitize their hands, and provide effective hand-cleaning and sanitizing preparations and sanitary towel dispensers or suitable drying devices.
- 27 (e) Provide employees with adequate and readily accessible toilet 28 facilities that are maintained in a sanitary condition and good repair.

(f) Litter and waste are properly removed and the operating systems for waste disposal are maintained in a manner so that they do not constitute a source of contamination in areas where cannabis or cannabis products may be exposed.

- (g) Floors, walls, and ceilings are constructed in such a manner that they may be adequately cleaned and kept clean and in good repair.
- (h) There is adequate lighting in all areas where cannabis or cannabis products are stored and where equipment or utensils are cleaned.
- (i) There is adequate screening or other protection against the entry of pests. Rubbish must be disposed of so as to minimize the development of odor and minimize the potential for the waste becoming an attractant, harborage, or breeding place for pests (e.g. rodents, cockroaches, flies, ants, etc.).
- (j) Any building, fixtures, and other facilities are maintained in a sanitary condition.
  - (k) Toxic cleaning compounds, sanitizing agents, and solvents used in the production of cannabis concentrates must be identified, held and stored in accordance to manufacturers' specifications and safety data sheets to protect.
  - (l) All contact surfaces, including utensils and equipment used for the preparation of cannabis, cannabis plants, or cannabis products must be cleaned and sanitized regularly to protect against contamination. Equipment and utensils must be designed and be of such material and workmanship as to be adequately cleanable and must be properly maintained. Sanitizing agents must be used in accordance with labeled instructions.
  - (m) The water supply must be sufficient for the operations and capable of providing a safe, potable, and adequate supply of water to meet the facility's needs. Each facility must provide its employees with adequate and readily accessible toilet facilities that are maintained in a sanitary condition and good repair.

(n) Store cannabis items that can support pathogenic microorganism growth or toxic formation in a manner that prevents the growth of these pathogenic microorganism or formation toxins.

#### § 9402. Manufacturer Requirements.

- (a) A cannabis product manufacturing facility licensed by the CCB must ensure cannabis-infused edible processing facilities are constructed, kept, and maintained in a clean and sanitary condition in accordance with rules and as prescribed by the DPHSS.
- (b) A licensed cannabis product manufacturing facility may blend tested useable cannabis from multiple lots into a single package for sale to a retail cannabis store so long as the label requirements for each lot used in the blend are met and the percentage by weight of each lot is also included on the label.
- (c) A cannabis product manufacturing facility may not treat or otherwise adulterate useable cannabis with any organic or nonorganic chemical or other compound whatsoever to alter the color, appearance, weight, or smell of the useable cannabis flower.
- (d) If the CCB denies a cannabis-infused product for sale in retail cannabis stores, the cannabis product manufacturing facility may request an administrative hearing with the Cannabis Control Board.
- (e) With the exception of the cannabis, all ingredients used in making cannabis-infused products for oral ingestion must be in compliance with the Guam Food Code and with the Rules and Regulations governing Retail Food Store Sanitation.
  - (f) Cannabis-infused edible products in solid or liquid form must:
  - (1) Be homogenized to ensure uniform disbursement of cannabinoids throughout the product; and

1		(2)	cann	abia
2	( )			
3	(g)			
4	food or drii	nks the	-	infuse with cannabis.
5		(1)	Cann	abis-infused products that require cooking or baking by
6			the c	onsumer are prohibited.
7		(2)	Cann	abis-infused products that are especially appealing to
8			child	ren, including, but not limited to, gummy candies,
9			lollip	ops, cotton candy, or brightly colored products, are
10			prohi	bited.
11	(h)	To r	educe	the risk to public health, potentially hazardous foods
12	may not be	infuse	d with	cannabis.
13		(1)	Poter	ntially hazardous foods require time-temperature control
14			to ke	ep them safe for human consumption and prevent the
15			grow	th of pathogenic microorganisms or the production of
16			toxin	S.
17		(2)	Any	food that requires refrigeration, freezing, or a hot
18			holdi	ng unit to keep it safe for human consumption may not
19			be in	fused with cannabis.
20		(3)	Othe	r food items that may not be infused with cannabis to be
21			sold	in a retail store include:
22			(A)	Any food that has to be acidified to make it shelf
23				stable;
24			(B)	Food items made shelf stable by canning or retorting;
25			(C)	Fruit or vegetable juices (this does not include shelf
26				stable concentrates);
27			(D)	Fruit or vegetable butters;
28			(E)	Pumpkin pies, custard pies, or any pies that contain
29				egg;

1	(F) Dairy products of any kind such as butter, cheese, ice
2	cream, or milk; and
3	(G) Dried or cured meats.
4	(i) Vinegars and oils derived from natural sources may be infused with
5	dried cannabis if all plant material is subsequently removed from the final
6	product. Vinegars and oils may not be infused with any other substance,
7	including herbs and garlic.
8	(j) Cannabis-infused jams and jellies made from scratch must utilize a
9	standardized recipe in accordance with the 21 C.F.R. Part 150, revised as of
10	April 1, 2013.
11	(k) A cannabis product manufacturing facility may infuse dairy butter
12	or fats derived from natural sources and use that extraction to prepare allowable
13	cannabis-infused solid or liquid products meant to be ingested orally, but the
14	dairy butter or fats derived from natural sources may not be sold as stand-alone
15	products.
16	(l) The CCB may designate other food items that may not be infused
17	with cannabis.
18	(m) Cannabis product manufacturing facility are allowed to have a
19	maximum of six months of their average useable cannabis and six months
20	average of their total production on their licensed premises at any time.
21	(n) A processing service arrangement is when one manufacturer
22	(Manufacturer B) processes useable cannabis or an altered form of useable
23	cannabis (cannabis product) for another licensed manufacturer (Manufacturer
24	A) for a fee.
25	(1) Manufacturer A is the product owner. However,
26	Manufacturer B may handle the product under its license.
27	Manufacturer B is not allowed to transfer the product to a
28	retailer and may only possess cannabis or cannabis products

received from Manufacturer A for the limited purposes of processing it for ultimate transfer back to Manufacturer A.

- (2) Processing service arrangements must be made on a cash basis and payment for the service and return of the processed product must be made within thirty (30) calendar days of delivery to Manufacturer B. Failure to do so as provided by the preceding sentence is a violation of this section and any cannabis or cannabis product involved in the transaction will be subject to seizure and destruction. Payment with any cannabis products, barter, trade, or compensation in any form other than cash for processing service arrangements is prohibited under processing service arrangements.
- (3) Each manufacturer that enters into a processing service arrangement must include records for each service arrangement in recordkeeping documents which must be maintained consistent with this chapter.
- (o) Cannabis may not be returned by any retail cannabis store to any cannabis product manufacturing facility except as provided in this section.
  - (1) Every cannabis product manufacturing facility must maintain complete records of all refunds and exchanges made under this section including an inventory of cannabis and cannabis products returned to the manufacturer by any licensed dispensary, on the licensed premises for a period of five (5) years
  - (2) Cannabis may be returned by a retail licensee in the event a retailer goes out of the business of selling cannabis at retail and a cash refund may be made upon the return of the

- cannabis or cannabis products, so long as the CCB approval is acquired prior to returns and refunds under this subsection.
  - (3) Cannabis products different from that ordered by a retail cannabis store and delivered to the retail cannabis store may be returned to cannabis product manufacturing facility and either replaced with cannabis products which were ordered or a cash refund may be made.
  - (4) A cannabis product manufacturing facility may accept returns of products and sample jars from cannabis retailers for destruction, but is not required to provide refunds to the retailer. It is the responsibility of the retailer to ensure the product or sample jar is returned to the cannabis product manufacturing facility.

# § 9403. Extraction Requirements for cannabis product manufacturing facilities.

- (a) Cannabis product manufacturing facilities are limited to the methods, equipment, solvents, gases, and mediums detailed in this section when creating cannabis extracts.
- (b) cannabis product manufacturing facilities may use the hydrocarbons N-butane, isobutane, propane, or heptane. These solvents must be of at least ninety-nine (99) percent purity and a manufacturer must use them in a professional grade closed loop extraction system designed to recover the solvents, work in an environment with proper ventilation, controlling all sources of ignition where a flammable atmosphere is or may be present.
- (c) Cannabis product manufacturing facilities may use a professional grade closed loop CO<sub>2</sub> gas extraction system where every vessel is rated to a minimum of six hundred pounds per square inch. The CO<sub>2</sub> must be of at least ninety-nine (99) percent purity.

1	(1)	Closed loop systems for hydrocarbon or CO <sub>2</sub> extraction
2		systems must be commercially manufactured and bear a
3		permanently affixed and visible serial number.
4	(2)	Certification from a Licensed Engineer must be provided to
5		the CCB for professional grade closed loop systems used by
6		cannabis product manufacturing facilities to certify that the
7		system was commercially manufactured, safe for its intended
8		use, and built to codes of recognized and generally accepted
9		good engineering practices, such as
10		(A) The American Society of Mechanical Engineers (ASME);
11		(B) American National Standards Institute (ANSI);
12		(C) Underwriters Laboratories (UL); or
13		(D) The American Society for Testing and Materials (ASTM).
14	(3)	The certification document must contain the signature and
15		stamp of a professional engineer and the serial number of the
16		extraction unit being certified.
17	(4)	Professional grade closed loop systems, and other equipment
18		used must be approved for specific use or the technical
19		report must be approved by Guam building code officials
20		prior to use.
21	(5)	Professional closed loop systems, other equipment used, the
22		extraction operation, and facilities must be approved for their
23		use by Guam Fire Department officials and meet any
24		required fire, safety, and building code requirements.
25	(d) Can	nabis product manufacturing facilities may use heat, screens,
26	presses, steam	distillation, ice water, and other methods without employing
27	solvents or gases	s to create kief, hashish, bubble hash, or infused dairy butter, or
28	oils or fats derive	ed from natural sources, and other extracts.

- (e) Infused dairy butter and oils or fats derived from natural sources may be used to prepare infused edible products, but they may not be prepared as stand-alone edible products for sale.
- (f) Cannabis product manufacturing facilities may use food grade glycerin, ethanol, and propylene glycol solvents to create extracts. All ethanol must be removed from the extract in a manner to recapture the solvent and ensure that it is not vented into the atmosphere.
- (g) Cannabis product manufacturing facilities creating cannabis extracts must develop standard operating procedures, good manufacturing practices, and a training plan prior to producing extracts for the marketplace. Any person using solvents or gases in a closed looped system to create cannabis extracts must be fully trained on how to use the system, have direct access to applicable material safety data sheets and handle and store the solvents and gases safely.
  - (1) Parts per million for one gram of finished extract cannot exceed residual solvent or gas levels provided in statute.
  - (2) Cannabis product manufacturing facilities that perform extractions are responsible for providing safety data sheets for the chemical extract that they produce.

#### § 9404. Cannabis Concentrate.

- (a) Permitted Categories of Cannabis Concentrate Production
  - (1) A cannabis-infused products manufacturer may produce Water-Based Cannabis Concentrate, Food-Based Cannabis Concentrate, and Heat/Pressure Based Cannabis Concentrate.
  - (2) A cannabis-infused products manufacturer may also produce Solvent-Based Cannabis Concentrate using only the following solvents: butane, propane, CO<sub>2</sub>, ethanol,

Τ		isopropanor, accione, neptane, and pentane. The use of any
2		other solvent is expressly prohibited unless and until it is
3		approved by Guam Environmental Protection Agency.
4	(b) A ca	nnabis-infused products manufacturer that engages in the
5	production of car	nabis concentrate, regardless of the method of extraction or
6	category of conce	ntrate being produced, must:
7	(1)	Ensure that the space in which any cannabis concentrate is to
8		be produced is a fully enclosed room and clearly designated
9		on the current diagram of the licensed premises.
LO	(2)	Ensure that all applicable sanitary rules are followed.
l1	(3)	Ensure that the standard operating procedure for each
L2		method used to produce a cannabis concentrate on its
L3		licensed premises includes, but need not be limited to, step-
L4		by-step instructions on how to safely and appropriately:
L5		(A) Conduct all necessary safety checks prior to
L6		commencing production;
L7		(B) Prepare cannabis for processing;
L8		(C) Extract cannabinoids and other essential components
L9		of cannabis;
20		(D) Purge any solvent or other unwanted components
21		from a cannabis concentrate,
22		(E) Clean all equipment, counters and surfaces
23		thoroughly; and
24		(F) Dispose of any waste produced during the processing
25		of cannabis in accordance with all applicable local,
26		state and federal laws, rules and regulations.
27	(4)	Establish written and documentable quality control
28		procedures designed to maximize safety for licensees and
29		minimize potential product contamination.

1	(5) Establish written emergency procedures to be followed by
2	licensees in case of a fire, chemical spill or other emergency.
3	(6) Have a comprehensive training manual that provides step-
4	by-step instructions for each method used to produce a cannabis
5	concentrate on its licensed premises. The training manual must include,
6	but need not be limited to, the following topics:
7	(A) All standard operating procedures for each method of
8	concentrate production used at that licensed premises;
9	(B) The cannabis-infused products manufacturer's quality
10	control procedures;
11	(C) The emergency procedures for that licensed premises;
12	(D) The appropriate use of any necessary safety or
13	sanitary equipment;
14	(E) The hazards presented by all solvents used within the
15	licensed premises as described in the safety data sheet
16	for each solvent;
17	(F) Clear instructions on the safe use of all equipment
18	involved in each process and in accordance with
19	manufacturer's instructions, where applicable; and
20	(G) Any additional periodic cleaning required to comply
21	with all applicable sanitary rules.
22	(7) Provide adequate training to licensee prior to that individual
23	undertaking any step in the process of producing a cannabis
24	concentrate.
25	(A) Adequate training must include, but need not be
26	limited to, providing a copy of the training manual for
27	that licensed premises and live, in-person instruction
28	detailing at least all of the topics required to be
29	included in the training manual.

(B) The individual training a licensee must sign and date a document attesting that all required aspects of training were conducted and that he or she is confident that the licensee can safely produce a cannabis concentrate.

- (C) The licensee that received the training must sign and date a document attesting that he or she can safely implement all standard operating procedures, quality control procedures, and emergency procedures, operate all closed-loop extraction systems, use all safety, sanitary and other equipment and understands all hazards presented by the solvents to be used within the licensed premises and any additional period cleaning required to maintain compliance with all applicable sanitary rules.
- (8) Maintain clear and comprehensive records of the name, signature and license number of every individual who engaged in any step related to the creation of a production batch of cannabis concentrate and the step that individual performed.
- (c) Water-Based Cannabis Concentrate, Food-Based Cannabis Concentrate, and Heat/Pressure-Based Cannabis Concentrate. Cannabis-infused products manufacturer that engages in the production of a Water-Based Cannabis Concentrate or a Food-Based Cannabis Concentrate or Heat/Pressure-Based Cannabis Concentrate must:
  - (1) Ensure that all equipment, counters and surfaces used in the production of a Water-Based Cannabis Concentrate, a Food-Based Cannabis Concentrate, or a Heat/Pressure-Based Cannabis Concentrate is food-grade including ensuring that all counters and surface areas were constructed in such a

manner that it reduces the potential for the development of 1 microbials, molds and fungi and can be easily cleaned. 2 (2) Ensure that all equipment, counters, and surfaces used in the 3 production of a Water-Based Cannabis Concentrate or a 4 Food-Based Cannabis Concentrate are thoroughly cleaned 5 after the completion of each production batch. 6 Ensure that any room in which dry ice is stored or used in 7 (3) processing cannabis into a cannabis concentrate is well 8 ventilated to prevent against the accumulation of dangerous 9 levels of CO<sub>2</sub>. 10 **(4)** Ensure that the appropriate safety or sanitary equipment, 11 including personal protective equipment, is provided to, and 12 appropriately used by, each licensee engaged in the 13 production of a Water-Based Cannabis Concentrate, Food-14 Based Cannabis Concentrate, or a Heat/Pressure-Based 15 Cannabis Concentrate. 16 (5) Ensure that only finished drinking water and ice made from 17 finished drinking water is used in the production of a Water-18 Based Cannabis Concentrate. 19 Ensure that if propylene glycol or glycerin is used in the 20 (6) production of a Food-Based Cannabis Concentrate, then the 21 propylene glycol or glycerin to be used is food-grade. 22 **(7)** Follow all of the rules related to the production of a Solvent-23 Based Cannabis Concentrate if a pressurized system is used 24 in the production of a Water-Based Cannabis Concentrate, a 25 Food-Based Cannabis Concentrate, or a Heat/Pressure-Based 26

27

Cannabis Concentrate.

(d) Solvent-Based Cannabis Concentrate. A cannabis-infused products manufacturer that engages in the production of Solvent-Based Cannabis Concentrate must:

- (1) Obtain a report from an Industrial Hygienist or a Professional Engineer that certifies that the equipment, licensed premises and standard operating procedures comply with these rules and all applicable local and state building codes, fire codes, electrical codes and other laws. If a local jurisdiction has not adopted a local building code or fire code or if local regulations do not address a specific issue, then the Industrial Hygienist or Professional Engineer shall certify compliance with the International Building Code of 2012 (http://www.iccsafe.org), the International Fire Code of 2012 (http://www.iccsafe.org) or the National Electric Code of 2014 (http://www.nfpa.org), as appropriate. If there are any later amendments or editions to each Code, they will supersede those mentioned previously.
  - (A) If a flammable solvent is to be used in the processing of cannabis into a cannabis concentrate, then the Industrial Hygienist or Professional Engineer must:
    - (i) Establish a maximum amount of flammable solvents and other flammable materials that may be stored within that licensed premises in accordance with applicable laws, rules and regulations.
    - (ii) Determine what type of electrical equipment, which may include but need not be limited to outlets, lights, junction boxes, must be installed within the room in which cannabis concentrate are to be produced or flammable solvents are to be stored in

1	accordance with applicable laws, rules and
2	regulations.
3	(iii) Determine whether a gas monitoring system
4	must be installed within the room in which cannabis
5	concentrate are to be produced or flammable solvents
6	are to be stored, and if required the system's
7	specifications, in accordance with applicable laws,
8	rules and regulations.
9	(iv) Determine whether fire suppression system
10	must be installed within the room in which Cannabis
11	Concentrate are to be produced or Flammable
12	Solvents are to be stored, and if required the system's
13	specifications, in accordance with applicable laws,
14	rules and regulations.
15 (B)	If CO <sub>2</sub> is used as solvent at the licensed premises, then
16	the Industrial Hygienist or Professional Engineer must
17	determine whether a CO <sub>2</sub> gas monitoring system must
18	be installed within the room in which cannabis
19	concentrate are to be produced or CO <sub>2</sub> is stored, and if
20	required the system's specifications, in accordance
21	with applicable laws, rules and regulations.
22 (C)	The Industrial Hygienist or Professional Engineer
23	must determine whether a fume vent hood or exhaust
24	system must be installed within the room in which
25	cannabis concentrate are to be produced, and if
26	required the system's specifications, in accordance
27	with applicable laws, rules and regulations.
28 (D)	If a cannabis-infused products manufacturer makes a
29	material change to its licensed premises, equipment or

- a concentrate production procedure, in addition to all other requirements, it must obtain a report from an Industrial Hygienist or Professional Engineer recertifying its standard operating procedures and, if changed, its licensed premises and equipment as well.
- (E) The Industrial Hygienist or Professional Engineer may review and consider any information provided to the cannabis-infused products manufacturer by the designer or manufacturer of any equipment used in the processing of cannabis into a cannabis concentrate.
- (F) A cannabis-infused products manufacturer must maintain copy of all reports received from an Industrial Hygienist and Professional Engineer on its licensed premises. Notwithstanding any other law, rule or regulation, compliance with this rule is not satisfied by storing these reports outside of the licensed premises. Instead the reports must be maintained on the licensed premises until the licensee ceases production of cannabis concentrate on the licensed premises.
- (2) Ensure that all equipment, counters and surfaces used in the production of a Solvent-Based Cannabis Concentrate must be food-grade and must not react adversely with any of the solvents to be used in the licensed premises. Additionally, all counters and surface areas must be constructed in a manner that reduces the potential development of microbials, molds and fungi and can be easily cleaned;

1	(3)	Ensure that the room in which Solvent-Based Cannabis
2		Concentrate shall be produced must contain an emergency
3		eye-wash station;
4	(4)	Ensure that a professional grade, closed-loop extraction
5		system capable of recovering the solvent is used to produce
6		Solvent-Based Cannabis Concentrate;
7		(A) Underwriters Laboratories (UL) or Electrical Testing
8		Laboratories (ETL) Listing
9		(i) If the system is UL or ETL listed, then a
10		cannabis-infused products manufacturer may use the
11		system in accordance with the manufacturer's
12		instructions.
13		(ii) If the system is UL or ETL listed but the
14		cannabis-infused products manufacturer intends to use
15		a solvent in the system that is not listed in the
16		manufacturer's instructions for use in the system,
17		then, prior to using the unlisted solvent within the
18		system, the cannabis-infused products manufacturer
19		must obtain written approval for use of the non-listed
20		solvent in the system from either the system's
21		manufacturer or a Professional Engineer after the
22		Professional Engineer has conducted a peer review of
23		the system. In reviewing the system, the Professional
24		Engineer shall review and consider any information
25		provided by the system's designer or manufacturer.
26		(iii) If the system is not UL or ETL listed, then there
27		must a designer of record. If the designer of record is
28		not a Professional Engineer, then the system must be
29		peer reviewed by a Professional Engineer. In

1			reviewing the system, the Professional Engineer shall
2			review and consider any information provided by the
3			system's designer or manufacturer.
4		(B)	A cannabis-infused products manufacturer facility
5			need not use a professional grade, closed-loop system
6			extraction system capable of recovering the solvent for
7			the production of a Solvent-Based Cannabis
8			Concentrate if ethanol or isopropanol are the only
9			solvents being used in the production process.
10	(5)	Ensu	re that all solvents used in the extraction process are
11		food-	grade or at least 99% pure;
12		(A)	A cannabis-infused products manufacturer must
13			obtain a safety data sheet for each solvent used or
14			stored on the licensed premises. A cannabis-infused
15			products manufacturer must maintain a current copy
16			of the safety data sheet and a receipt of purchase for
17			all solvents used or to be used in an extraction
18			process.
19		(B)	A cannabis-infused products manufacturer is
20			prohibited from using denatured alcohol to produce a
21			cannabis concentrate.
22	(6)	Ensu	re that all flammable solvents or other flammable
23		mate	rials, chemicals and waste are stored in accordance with
24		all ap	pplicable laws, rules and regulations. At no time may a
25		canna	abis-infused products manufacturer store more
26		flamı	nable solvent on its licensed premises than the
27		maxi	mum amount established for that licensed premises by
28		the Ir	ndustrial Hygienist or Professional Engineer;

1		(7) Ensure that the appropriate safety and sanitary equipment,			
2		including personal protective equipment, is provided to, and			
3		appropriately used by, each licensee engaged in the			
4		production of a Solvent-Based Cannabis Concentrate; and			
5		(8) Ensure that a trained licensee is present at all times during			
6		the production of a Solvent-Based Cannabis Concentrate			
7		whenever an extraction process requires the use of			
8		pressurized equipment.			
9	(e)	If a cannabis-infused products manufacturer only produces			
10	Solvent-Bas	ed Cannabis Concentrate using ethanol or isopropanol at its licensed			
11	premises an	d no other solvent, then it shall be considered exempt from the			
12	requirement	s in paragraph (d) of this Rule and instead must follow the			
13	requirements in paragraph (c) of this Rule. Regardless of which rule is followed,				
14	the ethanol or isopropanol must be food grade or at least 99% pure and				
15	denatured alcohol cannot be used.				
16	(f)	Failure to comply with this Rule may constitute a license violation			
17	affecting pu	blic safety.			
18					
19	§ 9405. Li	cense requirements for all Cannabis Product Manufacturing			
20	Facilities.				
21	(a)	Restrooms			
22		(1) Toilet and hand washing facilities plumbed to the state			
23		plumbing code, in good repair, and conveniently located.			
24		(2) Self-closing doors that do not open directly into a room			
25		where foods are exposed for sale.			
26		(3) Public does not pass through food preparation, storage, or			
27		utensil washing areas.			
28	(b)	Dish washing facilities			

1		(1)	Commercial dishwasher or a 3-compartment sink large
2			enough to immerse most equipment and utensils.
3		(2)	Adequate drain boards, racks, or utensil tables for storage
4			and handling of soiled utensils.
5		(3)	Adequate racks/tables for air drying of sanitized utensils and
6			equipment.
7	(c)	Hand	washing facilities
8		(1)	Properly plumbed hot and cold water
9		(2)	Hand soap and single-serve towel dispensers
10		(3)	Garbage cans with lids
11		(4)	Hand sink located to allow convenient use by employees in
12			food prep, food dispensing, and dish washing areas in
13			addition to hand sink identified above.
14	(d)	Garba	age
15		(1)	Garbage containers, dumpsters, and compactor systems
16			located outside are on or above a smooth surface of
17			nonabsorbent material that is kept clean and in good repair.
18		(2)	Wastewater from these units flows into a sanitary sewer, not
19			into storm drains.
20	(e)	Floor	S
21		(1)	Easily cleanable, smooth, and of tight construction.
22		(2)	Nonabsorbent materials.
23		(3)	If subject to flood-type cleaning, floors are sloped to drain
24			with drains compliant with the Guam Building Code.
25		(4)	Joints at wall/floor junctions covered and sealed.
26	(f)	Walls	s and ceilings
27		(1)	Surface of walls and ceilings in all display, storage, and
28			processing/preparation rooms in good repair, of a light color,
29			and smooth and easily cleanable.

1	(g)	Food storage
2		(1) Separated from chemicals.
3		(2) Packages and ingredients six (6) inches off of the floor.
4	(h)	Doors/windows
5		(1) Outside openings protected from entry of pests and insects
6		by tight fitting, self-closing doors, closed windows,
7		screening, controlled air currents, or other means.
8		(2) Screens for windows and other openings to the outside are
9		tight fitting and free of breaks. Screen material not less than
10		16 mesh to the inch.
11	(i)	Lighting
12		(1) Permanently fixed artificial light sources installed to provide
13		at least 50-foot candles (540 lux) of light on all food
14		preparation surfaces and at ware-washing work levels.
15		(2) Light bulbs, fixtures, skylights, or other glass fixtures
16		suspended over exposed foods, and over equipment cleaning
17		and storage facilities, are either shielded, coated, or
18		otherwise shatter resistant.
19	(j)	Ventilation
20		(1) Sufficient ventilation in all rooms so they are free of
21		excessive heat, steam, condensation, vapors, obnoxious
22		odors, smoke, and fumes.
23		(2) Ventilation systems installed and operated according to law
24		and when vented to the outside do not create a harmful or
25		unlawful discharge.
26		(3) All systems comply with Guam Fire Codes.
27	(k)	Equipment installation
28		(1) Installed as movable or designed to be cleaned in place (CIP)

- (2) Storage shelves are smooth, impervious, easily cleanable, 1 and 6 inches off the floor. 2 (3) No unfinished wood. 3 (1) Exterior 4 **(1)** Free of shrubs, vegetation, debris, and equipment around the 5 exterior of the building to prevent harboring of pests (e.g. 6 rodents, cockroaches, flies, ants, etc.). 7 Miscellaneous (m) 8 **(1)** Separate storage area for mops, brooms, and cleaning 9 supplies. 10 11
  - (2) Mop/utility sink installed.
  - (3) Adequate clothing, lockers and/or dressing rooms for storage of soiled linens, clothes, and employees' personal items (shoes, coats, bags, etc.).

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#### § 9406. Display of Identification Badge.

- All licensees and employees on the licensed premises shall be required to hold and properly display an identification badge issued by the licensed employer at all times while on the licensed premises and engaged in the transportation of cannabis.
- (b) The identification badge must list the licensee's trade name and include the person's full and legal name and photograph.
- (c) All licensees and employees must have their state issued identification available to verify that the information on their badge is correct.
- (d) All non-employee visitors to the licensed premises, other than retail store customers, shall be required to hold and properly display an identification badge issued by the licensee at all times while on the licensed premises.

- (e) A log must be kept and maintained showing the full name of each visitor entering the licensed premises, badge number issued, the time of arrival, time of departure, and the purpose of the visit.
  - (1) All log records must be maintained on the licensed premises for a period of three years and are subject to inspection by a designated DRT employee or law enforcement officer, and must be copied and provided to the designated DRT employee or law enforcement officer upon request.
  - (2) Employees, visitors, and other persons at a cannabis licensed premises, including persons engaged in the transportation of cannabis, must provide identification to a designated DRT employee upon request.

#### § 9407. Alarm Systems.

- (a) At a minimum, each licensed premises must have a security alarm system on all perimeter entry points and perimeter windows.
- (b) Each Licensee must ensure that all of its licensed premises are continuously monitored.
- (c) The Licensees shall maintain up-to-date and current records and existing contracts on the licensed premises that describe the location and operation of each security alarm system, a schematic of security zones, the name of the alarm installation company, and the name of any monitoring company.
- (d) Upon request, licensees shall make available to agents of DRT or local law enforcement agency, all information related to security alarm systems, monitoring, and alarm activity.
- (e) Motion detectors, pressure switches, duress, panic, and hold-up alarms may also be used.

#### § 9408. Surveillance Systems.

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- 2 (a) At a minimum, a licensed premise must have a complete video 3 surveillance system with minimum camera resolution of 1080 x 720 pixels or 4 pixel equivalent for analog.
- 5 (b) The surveillance system storage device and/or the cameras must be 6 internet protocol (IP) compatible.
- 7 (c) All cameras must be fixed and placement must allow for the clear 8 and certain identification of any person and activities in controlled areas of the 9 licensed premises.
- 10 (d) All entrances and exits to an indoor facility must be recorded from 11 both indoor and outdoor, or ingress and egress vantage points.
  - (e) All cameras must record continuously twenty-four hours per day and at a minimum of 30 frames per second.
    - (f) The surveillance system storage device must be secured on the licensed premises in a lockbox, cabinet, closet, or secured in another manner to protect from employee tampering or criminal theft.
- 17 (g) All surveillance recordings must be kept for a minimum of forty-18 five (45) days on the licensee's recording device.
  - (h) All videos are subject to inspection by a designated DRT employee or law enforcement officer, and must be copied and provided to the designated DRT employee or law enforcement officer upon request.
  - (i) All recorded images must clearly and accurately display the time and date. Time is to be measured in accordance with the U.S. National Institute Standards and Technology standards.
    - (i) Controlled areas include:
  - (1) Any area within an indoor, greenhouse or outdoor room or area where cannabis is grown, or cannabis or cannabis waste is being moved within, processed, stored, or destroyed.

    Rooms or areas where cannabis or cannabis waste is never

1			present are not considered control areas and do not require
2			camera coverage.
3		(2)	All point-of-sale (POS) areas.
4		(3)	Twenty feet of the exterior of the perimeter of all required
5			fencing and gates enclosing an outdoor grow operation.
6		(4)	Any gate or other entry point that is part of the required
7			enclosure for an outdoor growing operation must be lighted
8			in low-light conditions.
9		(5)	A motion detection lighting system may be employed to
10			light the gate area in low-light conditions.
11		(6)	Any room or area storing a surveillance system storage
12			device.
13	(k)	Requ	nest for Waiver
14		(1)	A licensee or applicant for initial licensure may, in writing,
15			request that DRT waive one or more of the security
16			requirements described in subsection (a) through (j) of this
17			Rule, by submitting on a form prescribed by DRT a security
18			waiver request for DRT approval.
19			(A) DRT may, in its discretion and on a case by case
20			basis, approve the security waiver if it finds that the
21			alternative safeguard proposed by the licensee or
22			applicant for initial licensure meets the goals of the
23			above security requirements or that the security
24			requirements are in conflict with a local ordinance of
25			general applicability.
26			(B) Approved security waivers expire at the same time as
27			the underlying license and may be renewed at the time
28			the license renewal application is submitted.

1	(C) The licenses's or applicant for initial licensure's
1	(C) The licensee's or applicant for initial licensure's
2	request for a waiver shall include:
3	(i) The specific rules and subsections of a rule that
4	is requested to be waived;
5	(ii) The reason for the waiver;
6	(iii) A description of an alternative safeguard the
7	Licensee will implement in lieu of the requirement
8	that is the subject of the waiver; and
9	(iv) An explanation of how and why the alternative
10	safeguard accomplishes the goals of the security rules,
11	specifically public safety, prevention of diversion,
12	accountability, and prohibiting access to minors.
13	§ 9409. Lock Standards.
14	(a) At all points of ingress and egress, the Licensee shall ensure the
15	use of a commercial grade, non-residential door lock.
16	(b) Any outdoor or greenhouse must meet all of the requirements for
17	the lock standards described in this rule.
18	
19	§ 9410. Traceability.
20	(a) To prevent diversion and to promote public safety, cannabis
21	licensees must track cannabis from seed to sale.
22	(b) Licensees must provide the required information on a system
23	specified by DRT.
24	(c) All costs related to the reporting requirements are born by the
25	licensee.
26	(d) Cannabis seedlings, clones, plants, lots of useable cannabis or trim,
27	leaves, and other plant matter, batches of extracts, cannabis-infused products,
28	samples, and cannabis waste must be traceable from production through
29	processing, and finally into the retail environment including being able to

identify which lot was used as base material to create each batch of extracts or 1 infused products. 2 (e) The following information is required and must be kept completely 3 up-to-date in a system specified by DRT: 4 Key notification of "events," such as when a plant enters the **(1)** 5 system (moved from the seedling or clone area to the 6 vegetation production area at a young age); 7 When plants are to be partially or fully harvested or (2) 8 destroyed; 9 (3) When a lot or batch of cannabis, cannabis extract, cannabis 10 concentrates, cannabis-infused product, or cannabis waste is 11 to be destroyed; 12 **(4)** When useable cannabis, cannabis concentrates, or cannabis-13 infused products are transported; 14 (5) Any theft of useable cannabis, cannabis seedlings, clones, 15 plants, trim or other plant material, extract, infused product, 16 seed, plant tissue or other item containing cannabis; 17 (6) All cannabis plants eight or more inches in height or width 18 must be physically tagged and tracked individually; 19 **(7)** A complete inventory of all cannabis, seeds, plant tissue, 20 seedlings, clones, all plants, lots of useable cannabis or trim, 21 leaves, and other plant matter, batches of extract, cannabis 22 concentrates, cannabis-infused products, and cannabis waste; 23 (8) All cannabis, useable cannabis, cannabis-infused products, 24 cannabis concentrates, seeds, plant tissue, clone lots, and 25 cannabis waste must be physically tagged with the unique 26

identifier generated by the traceability system and tracked;

Cannabis excise tax records;

(9)

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		(10)	All samples sont to an independent testing lab any somale of
1		(10)	
2			unused portion of a sample returned to a licensee, and the
3			quality assurance test results;
4		(11)	All vendor samples provided to another licensee for
5			purposes of education or negotiating a sale;
6		(12)	All samples used for quality testing by cultivators or
7			cannabis product manufacturing facilities;
8		(13)	Samples containing useable cannabis provided to
9			Dispensaries;
LO		(14)	Samples provided to DRT for quality assurance compliance
l1			checks; and
L2		(15)	Other information specified by the CCB.
L3			
L4	§ 9411. Tr	anspor	rtation.
L5	(a)	Notif	ication of shipment
L5 L6	(a)	Notif	ication of shipment  Upon transporting any cannabis or cannabis product, a
	(a)		Upon transporting any cannabis or cannabis product, a
16	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer,
L6 L7	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer, or certified third-party testing lab shall notify DRT of the
16 17 18 19	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer, or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis
16 17 18 19	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter.
16 17 18 19 20	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make)
16 17 18 19 20 21	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer, or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make, model and license plate number), times of departure and
16 17 18 19 20 21 22 23	(a)		Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer, or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter, information about the transporting vehicle (color, make, model and license plate number), times of departure and expected delivery. This information must be reported in the
16 17 18 19 20 21 22 23		(1)	Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make model and license plate number), times of departure and expected delivery. This information must be reported in the traceability system described.
16 17 18 19 20 21 22 23 24	(a)	(1) Rece	Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make model and license plate number), times of departure and expected delivery. This information must be reported in the traceability system described.
16 17 18 19 20 21 22 23 24 25		(1)	Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer, or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make model and license plate number), times of departure and expected delivery. This information must be reported in the traceability system described.  ipt of shipment  Upon receiving the shipment, the licensee or certified third-
16 17 18 19 20 21 22 23 24		(1) Rece	Upon transporting any cannabis or cannabis product, a producer, cannabis product manufacturing facilities, retailer or certified third-party testing lab shall notify DRT of the type and amount and/or weight of cannabis and/or cannabis products being transported, the name of transporter information about the transporting vehicle (color, make model and license plate number), times of departure and expected delivery. This information must be reported in the traceability system described.

in the traceability system.

1	(c)	Transporta	tion manifest
2		(1) A co	omplete printed transport manifest on a form provided by
3		the	DRT containing all information required by the DRT
4		mus	t be kept with the product at all times.
5	(d)	Records of	ftransportation
6		(1) Rec	ords of all transportation must be kept for a minimum of
7		thre	e years at the licensee's location and are subject to
8		insp	ection.
9	(e)	Transporta	tion of product
10		(1) Can	nabis or cannabis products that are being transported
11		mus	t meet the following requirements:
12		(A)	Only the cannabis licensee, an employee of the
13			licensee, a transportation licensee, or a certified testing
14			lab may transport product and/or occupy a
15			transporting vehicle;
16		(B)	Drivers and/or occupants of a transporting vehicle
17			must be twenty-one years of age or older;
18		(C)	Cannabis or cannabis products must be in a sealed
19			package or container approved by DRT;
20		(D)	Sealed packages or containers cannot be opened
21			during transport;
22		(E)	Cannabis or cannabis products must be in a locked,
23			safe and secure storage compartment that is secured to
24			the inside body/compartment of the vehicle
25			transporting the cannabis or cannabis products;
26		(F)	Any vehicle transporting cannabis or cannabis
27			products must travel directly from the originating
28			licensee to the receiving licensee and must not make

1			any unnecessary stops in between except to other
2			facilities receiving product;
3		(G)	Live plants may be transported in a fully enclosed,
4			windowless locked trailer, or in a secured area within
5			the inside body/compartment of a van or box truck.
6			(i) A secured area is defined as an area where solid
7			or locking metal petitions, cages, or high strength
8			shatterproof acrylic can be used to create a secure
9			compartment in the fully enclosed van or box truck.
10			(ii) The secure compartment in the fully enclosed
11			van or box truck must be free of windows.
12			(iii) Live plants may not be transported in the bed of
13			a pickup truck, a sports utility vehicle, or passenger
14			car
15	(	2) Any	vehicle assigned for the purposes of transporting
16		canna	bis, usable cannabis, cannabis concentrates, or
17		canna	bis-infused products shall be considered an extension
18		of the	licensed premises.
19	(	3) Trans	port vehicles are subject to inspection by DRT
20		enfor	cement officers at any licensed location.
21	(	4) All ca	annabis plants, clones, seeds, lots, batches, intermediate
22		produ	ects, end products, vendor samples, and sample jars
23		must	remain physically tagged during transport.
24			
25	§ 9412. Wast	te Manage	ment and Disposal.
26	(a) T	The license	e must store, manage and dispose of solid and liquid
27	wastes genera	ted during	cannabis production and processing in accordance with

applicable Guam laws and regulations.

- 1 (b) The licensee must document a reason for the waste in a form and 2 manner prescribed by the DRT.
- 3 (c) The licensee must document the exact time and method of 4 destruction in a form and manner prescribed by the DRT.
- 5 (d) For waste that was previously designated a cannabis item, all 6 licensees must:
- 7 (1) Hold on the licensed premises for at least three business days under camera coverage prior to disposal;
- 9 (2) Document a reason for the waste in a form and manner 10 prescribed by the DRT; and

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- (3) Document the exact time and method of destruction in a form and manner prescribed by the DRT.
- (e) A licensee may give or sell cannabis waste to a cultivator, manufacturer, dispensary or research certificate holder.
- 15 (f) In addition to information required to be entered by DRT, a 16 licensee must maintain accurate and comprehensive records regarding waste 17 material that accounts for, reconciles, and evidences all waste activity related to 18 the disposal of cannabis.
  - (g) Waste items consisting of usable cannabis, concentrates, extracts or cannabinoid products must be disposed of on the licensed premises or transferred to another licensee for disposal.
- 22 (h) Any product containing cannabis or hemp waste may not be 23 transferred or sold to any licensee for consumption.
- 24 (i) Cannabis production could result in the generation of hazardous 25 waste (e.g. use of solvents for processing might result in ignitable or toxic 26 wastes)
- 27 (j) Management of pesticides may result in pesticide hazardous 28 wastes. Disposal of hazardous waste above specified quantities requires a Guam 29 EPA permit.

1	(k)	Potei	ntial hazardous wastes:
2		(1)	Pressurized gas
3		(2)	Solvents
4		(3)	Used oil
5		(4)	Used mercury containing lamps
6		(5)	Waste pesticides – three percent or greater of any substance
7			or mixture listed in 40 Code of Federal Regulations
8			261.33(e) or 10 percent or greater of any substance or
9			mixture.
10		(6)	Reportable quantities of a pesticide spill - pesticide residues
11			greater than 200 lbs or 25 gallons.
12	(1)	Solid	and liquid wastes generated during cannabis production and
13	processing	must	be stored, managed, and disposed of in accordance with
14	applicable	Guam	laws and regulations.
15	(m)	Wast	ewater generated during cannabis production and processing
16	must be dis	posed	of in compliance with applicable Guam laws and regulations.
17		(1)	Wastes from the production and processing of cannabis
18			plants must be evaluated against Guam's dangerous waste
19			regulations to determine if those wastes designate as
20			dangerous waste.
21		(2)	It is the responsibility of each waste generator to properly
22			evaluate their waste to determine if it is designated as a
23			dangerous waste. If a generator's waste does designate as a
24			dangerous waste, then that waste(s) is subject to the
25			applicable management standards found in Guam's
26			dangerous waste regulations.
27		(3)	Wastes that must be evaluated against the dangerous waste
28			regulations include but are not limited to the following:

1		(A)	waste from cannabis flowers, trim and solid plant
2			material used to create an extract;
3		(B)	Waste solvents used in the cannabis process;
4		(C)	Discarded plant waste, spent solvents and laboratory
5			wastes from any cannabis processing or quality
6			assurance testing; and
7		(D)	Cannabis extract that fails to meet quality testing.
8	(4)	Cann	abis wastes that do not designate as dangerous shall be
9		mana	ged in accordance with subsection (5) of this section.
10		(A)	Cannabis plant, useable cannabis, trim and other plant
11			material in itself is not considered dangerous waste
12			unless it has been treated or contaminated with a
13			solvent.
14		(B)	Cannabis waste that does not designate as dangerous
15			waste (per subsection (3) of this section) must be
16			rendered unusable following the methods in
17			subsection (5) of this section prior to leaving a
18			licensed producer, manufacturer, or laboratory.
19		(C)	Disposal of the cannabis waste rendered unusable
20			must follow the methods under subsection (5) of this
21			section. Wastes that must be rendered unusable prior
22			to disposal include, but are not limited to, the
23			following:
24			(i) Waste evaluated per subsection (4) of this
25			section and determined to not designate as "Dangerous
26			Waste";
27			(ii) Cannabis plant waste, including roots, stalks,
28			leaves, and stems that have not been processed with
29			solvent;

1			(iii) Solid cannabis sample plant waste possessed by
2			testing laboratories to test for quality assurance that
3			must be disposed of; and
4			(iv) Other wastes as determined by DRT.
5	(5)	The	allowable method to render cannabis plant waste
6		unusa	able is by grinding and incorporating the cannabis plant
7		waste	e with other ground materials so the resulting mixture is
8		at lea	ast fifty (50) percent non-cannabis waste by volume.
9		Other	r methods to render cannabis waste unusable must be
10		appro	oved by DRT before implementation.
11	(6)	Mater	rial used to grind with the cannabis falls into two
12		catego	gories:
13		(A)	Compostable mixed waste: Cannabis waste to be
L4			disposed as compost feedstock or in another organic
15			waste method (for example, anaerobic digester) may
16			be mixed with the following types of waste materials:
17			(i) Food waste;
18			(ii) Yard waste;
19			(iii) Vegetable based grease or oils; or
20			(iv) Other wastes as approved by DRT.
21		(B)	Non-compostable mixed waste: Cannabis waste to be
22			disposed in a landfill or another disposal method (for
23			example, incinerator) may be mixed with the
24			following types of waste materials:
25			(i) Paper waste;
26			(ii) Cardboard waste;
27			(iii) Plastic waste;
28			(iv) Sawdust;
99			(v) Soil: or

1			(v <sub>1</sub> ) Other wastes as approved by the DRT.
2	(7)	Canr	nabis wastes rendered unusable following the method
3		desc	ribed in subsection (5) of this section can be disposed.
4	(8)	Disp	osal of the cannabis waste rendered unusable may be
5		deliv	vered to a permitted solid waste facility for final
6		dispo	osition. Examples of acceptable permitted solid waste
7		facil	ities include:
8		(A)	Compostable mixed waste: Compost, anaerobic
9			digester, or other facility with approval of the
10			Department of Agriculture.
11		(B)	Non-compostable mixed waste: Landfill, incinerator,
12			or other facility with approval of the Department of
13			Agriculture.
14	(9)	A re	cord of the final destination of cannabis waste rendered
15		unus	able.
16		(A)	A licensee shall utilize the inventory tracking system
17			to ensure its post-harvest waste materials are
18			identified, weighed and tracked while on the licensed
19			premises until disposed of.
20		(B)	All cannabis, cannabis concentrate and cannabis-
21			infused product waste must be weighed before leaving
22			any cannabis facility. A scale used to weigh cannabis
23			waste prior to entry into the inventory tracking system
24			shall be tested and approved by DRT.
25		(C)	A Licensee is required to maintain accurate and
26			comprehensive records regarding waste material that
27			accounts for, reconciles, and evidences all waste
28			activity related to the disposal of cannabis.

(D) A Licensee is required to maintain accurate and comprehensive records regarding any waste material produced through the trimming or pruning of a cannabis plant prior to harvest, which must include weighing and documenting all waste, including fibrous waste. Unless required by an Inventory Tracking System procedure, records of waste produced prior to harvest must be maintained on the licensed premises. Waste, excluding fibrous waste, whether produced prior to or subsequent to harvest, must be disposed of in accordance with this Rule and be made unusable and unrecognizable.

# § 9413. Employee Training

- (a) Cannabis cultivators, cannabis product manufacturing facilities and dispensaries that create, handle, or sell compliant cannabis products shall adopt and enforce policies and procedures to ensure employees and volunteers receive training about the requirements of this Chapter.
- (b) Nothing in subsection (a) of this section allows any owner, employee, or volunteer to:
  - (1) Perform the duties of a cannabis consultant or represent themselves as a cannabis consultant unless the person holds a valid certificate.
  - (2) Offer or undertake to diagnose or cure any human or animal disease, ailment, injury, infirmity, deformity, pain, or other condition, physical or mental, real or imaginary, by use of cannabis products or any other means or instrumentality.

#### Article 5 1 **Retail Cannabis Stores** 2 § 9501. Operation Standards for Retail Cannabis Stores. 3 § 9502. Security. 4 Chain of Custody Form § 9503. 5 § 9504. Transport of Cannabis. 6 Loss of Cannabis. 7 § 9505. § 9506. Inspections. 8 § 9507. Destruction and Disposal of Cannabis. 9 10 § 9501. Operation Standards for Retail Cannabis Stores. 11 (a) A retail cannabis store shall comply with all local, health, fire, and 12 zoning requirements and other applicable requirements and shall not be in 13 violation of Guam's building and zoning laws or any other applicable law, rule 14 or regulation. 15 (b) A retail cannabis store that stores, sells, distributes or dispenses 16 cannabis-infused edible food products shall comply with Title 10 GCA, 17 Chapters 21, 22, 23, 24, and 40 and applicable rules and regulations, to ensure 18 proper food safety. 19 Only the responsible official and authorized employees, with valid 20 (c) Cannabis Identification Cards, of the retail cannabis store shall be permitted to 21 touch or handle any cannabis, cannabis concentrate, cannabis-infused product or 22 cannabis product. 23 A retail cannabis store: (d) 24 (1) Shall not possess cannabis, cannabis concentrate, cannabis-25 infused product or cannabis products until the retail cannabis 26

store has a Cannabis Establishment License;

1		(2)	Shall not sell cannabis, cannabis concentrate, cannabis-
2			infused product or cannabis products until the retail cannabis
3			store has a Permit to Operate from the Department;
4		(3)	Shall not transfer any cannabis, cannabis concentrate,
5			cannabis-infused product or cannabis products to any other
6			retail cannabis store;
7		(4)	Shall not accept any cannabis, cannabis concentrate,
8			cannabis-infused product or cannabis products to any other
9			retail cannabis store;
10		(5)	Shall ensure that all cannabis, cannabis concentrate,
11			cannabis-infused product or cannabis products it sells are
12			tested for potency and safety by a cannabis testing facility
13			licensed by the Department and is safe for use or
L4			consumption.
15		(6)	Shall remain secured at all times;
16		(7)	Shall be located in an enclosed indoor facility;
L7		(8)	Shall be accessible to authorized individuals only;
18		(9)	Shall maintain a twenty-four (24) hour security system
19			pursuant to § 9502;
20	(e)	When	n selling cannabis, cannabis concentrate, cannabis-infused
21		produ	act or cannabis products to a consumer, the retail cannabis
22		store:	
23		(1)	shall request verification of identity as defined in § 11003
24			(mmm) from the consumer. If the identity of the consumer
25			attempting to purchase cannabis, cannabis concentrate,
26			cannabis-infused product or cannabis products does not
27			match the identity of the person presenting the verification,
98			the authorized employee or responsible official shall report

1	the violation to the Department and the Guam Police
2	Department.
3	(2) Shall verify that the consumer is not receiving more than the
4	allowable amount as defined in and shall not sell any amount
5	of cannabis, cannabis concentrate, cannabis-infused product
6	or cannabis products to the consumer that exceeds the
7	allowable amount;
8	(3) May sell to a consumer any combination of cannabis,
9	cannabis concentrate, cannabis-infused product or cannabis
10	products that shall not exceed the allowable amount as
11	defined in the Act;
12	(f) The retail cannabis store shall ensure that:
13	(1) There are safeguards to prevent unauthorized access to
14	cannabis, cannabis concentrate, cannabis-infused product or
15	cannabis products;
16	(2) There are safeguards to prevent unauthorized access to
17	consumers; and
18	(3) Sales are backed up and recoverable.
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20	§ 9502. Security.
21	(a) A cannabis establishment shall implement appropriate security
22	measures to prevent the unauthorized access into areas containing cannabis,
23	cannabis concentrate, cannabis-infused product or cannabis products and the
24	theft and diversion of said products.
25	(b) A cannabis establishment is responsible for the security of all
26	cannabis, cannabis concentrate, cannabis-infused product or cannabis products
27	on licensed premises or in transit from one cannabis establishment to another

cannabis establishment.

- (c) A cannabis establishment shall be responsible for ensuring that all surveillance equipment are properly functioning and maintained so that the playback quality is suitable for viewing and the surveillance equipment are capturing the activities in the monitored areas.
- (d) A cannabis establishment shall comply with all applicable security requirements set forth in these rules and regulations.
- (e) All entrances, exits, windows, gates, and other points of entry of a cannabis facility shall be equipped with commercial grade, non-residential door locks or other functioning mechanical or electrical security devices;
  - (f) The cannabis establishment shall have an alarm system that:
    - (1) Shall provide coverage for all points of ingress and egress to the facility, including but not limited to, doorways, windows, loading bays, skylights and retractable roof mechanisms;
    - (2) Shall provide coverage of any room with an exterior wall, any room containing a safe, and any room used to grow or store cannabis, cannabis concentrate, cannabis-infused product or cannabis products;
    - (3) Shall be activated twenty-four (24) hours a day every day; and
    - (4) Shall have the ability to remain operational during a power outage.
- (g) All cannabis establishments shall be equipped with video surveillance systems that have the following features:
  - (1) Video cameras that can provide coverage of all entrances and exits from limited access areas and all entrances to and exits from the cannabis establishment, capable of identifying any activity occurring in or adjacent to the cannabis establishment;

1		(2)	Video cameras having a minimum resolution to allow for the
2			monitoring of persons and activities in any area;
3		(3)	Allows for twenty-four (24) hour, seven (7) days per week
4			continuous video monitoring.
5		(4)	Display a date and time stamp on all recorded video.
6		(5)	Able to archive recorded video for a minimum of one (1)
7			year.
8		(6)	Sufficient battery backup for video cameras and recording
9			equipment to support at least four (4) hours of recording in
10			the event of a power outage;
11		(7)	All establishments must maintain at least one (1) on-site
12			display monitor connected to the surveillance system at all
13			times. The monitor shall have a screen size of at least twelve
14			(12) inches.
15	(i)	All c	annabis establishments shall maintain camera coverage of the
16	following a	reas:	
17		(1)	All points of ingress and egress to the facility, including, but
18			not limited to, doorways, windows, loading bays, skylights,
19			and retractable roof mechanisms;
20		(2)	Any room, except restrooms, containing a safe, and any
21			room or area used to grow, process, manufacture, prepare,
22			weigh, package, tag, store, distribute, transport cannabis;
23	(1)	All a	areas in which any part of the disposal process of cannabis
24	occurs.		
25	(j)	The	video surveillance system video recording storage device shall
26	be secured	in a m	nanner that limits access to protect the system from tampering
27	or theft.		
28	(k)	Canr	nabis establishments shall keep a surveillance equipment
29	maintenanc	e log	on the premises to record all service activity including the

Cannabis Control Board Proposed Rules & Regulations

1	identity of the individual(s) performing the service, the service date and time					
2	and the reason for the service to the surveillance system.					
3						
4	(l) Cannabis establishments shall identify individuals with access to					
5	surveillance system controls and monitoring upon request by the Department.					
6	(m) All video surveillance records and recordings shall be available					
7	upon request to the Department and law enforcement agencies. The cannabis					
8	establishment shall keep all video surveillance records and recordings for at					
9	least one (1) year.					
10	(n) A cannabis cultivation facility shall have a surveillance or security					
11	camera in each grow room capable of identifying any activity occurring within					
12	the grow room in dark conditions.					
13	(o) In the event of a breach or failure in its security system, the					
14	cannabis establishment shall immediately suspend retail transactions and secure					
15	the affected area until the security system is fully operable. The cannabis					
16	establishment shall notify the Department immediately upon the breach or					
17	failure and again when it resumes operations.					
18	(p) A cannabis establishment shall have policies and procedures that					
19	address the following:					
20	(1) Restrict access to the areas that cannabis, cannabis					
21	concentrate, cannabis-infused product or cannabis products;					
22	(2) Provide for the identification of authorized individuals, i.e.					
23	employee badges;					
24	(3) Prevent loitering;					
25	(4) Conduct video surveillance monitoring; and					

# § 9503. Chain of Custody Form.

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All sales or transfers of cannabis, cannabis concentrate, cannabis-infused product or cannabis product from licensed cannabis establishment to licensed Cannabis Control Board Proposed Rules & Regulations 90

- cannabis establishment shall be tracked via a seed-to-sale program compatible
- 2 with the Department or prescribed chain of custody form to include, but not be
- 3 limited to:

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- 4 (a) Cannabis Cultivation Facility to a Cannabis Testing Facility:
- The lot number of cannabis crop or batch number of cannabis concentrate, cannabis-infused product or cannabis product, if applicable;
  - (2) The date the cannabis was harvested;
  - (3) The net weight and gross weight of cannabis sold or transferred;
  - (4) The name, address, and license number of the cannabis cultivation facility from which the crop originated;
  - (5) The signature of the person who received and verified the shipment;
  - (6) The time and date when the receiving party took custody of the shipment; and
  - (7) Any other information deemed necessary by the Department.
  - (b) Licensed cannabis establishment to licensed cannabis establishment:
    - (1) The lot number of the cannabis crop;
    - (2) The batch number of the cannabis concentrate, cannabis-infused product or cannabis product, if applicable;
      - (3) The date the cannabis was harvested;
      - (4) The name, address, and license number of the licensed cannabis establishment from which the crop originated;
  - (5) The name, address, and license number of the licensed cannabis establishment from which the cannabis product originated;

1		(6)	The net weight and gross weight of cannabis, cannabis
2			concentrate, cannabis-infused product or cannabis product
3			sold or transferred;
4		(7)	The laboratory test results and report;
5			
6		(8)	A declaration from the cannabis testing facility that the
7			product meets the minimum laboratory testing requirements
8			set by the Department;
9		(9)	A declaration from the licensed cannabis establishment that
10			all information in the chain of custody form is true and
11			correct;
12		(10)	The name, address, and license number or cannabis
13			identification number of the receiving party;
14		(11)	The signature of the person who received and verified the
15			shipment;
16		(12)	The time and date when receiving party took custody of the
17			shipment;
18		(13)	The travel plan; and
19		(14)	Any other information deemed necessary by the Department.
20	(c)	Cann	abis Testing Facility to Licensed Cannabis Business:
21		(1)	A Chain of Custody report as specified in Subsection (a) of
22			this Section;
23		(2)	A Chain of Custody report as specified in Subsection (b) of
24			this Section, if applicable;
25		(3)	Net weight and gross weight of amount of any unused,
26			untested cannabis, cannabis concentrate, cannabis-infused
27			product or cannabis product returned to the licensed
28			cannabis establishment;

# § 9504. Transport of Cannabis.

- (a) Cannabis, cannabis concentrate, cannabis-infused product or cannabis product shall only be transported by a designated transporter of a licensed cannabis establishment with a valid cannabis identification card.
- (b) The designated transporter authorized by the licensed cannabis establishment shall:
  - (1) Be registered with the Department;
  - (2) Be trained and knowledgeable on transportation protocols;
  - (3) Use a vehicle that does not bear any markings to indicate that the vehicle contains cannabis or bears the name or logo of the cannabis establishment to transport the cannabis, cannabis concentrate, cannabis-infused product or cannabis product.
  - (4) Ensure that the cannabis, cannabis concentrate, cannabisinfused product or cannabis products are not visible or recognizable from outside the vehicle.
  - (5) Ensure that the cannabis, cannabis concentrate, cannabis-infused product or cannabis products are stored in airtight, tamper proof packaging to maintain their quality and safety.
  - (6) Shall carry their cannabis identification card at all times when transporting or delivering cannabis, cannabis concentrate, cannabis-infused product or cannabis products and upon request, produce the cannabis identification card to the Department or to a law enforcement officer acting in their official capacity.
- (c) At least one (1) designated transporter must remain with the product at all times that the motor vehicle contains cannabis, cannabis concentrate, cannabis-infused product or cannabis products;

(d) Each time cannabis, cannabis concentrate, cannabis-infused product or cannabis products are transported, the licensed cannabis establishment shall document the transport in the seed-to-sale system or the chain of custody form prescribed by the Department that lists the elements required by the Department's tracking system.

- (e) For transport between one cannabis establishment to another cannabis establishment, a transport container shall be packed, secured, loaded, unloaded, and unpacked, in full view of security surveillance cameras.
- (f) The cannabis establishment that is receiving the cannabis, cannabis concentrate, cannabis-infused product or cannabis products shall verify by affixing a signature that the cannabis, cannabis concentrate, cannabis-infused product or cannabis products are received as listed on the chain of custody form.
- (g) Upon receipt of the cannabis, cannabis concentrate, cannabis-infused product or cannabis products, the licensed cannabis establishment shall immediately report to the Department any discrepancies between what is received and what is on the chain of custody form.
- (h) The designated transporter transporting the cannabis, cannabis concentrate, cannabis-infused product or cannabis products shall not stop at a location not listed on the chain of custody form.
- (i) The designated transporter shall have access to a secure form of communication with the cannabis establishment and the ability to contact law enforcement through 911 emergency systems at all times that the motor vehicle contains the cannabis, cannabis concentrate, cannabis-infused product or cannabis products.
  - (1) If an emergency requires stopping the vehicle, the designated transporter shall report the emergency immediately to law enforcement through the 911 emergency systems and the cannabis establishment which shall immediately notify the Department.

(2) The designated transporter shall complete an incident report form prescribed by the Department.

## § 9505. Loss of Cannabis.

Any loss of cannabis, cannabis concentrate, cannabis-infused product or cannabis product over one (1) ounce due to theft or natural disaster shall be reported to the Department and the Guam Police Department within twenty-four (24) hours, along with the associated Chain of Custody forms for the lost cannabis or cannabis-related product. The report shall include the amount of cannabis in weight that was lost.

# § 9506. Inspections.

- (a) Authorized members of the Department, the Guam Police Department and other law enforcement agencies, the Guam Fire Department, Department of Public Works, Guam Environmental Protection Agency and the Guam Department of Agriculture may conduct inspections as needed during business hours to ensure that the cannabis establishment is complying with local laws *and regulations*.
- (b) A licensed cannabis establishment shall give the Department unrestricted access to all premises of the cannabis establishment, equipment, records, documents, and any other substance, material or information relevant to ensure the licensed cannabis establishments compliance with these rules and regulations.
- (c) A licensed cannabis establishment shall not refuse to allow inspection at any of its facilities, and its employees and personnel shall not delay or interfere with any inspection.
- (d) Upon completion of the inspection, the Department shall provide written notice within five (5) business days to the licensed cannabis establishments of its findings.

- 1 (e) If deficiencies in operational standards are discovered, the 2 Department shall suspend the licensed cannabis establishments Permit to 3 Operate.
  - (f) The cannabis establishment shall be given ten (10) business days to correct the deficiencies.
    - (g) The cannabis establishment may submit a written request for reasonable extension to correct deficiencies if the cannabis establishment can show that the corrections cannot be made within ten (10) business days. The Department shall review and grant or deny the written request for extension within three (3) business days.
    - (h) Failure to correct the deficiencies in the allotted time will result in a written notice of closure, and the revocation of the Permit to Operate.

# § 9507. Destruction and Disposal of Cannabis.

- (a) All laboratory tested cannabis determined to be unusable or contaminated according to the minimum laboratory testing requirements set by these rules and regulations must be destroyed and/or disposed in accordance with Guam law within twenty-four (24) hours of determination and reported to the Department with forty-eight (48) hours of disposal pursuant to 10 GCA, Division 1, Chapter 12 Part 2, Article 25, § 122512 (k).
- (b) All unused, unsold, contaminated or expired cannabis, cannabis concentrate, cannabis-infused product or cannabis product or waste products resulting from the cultivation and manufacturing process including any inventory existing at the time of revocation or surrender of a license shall be destroyed or disposed pursuant to federal and local laws to ensure that the cannabis, cannabis concentrate, cannabis-infused product or cannabis products do not become available to unauthorized persons and is documented as subtracted from inventory;

- (c) A cannabis establishment shall establish written policies and procedures to be followed by all of its employees for the disposal or destruction of cannabis, cannabis concentrate, cannabis-infused product or cannabis products.
- (d) The disposal or destruction of the cannabis, cannabis concentrate, cannabis-infused product or cannabis products cannot be in public view or expose the public unknowingly to cannabis.
- (e) If necessary, the Department and authorized law enforcement personnel may be authorized to possess cannabis for the purpose of secure destruction and disposal in accordance to the Act, these rules and regulations, relevant local regulations and must render the *cannabis* unusable and unrecognizable.
- (f) The waste must be unusable and unrecognizable prior to leaving the licensed premises of any cannabis establishment. Cannabis wastes are additionally subject to the following inventory tracking requirements:
  - (1) Post-harvest cannabis waste materials must be identified, weighed and tracked while on the licensed premises until disposed of in a manner as outlined above. Cannabis waste must be weighed and inventoried before leaving any cannabis establishment using a scale certified or calibrated in accordance with measurement standards.
  - (2) A licensee is required to maintain accurate and comprehensive records regarding waste material that account for, reconcile and evidence all waste activity related to the disposal of cannabis.
  - (3) A licensee is required to maintain accurate and comprehensive records regarding any cannabis waste material produced through the trimming or pruning of a cannabis plant prior to harvest. Records must include weighing and documenting all wastes.

1	(4) The cannabis establishment shall submit a video recording of the
2	destruction and disposal of the cannabis, cannabis concentrate,
3	cannabis-infused product or cannabis product, and attach the
4	recording with a written report of the destruction of the cannabis.
5	The written report shall include the information required in 10
6	GCA, Division 1, Chapter 12 Part 2, Article 25, § 12521.
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2	Article 6
3	Labeling and Packaging
4	§ 9601. Purpose
5	§ 9602. Authority
6	§ 9603. Title
7	§ 9604. Definitions
8	§ 9605. Labeling
9	§ 9606. Packaging
10	§ 9607. Labeling and Packaging Colors
11	§ 9608. Accompanying Materials
12	§ 9609. Upon Request Materials
13	§ 9610. Cannabis Warning Symbol Requirement
14	§ 9611. Advertising requirements and promotional items—Coupons,
15	giveaways, etc.
16	§ 9612. Severability

#### § 9601. Purpose.

The purpose of these rules and regulations is to provide labeling and packaging requirements for cannabis and cannabis products sold or distributed by a cannabis establishment.

#### § 9602. Authority.

Title 11 Guam Code Annotated (GCA), Chapter 8, Section 8110 authorizes the Cannabis Control Board to establish rules and regulations to govern the labeling and packaging requirements for cannabis and cannabis products.

#### § 9603. Title.

These rules and regulations shall be known as the "Labeling and Packaging Rules and Regulations for Cannabis and Cannabis Products."

#### § 9604. Definitions.

- (a) "Adult only facility" means:
- (1) A location restricted to persons age twenty-one and older by the Department or classified by the Department as off limits to persons under twenty-one years of age; or
  - (2) A venue restricted to persons age twenty-one and older and where persons under twenty-one years of age are prohibited from entering or remaining, including employees and volunteers.
- (b) "Advertisement" means all representations disseminated in any manner or by any means, other than by labeling, for the purpose of inducing, or which are likely to induce, directly or indirectly, the purchase of cannabis and cannabis products.

1	(c)	"Bill	board" means a permanent off-premises sign in a fixed
2	location us	sed, in	whole or in part, for the display of off-site commercial
3	messages ir	n accor	rdance with Title 21 GCA, Chapter 61, Subarticle 5.
4	(d)	"Car	toon" means any drawing or other depiction of an object,
5	person, ani	mal,	creature, or any similar caricature that satisfies any of the
6	following c	riteria	:
7		(1)	The use of comically exaggerated features;
8		(2)	The attribution of human characteristics to animals, plants or
9			other objects, or the similar use of anthropomorphic
LO			technique; or
l1		(3)	The attribution of unnatural or extra-human abilities, such as
L2			imperviousness to pain or injury, X-ray vision, tunneling at
L3			very high speeds, or transformation.
L4	(e)	"Cur	rative" means the same as "Therapeutic effect."
L5	(f)	"De	partment" means the Department of Revenue and Taxation.
L6	(g)	"Dii	rector" means the Director of the Department of Revenue and
L7	Taxation or	his/he	er authorized designee.
L8	(h)	"Esp	ecially appealing to children" means a product, label, or
L9	advertiseme	ent tha	at includes, but is not limited to, the following:
20		(1)	The use of cartoons;
21		(2)	Bubble-type or other cartoon-like or action font;
22		(3)	The use of bright colors similar to those used on
23			commercially available products intended for or that target
24			youth or children;
25		(4)	A design, brand, or name that resembles a non-cannabis
26			consumer product of the type that is typically marketed to
27			minors;
2		(5)	Symbols or celebrities that are commonly used to market

products to minors;

1		(6)	Images of minors; or
2		(7)	Similarities to products or words that refer to products that
3			are commonly associated with minors or marketed to minors.
4	(i)	"Imn	nediate container" means the external container holding the
5	cannabis and	l/or ca	annabis product.
6	(j)	"Lab	eling" means all labels, written and printed in English or
7	Chamorro la	nguag	ge, or graphic matter:
8		(1)	Upon an article or any of its containers or wrappers; or
9		(2)	Accompanying such article.
10	(k)	"Off-	premises sign" means a sign relating, through its message and
	( )		
11			ness activity, product, or service not available on the premises
12	upon which	the sig	gn is erected.
13	(1)	"Pac	kage" means any container or wrapping in which any
14	consumer co	ommo	odity is enclosed for use in the delivery or display of that
15	consumer co	mmo	dity to retail purchasers, but does not include:
16		(1)	Shipping containers or wrapping used solely for the
17			transportation of any consumer commodity in bulk or in
18			quantity to manufacturers, packers or processors or to
19			wholesale or retail distributors thereof;
20		(2)	Shipping containers or outer wrappings used by retailers to
21			ship or deliver any commodity to retail customers if such
22			containers and wrappings bear no printed matter pertaining
23			to any particular commodity.
24	(m)	"Prin	cipal display panel" means the portion(s) of the surface of the
25	immediate c	ontaiı	ner, or of any outer container or wrapping, which bear(s) the
26	labeling des	signed	I to be most prominently displayed, shown, presented, or
27	examined un	ider c	onditions of retail sale.
28	(n)	"The	erapeutic effect" means any of the following statements or
29	references of	f a cai	nnabis or cannabis product:
30		(1)	Having an effect on the body or mind;
31		(2)	Producing a useful or favorable result or effect:

Impacting the health of the consumer; or

(3)

1		(4)	Conta	aining any of the following words such as, but not
2				ed to: relief, remedy, healing, curative, remedial,
3				cinal, restorative, beneficial, corrective, wellness, well- , salutary, salubrious, and support.
4 5			oemg	, salutary, saluorious, and support.
6	§ 9605. Lal	beling		
7	(a)	Cann	abis a	nd cannabis product labels generally. The following
8	label requir	ement	s shall	apply to all cannabis and cannabis products:
9		(1)	Usab	le cannabis, cannabis concentrates, and cannabis-
10			infus	ed products must not be labeled as organic unless
11			perm	itted by the Guam Department of Agriculture and the
12			Unite	ed States Department of Agriculture in accordance with
13			the (	Organic Foods Production Act (Title 21 of Food,
14			Agric	culture, Conservation, and Trade Act of 1990).
15		(2)	All i	nformation, warning statements, and language required
16			in thi	s Section to appear on the label or labeling must not be
17			cove	red or obscured in any way so to prevent it from being
18			likely	to be read and understood by the ordinary individual
19			unde	customary conditions of purchase and use.
20		(3)	Labe	ls affixed to the container or package containing
21			canna	abis or cannabis products sold at retail must include:
22			(A)	The manufacture date; identification, batch, and lot
23				numbers as applicable;
24			(B)	The unique identifier number generated by the
25				Department's seed to sale tracking system. This must
26				be the same number that appears on the transport
27				manifest;
28			(C)	An accurate statement of the quantity of contents in
29				terms of weight, measure, or numerical count, which

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statement shall be separately and accurately stated in a

1			uniform location upon the principal display panel of
2			the label; variations as approved by the Director may
3			be used solely for those small packages wherein such
4			statements of net quantity of contents would not be
5			easily labeled to be prominently displayed, shown,
6			presented, or examined under conditions of retail sale;
7		(D)	If more than one serving is in a package, the label
8			must prominently display the number of servings in
9			the package and the amount of product per serving;
10		(E)	A list of pharmacologically active ingredients,
11			including, but not limited to, THC (delta-9
12			tetrahydrocannabinol) concentration listed as total
13			THC and activated THC-A and CBD concentration
14			(cannabidiol) listed as total CBD and activated CBD-
15			A milligrams per serving, servings per package, and
16			the THC and CBD, and other cannabinoid amount in
17			milligrams for the package total for prepared cannabis,
18			as applicable; The name and place of business of the
19			manufacturer, packer, or distributor;
20		(F)	The common or usual name of the cannabis or
21			cannabis; product; and
22		(G)	In case the cannabis or cannabis product consists of
23			two or more ingredients, the common or usual name
24			of each such ingredient listed in order of decreasing
25			predominance.
26	(4)	Labels of u	sable cannabis or cannabis products sold at retail must
27		not contain	any statement, depiction, or illustration that:
28		(A)	Is false or misleading;

1	(B)	Promotes over consumption (i.e., using more than the
2		recommended dose or serving);
3	(C)	Represents the use of cannabis has curative or
4		therapeutic effects;
5	(D)	Depicts a child or other person under legal age
6		consuming cannabis or cannabis product, or includes:
7		(i) Objects such as toys, characters suggesting the
8		presence of a child, or any other depiction or
9		illustration designed in any manner to be especially
LO		appealing to children or other persons under twenty-
l1		one years of age; or
L2		(ii) Is designed in any manner that is especially
L3		appealing to children or other persons under twenty-
L4		one years of age.
L5	(5) Labels of t	isable cannabis or cannabis products that contain the
L6	phrase, "Th	is product is not approved by the FDA to treat, cure, or
L7	prevent any	disease" does not supersede any of the provisions of
L8	these rules a	and regulations.
L9	(b) Standard wa	arnings required on all labels. Warning statements must
20	be included on labels of	of all cannabis and cannabis products. The following
21	warning statements requ	ired below must be of a size to be legible and readily
22	visible to a consumer ins	specting a package and must not be covered or obscured
23	in any way:	
24	(1) "KEI	EP OUT OF REACH OF CHILDREN AND
25	ANIN	MALS" in bold print;
26	(2) The c	annabis universal symbol as provided in §1309; and
27	(3) A wa	rning if peanuts, tree nuts (i.e., walnuts and almonds),
28	or ot	her known allergies as defined in the Food Allergen
29	Labe	ling and Consumer Protection Act of 2004

1		(http:	s://www.fda.gov/media/77570/download) or gluten-
2		conta	nining products are used.
3	(c) Addi	tional	product-specific labeling requirements. In addition to
4	the labeling requi	rement	es in Subsection (a) and (b) of this Section, the following
5	product-specific l	abelin	g requirements apply to each of the following product
6	types and must be	presei	nt on labels when offered for sale at retail:
7	(1)	Usab	le cannabis, including cannabis mix. The statement
8		"Smo	oking is hazardous to your health."
9	(2)	Cann	abis concentrates, cannabis infused extract for
10		inhal	ation, and infused cannabis mix.
11		(A)	If solvents were used to create the concentrate or
12			extract, a statement that discloses the type of
13			extraction method, including any solvents or gases
14			used to create the concentrate or extract; and
15		(B)	Any other chemicals or compounds used to produce or
16			were
17		(C)	added to the concentrate or extract.
18	(3)	Cann	abis-infused products [except for cannabis-infused
19		produ	acts for topical application as provided in (c)(4) of this
20		Subs	ection].
21		(A)	Serving size and the number of servings contained
22			within the unit;
23		(B)	A list of the common or usual name of all ingredients
24			in descending order of predominance by weight or
25			volume as applicable and a list of major food allergens
26			as defined in the Food Allergen Labeling and
27			Consumer Protection Act of 2004
28			(https://www.fda.gov/media/77570/download);

1			(C)	if solvents were used, a statement that discloses the
2				type of extraction method, including any solvents,
3				gases, or other chemicals or compounds used to
4				produce or that are added to the extract; and
5			(D)	The following sentence: "CAUTION: When eaten or
6				swallowed, the intoxicating effects of this product
7				may be delayed by up to two hours."
8		(4)	Cann	abis-infused products for topical application.
9			(A)	The statement "DO NOT EAT" in bold, capital letters
10				in the principal display panel; and
11			(B)	A list of the common or usual name of all ingredients
12				in descending order of predominance by weight or
13				volume as applicable.
14	(d)	Perm	itted o	ptional information that may be included on labels.
15		(1)	Harv	est date and manufactured dates are optional
16			infor	mation that may be placed on labels.
17		(2)	Othe	r cannabinoids and terpenes not required to be placed on
18			the la	abel by this Section may be included on the label if:
19			(A)	The producer or processor has test results from a
20				certified third-party laboratory to support the claim;
21				and
22			(B)	The laboratory results are made available to the
23				consumer upon request pursuant to §0008.
24	§ 9606. Pac	kagin	σ	
25	(a)			ackaging requirements applying to all cannabis and
26	cannabis pro		_	applying to an earnaois and
27	P2	(1)		container or packaging containing usable cannabis,
28		<b>\</b> /	·	abis concentrates, or cannabis-infused products must
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1			protect the product from contamination and must not impart
2			any toxic or deleterious substance to the usable cannabis,
3			cannabis concentrates, or cannabis-infused product.
4		(2)	All packaging information required by this Section shall be
5			in no less than eight (8) point font, regardless of individual
6			package size.
7	(b)	Addi	itional product-specific packaging requirements. The following
8		prod	uct-specific packaging requirements shall apply to each of the
9		follo	wing product types in addition to the packaging requirements
10		prov	ided in (a) of this Subsection:
11		(1)	Cannabis-infused products general requirements.
12			(A) All cannabis-infused products for oral ingestion must
13			be packaged pursuant to the following requirements:
14			(i) Child resistant packaging in accordance with
15			Title 16 C.F.R. 1700 of the Poison Prevention
16			Packaging Act; or
17			(ii) Plastic four mil or greater in thickness and be
18			heat sealed with no easy-open tab, dimple, corner, or
19			flap as to make it difficult for a child to open and as a
20			tamperproof measure, except as provided in
21			(b)(1)(A)(iii) and (B) of this Subsection.
22			(iii) Cannabis-infused products for oral ingestion in
23			liquid form where a single serving is contained with
24			the package may be sealed using a metal crown cork
25			style bottle cap. Cannabis-infused products for oral
26			ingestion in liquid form that includes more than one
27			serving must be packaged with a resealable closure or
28			cap.

Cannabis-infused solid edible products.

(B)

1			(i) If there is more than one serving of cannabis-
2			infused solid edible products in the package, each
3			serving must be packaged individually in child
4			resistant packaging as provided in (b)(1) of this
5			Subsection and placed in the outer package except as
6			provided in (ii) below.
7			(ii) Products such as capsules, lozenges, and similar
8			products approved by the Department on a case-by-
9			case basis may be packaged loosely within a resealing
10			outer package that is child resistant in accordance with
11			Title 16 C.F.R. 1700 of the Poison Prevention
12			Packaging Act.
13		(C)	Cannabis-infused liquid edible products concentrates.
14			Packages containing more than one serving of
15			cannabis-infused liquid edible product must:
16			(i) Have a resealing cap or closure; and
17			(ii) Include a measuring device such as a measuring
18			cap or dropper with the package containing the
19			cannabis-infused liquid edible product. Hash marks on
20			the bottle or package do not qualify as a measuring
21			device.
22	(2)	Cannabis co	oncentrates. Cannabis concentrates must be packaged:
23		(A) In ch	aild resistant packaging in accordance with Title 16
24		C.F.F	2. 1700 of the Poison Prevention Packaging Act; or
25		(B) Plasti	c four mil or greater in thickness, heat sealed with no
26		easy-	open tab, dimple, corner, or flap as to make it difficult
27		for a	child to open and as a tamperproof measure.

# § 9607. Labeling and Packaging Colors for all Cannabis Infused Edible Products.

- 3 (a) Only colors and shapes from an approved list on the Department's
  4 website are permitted. Obtain information from
  5 <a href="https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/W">https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/W</a>
  6 ebinar%2012.18.2018 Revised.pdf
- (b) Labeling and packaging background colors must be white, cream, grey, black, tan, or brown. Up to three accent colors from the approved color list provided on the Department's website are permitted. A percentage or gradient of an approved color counts as one color. Obtain information from <a href="https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/W">https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/W</a> ebinar%2012.18.2018 Revised.pdf
  - (c) Labeling and packaging font color must be one of the approved colors provided on the Department's website. Font color counts as one of the three accent colors. Obtain information from <a href="https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/Webinar%2012.18.2018\_Revised.pdf">https://lcb.wa.gov/sites/default/files/publications/Marijuana/infused\_products/Webinar%2012.18.2018\_Revised.pdf</a>
- 18 (d) A full color photograph or photograph in a chosen accent color of 19 the product is allowed.
  - (e) Packaging with a clear window is permitted.
- 21 (f) Company logos are permitted. Examples of allowable logo displays 22 are available on the Department's website. Obtain information from 23 <a href="https://www.doh.wa.gov/YouandYourFamily/Marijuana/MedicalMarijuana/ProductCompliance/ComplianceLogos">https://www.doh.wa.gov/YouandYourFamily/Marijuana/MedicalMarijuana/ProductCompliance/ComplianceLogos</a>

# § 9608. Accompanying materials.

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The following accompanying materials must be provided with a cannabis and cannabis product or made available to the consumer purchasing cannabis and cannabis products at retail. A producer or processor may provide this

2 information through an internet link, web address, or QR code on the product

3 label so long as the information particular to that product as required below is

maintained and accessible to a consumer for as long as the product is available

for sale at retail. A statement that discloses all pesticides applied to the

cannabis plants and growing medium during production of the usable cannabis

or the base cannabis used to create the concentrate or the extract added to

8 infused products.

## § 9609. Upon request materials.

Upon the request of a retail customer, a retailer must disclose the name of the certified laboratory that conducted testing and the results of the required quality assurance tests for any cannabis or cannabis product the customer is purchasing or considering purchasing.

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# § 9610. Cannabis warning symbol requirement.

- (a) Cannabis-infused products for oral ingestion sold at retail must be labeled on the principal display panel or front of the product package with the "Not for individuals below 21."
  - (1) The warning statement must be of a size and color so as to be legible, readily visible by the consumer, and effective to alert consumers and children that the product is "Not for individuals below 21.";
  - (2) The warning statement must not be altered or cropped in any way other than to adjust the sizing for placement on the principal display panel or front of the product package, except that a licensee must use a black border around the edges of the white background of the warning symbol image

1		when the label or packaging is also white to ensure visibility
2		of the warning symbol.
3	(b) All c	annabis and cannabis products sold at retail must be labeled
4	both on the produ	act and on the principal display panel or front of the product
5	package with the	cannabis universal symbol ("universal symbol") created and
6	made available in	digital form to licensees without cost by the Department. The
7	digital file for the	universal symbol shall be made available on the Department's
8	website.	
9	(1)	The universal symbol must be of a size so as to be legible,
10		readily visible by the consumer, and effective to alert
11		consumers that the product is or contains cannabis, but must
12		not be smaller than three-quarters of an inch in height by
13		three-quarters of an inch in width;
14	(2)	The universal symbol must not be altered or cropped in any
15		way other than to adjust the sizing for placement on the
16		principal display panel or front of the product package; and
17	(3)	Licensees may download the digital universal symbol from
18		the Department's website and print stickers in lieu of
19		incorporating the universal symbol on the label or packaging
20		as required under (1) and (2) of this Subsection. If a licensee
21		elects to use a universal symbol sticker, the sticker:
22		(A) Must meet all requirements of this Section; and
23		(B) Must not cover or obscure in any way labeling or
24		information required on cannabis products pursuant to
25		§0005 and §0006.

§ 9611. Advertising requirements and promotional items—Coupons, giveaways, etc.

1	(a)	Aave	erusing	generally. The following requirements apply to all
2	advertising	by car	nnabis 1	icensees.
3		(1)	All c	annabis advertising and labels of useable cannabis,
4			canna	bis concentrates, and cannabis-infused products sold
5			must	not contain any statement, or illustration that:
6			(A)	Is false or misleading;
7			(B)	Promotes over consumption (i.e., using more than the
8				recommended dose or serving);
9			(C)	Represents the use of cannabis has curative or
10				therapeutic effects;
11			(D)	Depicts a child or other person under legal age to
12				consume cannabis, or includes:
13				(i) The use of objects, such as toys, inflatables,
14				movie characters, cartoon characters suggesting the
15				presence of a child, or any other depiction or image
16				designed in any manner to be likely to be appealing to
17				youth or especially appealing to children or other
18				persons under legal age to consume cannabis; or
19				(ii) Is designed in any manner that would be
20				especially appealing to children or other persons under
21				twenty-one years of age.
22		(2)	No ca	innabis licensee shall place or maintain, or cause to be
23			placed	d or maintained, an advertisement of a cannabis
24			busin	ess or cannabis product, including cannabis
25			conce	ntrates, useable cannabis, or cannabis-infused product:
26			(A)	In any form or through any medium whatsoever
27				within one thousand feet of the perimeter of a school
28				grounds, playground, recreation center or facility,
29				child care center, public park, library, or a game

arcade admission to which it is not restricted to 1 persons aged twenty-one years or older unless the one 2 thousand minimum distance requirement has been 3 reduced by Guam law; 4 On or in a private vehicle, public transit vehicle, (B) 5 shelter, stop, transit bus stand. public taxi 6 transportation waiting area, train bus station, airport, 7 or any similar transit-related location; 8 (3) All advertising for cannabis businesses or cannabis products, 9 regardless of what medium is used, must contain text stating 10 that cannabis products may be purchased or possessed only 11 by persons twenty-one years of age or older. Examples of 12 language that conforms to this requirement include, but are 13 not limited to: "21+," "for use by persons 21 and over only," 14 etc. 15 **(4)** A cannabis licensee may not engage in advertising or 16 marketing that specifically targets persons residing out of 17 Guam. 18 Outdoor advertising. In addition to the requirements for advertising (b) 19 in Subsection (a) of this Section, the following restrictions and requirements 20 apply to outdoor advertising by cannabis licensees: 21 Except for the use of billboards as authorized under the (1) 22 Department of Public Works and as provided in this Section, 23 licensed cannabis retailers may not display any outdoor 24 signage other than two separate signs identifying the retail 25 outlet by the licensee's business name or trade name, stating 26 the location of the business, and identifying the nature of the 27

business. Both signs must be affixed to a building or

1		perm	anent structure and each sign is limited in accordance
2		with	Guam law.
3		(A)	All text on outdoor signs, including billboards, is
4			limited to text that identifies the retail outlet by the
5			licensee's business or trade name, states the location of
6			the business, and identifies the type or nature of the
7			business.
8		(B)	No outdoor advertising signs, including billboards,
9			may contain depictions of marijuana plants or
10			cannabis products. Logos or artwork that do not
11			contain depictions of marijuana plants or cannabis
12			products as defined in this Section are permissible.
13			(i) A depiction of a marijuana plant means an
L4			image or visual representation of a marijuana leaf,
15			plant, or the likeness thereof that explicitly suggests or
16			represents a marijuana leaf or plant.
17			(ii) A depiction of a cannabis product means an
18			image or visual representation of useable cannabis,
19			cannabis-infused products, or cannabis concentrates,
20			or an image that indicates the presence of a product,
21			such as smoke, etc.
22		(C)	Double-sided signs or signs with text visible on
23			opposite sides are permissible and count as a single
24			sign so long as the sign is contained in or affixed to a
25			single structure.
26	(2)	No o	cannabis licensee may use or employ a commercial
27		masc	ot outside of, and in proximity to, a licensed cannabis
28		busir	ness.

(3) Outdoor advertising is prohibited on signs and placards in arenas, stadiums, shopping malls, fairs that receive government of Guam allocations, farmers markets, village events, and video game arcades, whether any of the foregoing are open air or enclosed, but not including any such sign or placard located at an adult only facility.

- (4) The restrictions in this Section and the statutory and regulatory requirements of the Department of Public Works as applicable to this Section do not apply to outdoor advertisements at the site of an event to be held at an adult only facility that is placed at such site during the period the facility or enclosed area constitutes an adult only facility, but must not be placed there more than fourteen days before the event, and that does not advertise any cannabis or cannabis product other than by using a brand name, such as the business or trade name or the product brand, to identify the event. Advertising at adult only facilities must not be visible from outside the adult only facility.
- (5) A sign affixed to the licensed premises or in the window of a licensed premises indicating the location is open for business, closed for business, the hours of operation, that the licensed location has an ATM inside, or other similar informational signs not related to the products or services of the cannabis business are not considered advertising for the purposes of this Section.
- (6) "Adopt-a-Highway" signs erected by the Department of Public Works under a current valid sponsorship with the Department of Public Works is considered advertising for the purposes of this Section and is not allowed.

- (c) Advertising placed on windows within the premises of a licensed cannabis retail store facing outward must meet the requirements for outdoor advertising as provided by the Department of Public Works and this Section.
- (d) Promotional items such as giveaways, coupons, and distribution of branded or unbranded merchandise must not be targeted at minorsare banned.
- (e) Except for outdoor advertising under Subsection (b) of this Section, all advertising must contain the following warnings that must be in type size at least ten percent of the largest type used in the advertisement:
  - (1) "Cannabis can impair concentration, coordination, and judgment. Do not operate a vehicle or machinery. Please use extreme caution." and
  - (2) "For use only by adults twenty-one and older. Keep out of the reach of children."

# § 9612. Severability

If any provision or the application of any provision of these rules and regulations is held invalid, that invalidity shall not affect other provisions or applications of these rules and regulations.

#### UNIVERSAL SYMBOL:

- 20 https://lcb.wa.gov/sites/default/files/publications/Marijuana/universal-symbol-
- 21 w-NSFK/MJ-Universal-symbol-w-NotSafeForKids.jpg



1		Article 7			
2		Cannabis Testing			
3	§ 9701.	<b>Cannabis Testing Facility Certification</b>			
4	§ 9702.	<b>Cannabis Testing Facility Standards and Testing Protocols</b>			
5	§ 9703.	Facility Testing Protocols for Cultivators, Manufacturers			
6		and Retailers			
7					
8	§ 9701. Ca	nnabis Testing Facility Certification.			
9	(a)	All cannabis, prepared cannabis and cannabis products on Guam			
10	shall be tes	sted for potency and safety by a cannabis testing facility licensed by			
11	the Department of Public Health before they can be sold to a consumer.				
12	(b)	A cultivation facility, cannabis product manufacturing testing			
13	facility and	d a retail cannabis store shall not sell cannabis, prepared cannabis and			
14	cannabis p	cannabis products unless it has been tested for potency and safety by a cannabis			
15	testing facility licensed by the Department of Public Health and meet the				
16	requirements set out in § 9702.				
17	(c)	A cannabis testing facility shall be completely independent from all			
18	other licen	sed cannabis establishments that cultivate, manufacture or sell			
19	cannabis, p	prepared cannabis and cannabis products.			
20	(d)	A cannabis testing facility shall not handle, test or analyze			
21	cannabis, prepared cannabis and cannabis products unless it is ISO 17025				
22	accredited or certified by the Americans for Safe Access (ASA) Patient Focused				
23	Certification	on Program for testing facilities or similar program approved by the			
24	Department of Public Health pursuant to 10 GCA, Division 1, Chapter 12 Part				
25	2, Article 2	25, § 122528 (d).			
26	(e)	A cannabis testing facility must be ISO 17025 accredited or			
27	certified by	y the Americans for Safe Access (ASA) Patient Focused Certification			
28	Program fo	or testing facilities or similar program approved by the Department of			

- 1 Public Health in order to obtain and maintain a Permit to Operate. Violation to
- this regulation may result in the revocation of the cannabis testing facility's
- 3 license.
- 4 (f) Responsible officials, board members, cannabis establishment
- 5 stakeholders, principals, or entities of a cannabis testing facility are prohibited
- 6 from owning or having any financial stake in any cultivation facility, cannabis
- 7 product manufacturing testing facility, retail cannabis store, and cannabis
- 8 establishment that recommend the use of cannabis, or any other cannabis testing
- 9 facility.

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# § 9702. Cannabis Testing Facility Standards and Testing Protocols.

- (a) The cannabis testing facility shall select a random sample, not to exceed 10 grams per lot, from each lot of cannabis at the cultivation site and from each batch of prepared cannabis and cannabis product at the cannabis product manufacturing testing facility or retail cannabis store in order to test them for potency and safety.
- (b) The method by which samples are selected and collected shall be prescribed by standards of methodology adopted by the Department of Public Health, prescribed to every cannabis testing facility, and applied by every such facility uniformly. The Department of Public Health shall inform the public via news release and shall further inform all interested parties through any publications it may disseminate about the facilities, the name of the sampling protocol selected, such selection to be made prior to the acceptance of any application for Permit to Operate a cannabis testing facility.
- (c) The Department of Public Health will give the cannabis establishment twenty-four (24) hour written notice of when authorized agents from the cannabis testing facility plan to go to the cannabis facility to obtain samples of cannabis, prepared cannabis and cannabis products for testing.

1	(d) The cannabis establishment where the lot or batch came from shall
2	maintain in a secure tamper-proof manner a similar sample from the same lot or
3	batch, for verification testing as directed by the Department of Public Health.
4	(e) The cannabis testing facility shall test and analyze the samples
5	according to standard operating procedures prepared by the cannabis testing
6	facility based on validated methods published in peer reviewed scientific or
7	regulatory literature.
8	(f) The cannabis testing facility shall issue to the cannabis
9	establishment and the Department of Public Health a certificate of analysis for
10	each lot of cannabis or batch of prepared cannabis or cannabis product tested for
11	potency and safety for that cannabis establishment. The certificate of analysis
12	shall include the following:
13	(1) The chemical profile of the batch for the following
14	compounds:
15	(A) Delta-9-tetrahydrocannabinol (THC)
16	(B) Tetrahydrocannabinol Acid (THCA)
17	(C) Cannabidiol (CBD)
18	(D) Cannabidiolic Acid (CBDA)
19	(E) Cannabigerol (CBG)
20	(F) Cannabinol (CBN)
21	(2) The presence of the following contaminants, which shall not
22	exceed the following levels:
23	(A) Heavy metals:
24	(i) Arsenic: 10.0 ppm

1	(ii) Lead: 6.0 ppm
2	(iii) Cadmium: 4.0 ppm
3	(iv) Mercury: 2.0 ppm
4	(B) Pesticides: Thresholds for pesticides authorized by
5	Article 3 of this Chapter to be determined by the Department
6	of Public Health from time to time;
7	(C) Solvents: Thresholds for each of the solvents named in
8	authorized by Article 3 of this Chapter to be determined by
9	the Department of Public Health from time to time;
10	(D) Any visible foreign or extraneous material, that is not
11	intended to be part of the product being produced, including
12	but not limited to mold, hair, insects, metal, or plastic;
13	(E) Moisture content of plant material: < 15%
14	(F) Microbiological impurities, including but not limited
15	to:
16	(i) Total Viable Aerobic Bacteria:
17	(aa) Unprocessed and Processed Materials: 105
18	Colony Forming Units (CFU)/g
19	(bb) CO2 and Solvent Based Extracts: 104
20	CFU/g
21	(ii) Total Yeast and Mold:
22	(aa) Unprocessed and Processed Materials:
23	104 CFU/g

1	(bb) CO2 and Solvent Based Extracts: 103
2	CFU/g
3	(iii) Total Coliforms:
4	(aa) Unprocessed and Processed Materials: 103
5	CFU/g
6	(bb) CO2 and Solvent Based Extracts: 102
7	CFU/g
8	(iv) Bile-tolerant Gram-Negative Bacteria:
9	(aa) Unprocessed and Processed Materials: 103
LO	CFU/g
l1	(bb) CO2 and Solvent Based Extracts: 102
L2	CFU/g
L3	(v) E. Coli (pathogenic strains) and Salmonella spp: Not
L4	detected in one (1) gram
L5	(vi) Aspergillus fumigatus, Aspergillus flavus,
L6	Aspergillus niger: < 1 CFU/g
L7	(vii) Mycotoxins: < 20 μg (micrograms) of any
L8	mycotoxin per kilogram of material.
19	(3) Additional testing requested at the discretion of the Department of
20	Public Health.
21	(g) If the facility testing results indicate unacceptable amounts of
22	contaminants in a cannabis, prepared cannabis and cannabis product, the
23	cannabis testing facility shall provide a written notification to the Department of

Public Health and the responsible official of the cannabis establishment from which the sample originated within twenty-four (24) hours.

- (h) The cannabis testing facility may retest or reanalyze the sample or a different sample from the same batch by following its standard operating procedure to confirm or refute the original result, upon request by the cannabis establishment from which the sample originated or upon request by the Department of Public Health at the expense of the cannabis establishment from which the sample originated. A lot of cannabis or batch of prepared cannabis or cannabis product shall only be tested for potency and safety at the most three (3) times.
- (i) The cannabis testing facility shall return, to the cannabis establishment from which the sample originated, or destroy in a manner approved by the Department of Public Health any samples or portions of samples of the cannabis, prepared cannabis and cannabis product that remain after testing and analysis are completed.
- (j) The cannabis testing facility shall create, and maintain for a period of at least five (5) years, records of testing it conducts on cannabis, prepared cannabis and cannabis products, including but not limited to:
  - (1) The time and date the sample was obtained.
- (2) A description of the sample, including the amount;
  - (3) What tests were conducted on each sample;
- 22 (4) The results of the tests including the certificate of analysis; 23 and
- 24 (5) Evidence of the time, date, and method of disposal or destruction of a sample after testing is completed, and the

- amount of the sample disposed of or destroyed, or the time and date a sample was returned to a retail cannabis store with a description including the amount;
  - (k) The cannabis testing facility shall issue written reports of the full analysis and results for potency and safety of all cannabis-infused products from the tested batch of cannabis to the licensed cannabis establishment that requested the test and to the Department of Public Health.

(l) Written reports of the full analysis and results for potency and safety of all cannabis-infused products from the tested batch of cannabis, prepared cannabis and cannabis products shall be made available to the public by request to the Department of Public Health.

# § 9730. Facility Testing Protocols for Cultivators, Manufacturers and Retail Cannabis Stores.

- (a) The cultivation facility must sort cannabis into identical lots according to the cannabis crop and the cannabis product manufacturing testing facility must sort the prepared cannabis and cannabis products into identical batches prior to testing. The cannabis testing facility will take two samples in an amount equivalent to perform three (3) tests from each lot or batch. One (1) sample is for testing and one (1) sample shall be set aside in a secure tamper-proof manner for verification testing as directed by the Department of Public Health.
- (b) A cannabis establishment shall ensure that each sample of cannabis, prepared cannabis and cannabis products are tested for potency and safety and analyzed for each of the items set out in § 11217 (f).
- (c) The level of contaminants in cannabis, prepared cannabis and cannabis products, shall not exceed the standards provided in § 11217 (f) and if any of the standards are exceeded, the cannabis establishment shall not sell any

portion of the cannabis, prepared cannabis and cannabis products that does not conform to the standards and shall be subject to disposal or destruction as specified in § 11233.

- (d) Once the responsible official of a cannabis establishment is given written notification by the cannabis testing facility that test results indicate unacceptable amounts of contaminants in their sample of cannabis, prepared cannabis or cannabis products, the responsible official of the cannabis establishment shall immediately quarantine the non-conforming cannabis, prepared cannabis or cannabis products.
- (e) The cannabis establishment may request for a retest of the same lot or batch of non- conforming cannabis, prepared cannabis or cannabis product within three (3) business days of notification from a cannabis testing facility. The lot or batch can be tested up to three (3) times.
- (f) The cannabis establishment shall destroy the lot of cannabis or batch of prepared cannabis and cannabis product that does not conform to the testing standards set out in § 11217 (f) as indicated by the certificate of analysis.
- (g) The responsible official of the cannabis establishment from which the sample originated shall document the destruction or disposal of the quarantined cannabis, prepared cannabis and cannabis product that has been tested to be unacceptable in accordance with this Section.
- (h) A cannabis establishment shall maintain records of all facility testing results including the certificate of analysis for all their cannabis, prepared cannabis and cannabis products.
  - (i) All records that must be maintained by the cannabis establishment shall be available to the Department of Public Health within seven (7) establishment days upon receipt of written request.
  - (j) A cultivation facility, cannabis product manufacturing testing facility and a retail cannabis store are allowed to operate a facility within their establishment but all cannabis must be facility tested for potency and safety at

- an independent cannabis testing facility that has been licensed by the
- 2 Department of Public Health.

# Article 8

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2		<b>Enforcement and Penalties</b>
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4	§ 9801.	<b>Enforcement Powers</b>
5	<b>§9802.</b>	Inspections
6	§ 9803.	Seizure
7	§ 9804.	Report
8	§ 9805.	Forfeiture
9	§ 9806.	Same
LO	§ 9807.	Release and Return.
l1	§ 9808.	Destruction of Property Forfeited
L2	§ 9809.	Disposition of Property Seized
L3	§ 9810.	Supplies
L4	§ 9811.	Vehicles
L5	§ 9812.	Revocation or Suspension of License: Grounds
L6	§ 9813.	Temporary
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L8	§ 9815.	Same
L9	§ 9816.	Accusation
20	§ 9817.	Criminal Prosecution
21	§ 9818.	Penalty
22	§ 9819.	Officials
23		
24	§ 9801. En	forcement Powers.
25	Ever	y member of the Board, the Department, and every inspector shall
26	have all of	the powers of peace officers in the enforcement of the provisions of
27	this Chapte	er, the regulations of the Board adopted under the provisions of this
28	Chapter and	d any other penal provision of law prohibiting or regulating the sale,

- 1 exposing for sale, use, possession, giving away, adulterating, diluting,
- 2 misbranding, and mislabeling of cannabis products.

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# § 9802. Inspections.

Every member of the Board, Department and every inspector shall have the right at all times, without notice and without legal process, to visit and have

- 7 immediate access to every part of the premises of every licensee for the purpose
- 8 of making an examination or inspection of cannabis products, books and
- 9 records, the manner of conducting the business and the premises of the licensee.

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#### § 9803. Seizure.

- Every member of the Board, the Department and every inspector shall
- have the power to seize and hold without legal process until an order of
- 14 disposition is made by the court, the following:
- 15 (a) Any cannabis products cultivated or manufactured in Guam by any
- person other than a licensed cultivator or manufacturer regardless of where
- 17 found.
- 18 (b) Any cannabis, materials, or supplies capable of and intended for
- use in cultivation or manufacture of cannabis products without license.
- 20 (c) Any cannabis products imported, possessed or owned in violation
- of the provisions in this Chapter.
- 22 (d) Any cannabis products adulterated, diluted, misbranded or
- 23 mislabeled.
- 24 (e) Any vehicles used to carry or conceal any cannabis products which
- are subject to seizure.

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#### § 9804. Report.

Every person who seizes any cannabis products, vehicles or other

29 property subject to seizure under the provisions of this Article shall file without

delay a written report of the seizure with the Attorney General, with notice to

2 the Board.

# § 9805. Forfeiture.

Upon receiving a written report of the seizure of cannabis products, vehicles or other property subject to seizure under the provisions of this Article the Attorney General shall institute proceedings in the Superior Court and have the seized property declared forfeited to the government.

#### § 9806. Same.

Upon a finding by the court that any cannabis products, vehicles or other property were seized in accordance with the provisions of this Article, the court shall adjudge to seized property forfeited to the government. In the event a vehicle or other property subject to seizure is found to have been used without the knowledge or consent of the owner it shall not be forfeited. The burden is on the owner to show lack of knowledge or consent.

#### § 9807. Release and Return.

Upon a finding that any cannabis products, vehicles or other property were erroneously or illegally seized, the court shall order the seized property released and returned to the person from whom the property was seized.

#### § 9808. Destruction of Property Forfeited.

The court shall order destroyed all cannabis products forfeited by reason of adulteration, dilution or manufacture in Guam by other than a licensed cannabis establishment.

#### § 9809. Disposition of Property Seized.

The court shall order the disposition of all cannabis products other than those specified in § 3607 by transfer to a government agency, department or institution requesting such cannabis products for industrial, medicinal or scientific use, or by destruction. A government agency, department or institution may file with the court a request for any forfeited cannabis products and the court shall not order other disposition of the cannabis products requested until the request has been filled.

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#### § 9810. Supplies.

The court shall order the disposition of any forfeited materials and supplies by public sale or destruction.

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#### § 9811. Vehicles.

The court shall order the disposition of any forfeited vehicles by transfer to any government agency, department or institution requesting such vehicles and in the absence of any such request, by public sale.

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### § 9812. Revocation or Suspension of License: Grounds.

- A license of any class may be suspended or revoked on any of the following grounds:
- 22 (a) The continuation of a license would be contrary to the public 23 interest.
- 24 (b) The violation, causing or permitting of a violation of, or failure or 25 refusal by a licensee to comply with:
- 26 (1) Any provision of this Chapter.
- 27 (2) Any regulation of the Board adopted under the provisions of this Chapter.

- 1 (3) Any other penal provisions of the laws of Guam or of the United 2 States applicable to Guam prohibiting or regulating the sale, 3 exposing for sale, use, possession, giving away, adulterating, 4 diluting, misbranding or mislabeling of cannabis products.
  - (4) The misrepresentation of a material fact by any applicant in obtaining or renewing any
  - (5) license.
  - (6) The plea, verdict or judgment of guilty to any public offense involving moral turpitude.

#### § 9813. Temporary.

Upon the filing of a sworn written report with the Department by an inspector setting forth grounds for the suspension or revocation of a license, the Department may temporarily suspend any license and/or identification card pending a regular hearing by the Board. No temporary suspension by the Department shall exceed forty-eight (48) hours. The Department shall upon temporarily suspending a license and/or identification card immediately notify the Board in writing of his/her action and transmit to the Board the report of the inspector, including the names of all the witnesses.

## § 9814. Same: Hearing.

- (a) The Board, upon receipt of the report required in § 9813, shall, as soon as practicable, hold a hearing on such report.
- (b) The Board shall impose a penalty on a Cannabis Establishment licensee found in violation of any of the provisions of this Chapter in an amount equal to the licensee's New License Fee for the first offense; double the licensee's New License Fee for the second offense; and triple the licensee's New License Fee for the third offense. Any violations after the third offense will result in the automatic termination of the violator's license. Contingent on the severity of any violation, the Board may use its discretion and suspend or

- revoke the license of any licensee found in violation of any of the provisions of this Chapter.
- 3 (c) In addition to the fines in Subsection (b) of this Section, the Board 4 shall suspend, for a period of not less than thirty days and no more than ninety 5 days, the license of any licensee found in violation of any provision of this 6 Chapter as a second offense. The Board shall revoke the license of any licensee 7 found in violation of any provision of this Chapter as a third offense.
  - (d) The Board shall suspend a Cannabis Identification Card for seven days for a first offense in violation of any provision of this Chapter; a suspension of a Cannabis Identification Card for fourteen days and a One Hundred Fifty Dollar (\$150) fine for a second offense; and revocation of a Cannabis Identification Card for the third offense.

14 § 9815. Same.

In the event the Department fails or refuses to suspend or revoke a license within five (5) days after the filing of a written report with the Department, the Board may hold a hearing on such report and may suspend or revoke any license.

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#### § 9816. Accusation.

Without reference to the Department, any person may file an accusation with the Board against any licensee setting forth a ground for suspending or revoking a license.

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#### § 9817. Criminal Prosecution.

The criminal prosecution of any person under the provisions of this Chapter shall be in addition to, and independent of the power of the Board and Department, to suspend or revoke any license.

### § 9818. Penalty.

Every person who violates, causes or permits to be violated, or fails or refuses to comply with any provision of this Chapter or any order or regulation of the Board not inconsistent with the provisions of this Chapter, for which a specific penalty is not otherwise provided, is guilty of a misdemeanor.

# § 9819. Officials.

Any member or employee of the Board, the Department or any employee of the Department or any member of the police who disposes of any cannabis products or other property seized under this Chapter in any manner other than as directed by an order of the court on the provisions of this Chapter, is guilty of a misdemeanor. In addition, he/she shall be liable to the government in a civil action.