



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 29, 2025

Re: Animal Welfare Assurance
A3645-01 (OLAW Case 1G)

Ryan J. Mistretta, BS, RLAT, CLABP
Director of Operations
Alpha Genesis, Inc.
95 Castle Hall Road
Yemassee, SC 29945

Dear Mr. Mistretta,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 24, 2025 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at Alpha Genesis Inc. (AGI), following up on an initial report on November 7, 2024. According to the information provided, OLAW understands that 43 rhesus macaques escaped containment on November 6, 2024 due to human error. Specifically, a new animal care technician failed to properly secure three layers of lockable doors which allowed the animals to escape into the surrounding woodland. Although the enclosure containment mechanisms were all functioning and the technician had been adequately trained, the standard operating procedure had not been followed.

The immediate actions taken in response consisted of setting baited traps, informing the regulatory agencies and law enforcement, and informing the funding components. Cohorts of animals were captured in varying intervals with the final ones safely returned to captivity on January 24, 2025. The corrective/preventive actions consisted of the following:

- Terminating the technician responsible.
- Retraining all AGI staff on proper containment.
- Increasing the frequency of containment procedure audits conducted by Quality Assurance.
- Improving the processes used to hire new employees.
- Additional actions currently under review include applying additional containment mechanisms such as spring gate closures or magnetic locks, hiring a containment specialist, enhancing the enclosure designs and procedures.

Based on its assessment of these explanations, OLAW understands that measures have been implemented to correct and to reduce the likelihood of a recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. OLAW will hereby close this investigation but requests that future actions taken to enhance containment security be shared with the Office. Thank you for keeping OLAW apprised on this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Acting Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

(b) (6)

A3645-1G

AlphaGenesis
INCORPORATED

AlphaGenesisInc.com

(b) (6)

95 Castle Hall Road
Yemassee, SC 29945



January 24th, 2025

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Dear Dr. Wolff,

In accordance with our Assurance D16-00387 (A3645-01) and PHS Policy IV.F.3., this self-report describes an incident that was discovered on 11/06/2024 at Alpha Genesis Incorporated's (AGI) (b) (4) location. The contract number supporting the animals involved is 75N98021D00017. The funding components have been notified. The OLAW assigned case number is A3645-1G.

On 11/06/2024, 43 young female *Macaca mulatta* were noted to have escaped from containment at the (b) (4) around 9:45 am. The enclosure in question contains three layers of lockable doors to ensure proper animal containment. Three animal care technicians assigned to the area were working in the enclosure where the animals were housed. Two technicians were assisting the primary technician during daily husbandry activities. Once the procedure in question was completed, the two additional animal care technicians left the location to attend to their primary assigned areas. The primary technician proceeded to exit the enclosure without confirming proper containment. The primary door containing the animals was not properly locked, and the two additional doors at the exit of the enclosure were left open. The animals were able to break initial containment and escape through the two open doors. The animals were observed exiting the facility over the two perimeter fences in place. All regulatory bodies and local law enforcement were notified of the situation. Upon inspection post-escape, all the enclosure containment mechanisms were in functioning order.

After thorough investigation it appears that human error or malicious intent were the cause of the incident of concern. This event was not the result of any facility or programmatic error. Further evaluation showed that the security measures present were adequate, suggesting the housing protocol had not been followed by the caretaker while caring for the animals. The caretaker had undergone containment training, indicating human error or malicious intent present. These findings were supported by the USDA during their investigation.

As of January 24th, 43 of the 43 animals have been safely captured utilizing traps and returned in good states of health and welfare.

Below are the post-escape corrective and preventative actions taken by Alpha Genesis Incorporated:

- The primary technician was terminated on 11/06/2024 by Animal Care Management staff.
- All AGI staff were retrained on proper containment strategies by 11/14/2024.
- Increased the frequency of containment procedure audits conducted by the Quality Assurance department.
- Additional containment mechanisms are being investigated to include spring gate closure systems, and magnetic locking systems.
- Additional containment specific specialist positions are being investigated.
- Enclosure designs are being reassessed to explore redesign options to possibly enhance containment.
- Improvements to hiring and employee vetting practices have been implemented.
- All containment related procedures are being assessed for areas of improvement.

Alpha Genesis Incorporated is committed to providing the highest level of welfare for all animals under our care. We deeply regret this incident and are devoted to eliminating the possibility of any reoccurring issues regarding containment. We appreciate the guidance and assistance provided by OLAW and the USDA regarding this situation.

Respectfully Submitted,

(b) (6)

Ryan J. Mistretta
Institutional Official
Alpha Genesis, Inc.
Ryan@alphagenesisinc.com

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, January 24, 2025 4:42 PM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: D16-00387 (A3645-01) 11-6-2024 Incident Report

Good afternoon,

Thank you for providing this updated final report for OLAW case **A3645-1G**. We will send an official response soon.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Friday, January 24, 2025 9:09 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: D16-00387 (A3645-01) 11-6-2024 Incident Report

Dear Director,

Please find the attached updated final report.

Respectfully submitted,
Ryan J. Mistretta

From: Ryan Mistretta
Sent: Wednesday, January 22, 2025 10:00 AM
To: 'olawdco@mail.nih.gov' <olawdco@mail.nih.gov>
Subject: D16-00387 (A3645-01) 11-6-2024 Incident Report

Dear Director,

Please find attached, the report for the incident of concern at Alpha Genesis Inc. from November 6th, 2024.

Respectfully Submitted,

Ryan J. Mistretta, BS LAT CLABP

NIAID Project Director

Institutional Official

Alpha Genesis Inc.

(b) (6)

www.AlphaGenesisInc.com



Check out Alpha Genesis on Facebook:

www.Facebook.com/AlphaGenesis

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Inspection Report

Alpha Genesis Inc
95 CASTLE HALL RD
YEMASSEE, SC 29945

Customer ID: 905

Certificate: 56-B-0120

Site: (b) (4)

ALPHA GENESIS INC

Type: FOCUSED INSPECTION

Date: 25-NOV-2024

2.131(b)(1)

Handling of animals.

Forty-three rhesus macaques escaped from an outdoor primary enclosure due to the points of entry not remaining secure during routine husbandry duties. On November 6, 2024, a group of caretakers were performing daily tasks in their assigned areas. The caretaker assigned to this primary enclosure exited the enclosure to retrieve additional cleaning equipment. During this time, forty-three of the fifty-three rhesus macaques housed therein escaped. Though the employee reported to the facility's Colony Management they had secured the backup door prior to leaving the enclosure, they could not confirm if they locked the doors exclusive for staff entry. Further evaluation of the enclosure showed that the security measures present were adequate, suggesting the housing facilities protocol had not been followed by the caretaker while handling the animals. While the facility has recovered thirty-nine of the primates, four remain at large in the wooded area adjacent to the facility.

When standard operating procedures put in place by the facility are not followed, the outcome can result in more vulnerability to harm, injury, or increased health risks to the animals impacted or unaccounted for. All animal handling shall be done as carefully as possible in a manner that does not cause physical harm or unnecessary discomfort. Corrected by date of inspection on November 25, 2024 and to remain corrected from this date forward.

This inspection and exit interview were conducted with the facility representatives.

Additional Inspectors:

(b) (6)

Prepared By: (b) (6)

USDA, APHIS, Animal Care

Date:
17-JAN-2025

Title: VETERINARY MEDICAL
OFFICER

Received by Title: Facility Representative

Date:
17-JAN-2025



United States Department of Agriculture
Animal and Plant Health Inspection Service

Customer: 905
Inspection Date: 25-Nov-2024

Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
905	56-B-0120	(b) (4)	ALPHA GENESIS INC	25-NOV-2024

Count	Scientific Name	Common Name
000119	<i>Macaca fascicularis</i>	CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY
000218	<i>Macaca mulatta</i>	RHESUS MACAQUE
000041	<i>Sapajus appella</i>	BROWN CAPUCHIN / TUFTED CAPUCHIN
000378	Total	

Ware, Teagan (NIH/OD) [E]

From: Ware, Teagan (NIH/OD) [E]
Sent: Friday, January 24, 2025 5:00 PM
To: Ryan Mistretta
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Great news! Thank you for sharing, I just acknowledged receipt of the report, and we will send out an official response soon now that this report can be considered final.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Friday, January 24, 2025 8:53 AM
To: Ware, Teagan (NIH/OD) [E] <teagan.ware@nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hey Teagan,

I wanted to give you the great news. We have officially captured and returned all 43 animals to containment. The last monkey was trapped this morning and appears to be in a good state of welfare! I will adjust the interim report submission and resubmit now that all animals have been captured without any welfare issues.

Best,
Ryan

From: Ware, Teagan (NIH/OD) [E] <teagan.ware@nih.gov>
Sent: Thursday, January 23, 2025 11:47 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Subject: RE: A3645-01 Preliminary Incident Report

Thanks, Ryan!

Teagan

From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Thursday, January 23, 2025 11:39 AM
To: Ware, Teagan (NIH/OD) [E] <teagan.ware@nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Non-critical non-compliance focused USDA inspection related to the escaped animals.

From: Ware, Teagan (NIH/OD) [E] <teagan.ware@nih.gov>
Sent: Thursday, January 23, 2025 11:12 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hello Ryan,

Would you mind sharing a copy of the USDA inspection report you mentioned below for us to include in our case file for this incident?

Thanks!

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, January 23, 2025 7:58 AM
To: 'Ryan Mistretta' <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Understood, it does help to have official interim reports like this in the case file for issues that are ongoing, so we do appreciate it. We will continue to watch for updates on the remaining two animals. Please reach out with any additional questions in the meantime, if needed.

Best,
Teagan

Ware, Teagan (NIH/OD) [E]

From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Thursday, January 23, 2025 7:53 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

Thank you for the update on how this process will work. I wanted to make sure I submitted a report to you since the USDA inspection report became official. I will keep you posted on all updates related to the animals and will resubmit a report when the process is completed.

Best regards,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Thursday, January 23, 2025 7:52 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thanks so much for this update. We will add this note to the case file.

I also wanted to touch base regarding the final report submission from yesterday. The Division of Compliance has reviewed the report and will consider it an interim report, since there are still animals at large. OLAW will hold off on providing an official response until the remaining animals are no longer loose, and asks that an updated report be submitted at that time to reflect complete resolution of the matter.

If you have any questions, please let us know. Thanks!

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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A3645-1G

AlphaGenesis
INCORPORATED

AlphaGenesisInc.com

(b) (6)

95 Castle Hall Road
Yemassee, SC 29945



January 21st, 2025

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Dear Dr. Wolff,

In accordance with our Assurance D16-00387 (A3645-01) and PHS Policy IV.F.3., this self-report describes an incident that was discovered on 11/06/2024 at Alpha Genesis Incorporated's (AGI) (b) (4) location. The contract number supporting the animals involved is 75N98021D00017. The funding components have been notified. The OLAW assigned case number is A3645-1G.

On 11/06/2024, 43 young female *Macaca mulatta* were noted to have escaped from containment at the (b) (4) around 9:45 am. The enclosure in question contains three layers of lockable doors to ensure proper animal containment. Three animals care technicians assigned to the area were working in the enclosure where the animals were housed. Two technicians were assisting the primary technician during daily husbandry activities. Once the procedure in question was completed, the two additional animal care technicians left the location to attend to their primary assigned areas. The primary technician proceeded to exit the enclosure without confirming proper containment. The primary door containing the animals was not properly locked, and the two additional doors at the exit of the enclosure were left open. The animals were able to break initial containment and escape through the two open doors. The animals were observed exiting the facility over the two perimeter fences in place. All regulatory bodies and local law enforcement were notified of the situation. Upon inspection post-escape, all the enclosure containment mechanisms were in functioning order.

After thorough investigation it appears that human error or malicious intent were the cause of the incident of concern. This event was not the result of any facility or programmatic error. Further evaluation showed that the security measures present were adequate, suggesting the housing protocol had not been followed by the caretaker while caring for the animals. The caretaker had undergone containment training, indicating human error or malicious intent present. These findings were supported by the USDA during their investigation.

As of January 21st, 40 of the 43 animals have been safely captured utilizing traps and returned in good states of health and welfare. The remaining animals are under observation (camera, drone, in-person observation), and the baiting and trapping procedures will continue until all are safely returned to containment. All animals remain in the vicinity of the facility.

Below are the post-escape corrective and preventative actions taken by Alpha Genesis Incorporated:

- The primary technician was terminated on 11/06/2024 by Animal Care Management staff.
- All AGI staff were retrained on proper containment strategies by 11/14/2024.
- Increased the frequency of containment procedure audits conducted by the Quality Assurance department.
- Additional containment mechanisms are being investigated to include spring gate closure systems, and magnetic locking systems.
- Additional containment specific specialist positions are being investigated.
- Enclosure designs are being reassessed to explore redesign options to possibly enhance containment.
- Improvements to hiring and employee vetting practices have been implemented.
- All containment related procedures are being assessed for areas of improvement.

Alpha Genesis Incorporated is committed to providing the highest level of welfare for all animals under our care. We deeply regret this incident and are devoted to eliminating the possibility of any reoccurring issues regarding containment. We appreciate the guidance and assistance provided by OLAW and the USDA regarding this situation.

Respectfully Submitted,

(b) (6)

Ryan J. Mistretta
Institutional Official
Alpha Genesis, Inc.
Ryan@alphagenesisinc.com

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 22, 2025 10:33 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: D16-00387 (A3645-01) 11-6-2024 Incident Report

Good morning,

Thank you for providing this final report for OLAW case **A3645-1G**. We will send an official response soon.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Wednesday, January 22, 2025 10:00 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] D16-00387 (A3645-01) 11-6-2024 Incident Report

Dear Director,

Please find attached, the report for the incident of concern at Alpha Genesis Inc. from November 6th, 2024.

Respectfully Submitted,

Ryan J. Mistretta, BS LAT CLABP
NIAID Project Director
Institutional Official
Alpha Genesis Inc.

(b) (6)

www.AlphaGenesisInc.com

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, January 10, 2025 8:52 AM
To: 'Ryan Mistretta'
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thanks for sharing this good news. We will add this information to the case file and will await further updates on the remaining animals.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Friday, January 10, 2025 8:50 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to let you know that we successfully and safely captured one of the remaining escapees yesterday afternoon via trap. The animal is in good health and undergoing further veterinary assessment. The remaining 3 animals are still under observation and appear healthy and in a good state of welfare. We are continuing to monitor, bait and trap the location where they are occupying.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Monday, January 6, 2025 10:17 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, January 6, 2025 10:17 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Good morning Ryan,

Thank you for sending this update on the escaped animals for OLAW case **A3645-1G**. We will add this to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Monday, January 6, 2025 9:10 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to let you know we are still monitoring the remaining animals. We have contacted USDA wildlife services to assist us this week. We will likely be implementing a more active approach to capture the remaining animals once they are on site to assist, but until then we are still utilizing the traps as previously described.

Best,
Ryan

From: Ryan Mistretta
Sent: Friday, December 13, 2024 7:05 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hello Teagan,

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, December 13, 2024 7:16 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Good morning Ryan,

Thank you for providing this update, regarding the escaped animals that remain. We will add this note to the case file and will await further updates.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Friday, December 13, 2024 7:05 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to let you know since the USDA Wildlife Service's assistance; we have been able to continue observations of the remaining 4 animals. They are all active and appear to be in good states of welfare. We are continuing our efforts to recapture them safely and humanely as the previous 39. I will provide you with any additional updates as I receive them.

Best regards,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Tuesday, December 10, 2024 7:52 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

We appreciate you providing this information regarding the escaped animals for OLAW case **A3645-1G**. This will be added to the case file as we await further updates.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Tuesday, December 10, 2024 6:40 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

USDA Wildlife Services were on campus yesterday to assist us with their thermal drone and other equipment. We were able to reacquire visual contact with the escaped animals yesterday afternoon. We are placing have-a-heart traps in the location (utilizing the same process as before) of the sighting to recapture the animals. I will provide you with updates as I receive additional information.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, December 4, 2024 12:17 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good afternoon,

Thank you for this update, Ryan. We will add this additional note to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, December 6, 2024 8:27 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Sounds great, thank you for this additional update. We will add this information to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Friday, December 6, 2024 8:23 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to let you know that we have received confirmation from USDA Wildlife Services, and they are planning to be on site with their equipment on Monday December 9th. I will let you know if the situation changes.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, December 4, 2024 12:17 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good afternoon,

Thank you for this update, Ryan. We will add this additional note to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Wednesday, December 4, 2024 11:54 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to provide you with the update that we have finalized bringing the USDA Wildlife Services team in to help us as described in the last update.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, December 4, 2024 8:49 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good morning,

Thanks very much for this additional information. We will add this update to the case file and will let you know if we have any additional questions.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, December 4, 2024 7:33 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good morning,

I am reaching out on behalf of OLAW to check in regarding the remaining escaped animals (case **A3645-1G**). Could you provide a status update on the efforts to recapture these animals?

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Tuesday, November 26, 2024 1:24 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Good Afternoon,

In regards to the 4 animals still uncaptured, we are maintaining our plan to capture them via have-a-heart traps with high value bait. We will continue to close the bait stations at night to minimize any concerns and allow for species typical behaviors such as huddling. The animals have been observed and appear to be in good health and comfortable in their current location. The thought process is to maintain the animal's comfort levels in the location they are occupying. We want to minimize any negative interactions with the animals that may cause them to relocate. Currently cameras and additional feed stations have been added to the area to provide proper nutrition and gain additional visual access. As the process continues, additional steps have been planned for if the opportunity arises such as darting. We have also discussed this plan with the USDA, and their primate expert is in agreement.

Best regards,
Ryan Mistretta

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Tuesday, November 26, 2024 10:33 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, November 26, 2024 2:02 PM
To: 'Ryan Mistretta'
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Good afternoon,

Thank you for this additional information. We will add this update to the case file for **A3645-1G** and will anticipate further updates.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Tuesday, November 26, 2024 1:24 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Good Afternoon,

In regards to the 4 animals still uncaptured, we are maintaining our plan to capture them via have-a-heart traps with high value bait. We will continue to close the bait stations at night to minimize any concerns and allow for species typical behaviors such as huddling. The animals have been observed and appear to be in good health and comfortable in their current location. The thought process is to maintain the animal's comfort levels in the location they are occupying. We want to minimize any negative interactions with the animals that may cause them to relocate. Currently cameras and additional feed stations have been added to the area to provide proper nutrition and gain additional visual access. As the process continues, additional steps have been planned for if the opportunity arises such as darting. We have also discussed this plan with the USDA, and their primate expert is in agreement.

Best regards,
Ryan Mistretta

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Tuesday, November 26, 2024 10:33 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report
Importance: High

Good morning,

I am following up on the update from yesterday, regarding the 4 animals that remain uncaptured from the escape earlier this month. Thank you again for your updates to date. At this time, OLAW is requesting additional details on the efforts being put forth by the institution to capture the remaining animals. Please provide information on what plans are being implemented to successfully recapture these remaining animals at your earliest convenience.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Monday, November 25, 2024 9:04 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hello Ryan,

Thank you for this update. We will add this information to the case file and will anticipate additional updates as the matter progresses.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390

A3645-16

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 25, 2024 9:04 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hello Ryan,

Thank you for this update. We will add this information to the case file and will anticipate additional updates as the matter progresses.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Monday, November 25, 2024 8:29 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

As of this moment, we are still monitoring the remaining 4 animals and continuing our efforts to recapture them. I will update you if the situation changes.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Thursday, November 21, 2024 12:12 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hello Ryan,

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, November 21, 2024 12:12 PM
To: 'Ryan Mistretta'
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hello Ryan,

Thank you for this additional update. We appreciate you keeping OLAW apprised of the ongoing efforts to recapture the remaining animals. This note will be added to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Thursday, November 21, 2024 10:56 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

It has been a few days since I have updated you on the status. As of right now, we have not recaptured the remaining 4 animals. Our efforts will continue, and additional steps are being planned for by the site. I will keep you posted on any developments.

Best regards,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Monday, November 18, 2024 12:51 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thanks again for the prompt updates—it is much appreciated. This will be added to the case file.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Monday, November 18, 2024 12:34 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello again Teagan,

I had another quick update for you. We have captured another two animals as of this morning, and we are now up to 39 total.

Best,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Monday, November 18, 2024 9:04 AM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thanks very much for this information. We will add this to the case file and await further updates on the situation.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 18, 2024 9:04 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thanks very much for this information. We will add this to the case file and await further updates on the situation.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Monday, November 18, 2024 6:41 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: A3645-01 Preliminary Incident Report

Hello Teagan,

I wanted to provide you with another update on the situation. We currently have 37 of the animals back in containment, and they are all in excellent health. We are continuing to monitor the remaining animals. Efforts will continue as they have until the animals are all safely capture.

Let me know if you have any questions.

Thank you,
Ryan

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Thursday, November 14, 2024 3:18 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, November 14, 2024 3:18 PM
To: 'Ryan Mistretta'
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Hi Ryan,

Thank you for keeping OLAW apprised of this matter. We will add this note to the case file as we await additional updates.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Thursday, November 14, 2024 3:16 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] Re: A3645-01 Preliminary Incident Report

Hello Teagan,

I can update you that we now have 35 animals captured. I will keep you updated with any changes.

Best,
Ryan

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From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, November 13, 2024 3:14:32 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good afternoon, Ryan,

Thank you for providing this prompt update. This note will be added to the case file, and we will await further updates as they become available.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Wednesday, November 13, 2024 2:59 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] Re: A3645-01 Preliminary Incident Report

Hello Teagan,

I am glad to inform you that as of this moment 32 of the animals have been captured and assessed by our attending veterinarian. All retrieved animals are healthy and are not at risk. We are currently monitoring other animals currently not contained. They have not ventured far, and our efforts to capture them will continue until they are all retrieved safely.

I will keep you informed if any additional updates they occur.

Best regards,
Ryan.

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From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Wednesday, November 13, 2024 2:54:43 PM
To: Ryan Mistretta <ryan@alphagenesisinc.com>
Cc: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: RE: A3645-01 Preliminary Incident Report

Good afternoon,

I am reaching out to check in on this situation, reported via email last week and assigned as case **A3645-1G**. Could you please provide a status update on this incident at your earliest convenience? Please note that OLAW is requesting regular official updates be provided on the matter until it is resolved. If you have any questions, please let me know.

Thank you!

A3645-1G

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, November 7, 2024 8:33 AM
To: Ryan Mistretta
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A3645-01 Preliminary Incident Report

Good morning,

Thank you for providing this preliminary report, which has been assigned as OLAW case **A3645-1G**. Please reference this case ID when providing updates or submitting the final report for this incident.

If phone notifications are preferred for future preliminary reports, please feel free to contact me directly and I would be happy to assist.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: Ryan Mistretta <ryan@alphagenesisinc.com>
Sent: Thursday, November 7, 2024 7:22 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] A3645-01 Preliminary Incident Report

Dear Director,

Below are the initial details regarding an incident that occurred late yesterday morning. Multiple attempts were made to contact the Division of Compliance Oversight yesterday via phone. A message was left on the divisions answering machine with the institution's information and my contact information.

Preliminary incident of noncompliance report:

- Contact: Ryan Mistretta: ryan@alphagenesisinc.com
- Institution: Alpha Genesis Incorporated
- Assurance number: A3645-01
- Incident: Animal escape situation.
 - Multiple animals from a single social group broke containment from their enclosure due to human error. The situation is currently under investigation.

- We are currently working with local authorities to locate and capture the escaped primates.

Respectfully submitted,

Ryan J. Mistretta, BS LAT CLABP

Director of Operations, Support Services

Institutional Official

NIAID Project Director

Alpha Genesis Inc.

(b) (6)

www.AlphaGenesisInc.com



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