CASE FILE NO. 59-17-0012

CATEGORY: Official Misconduct

SUBJECT: Patterson, Ralph
May, Jefferson

CHARACTER: _____

VICTIM: Lander College

CITY OR COUNTY: Greenwood

DATE: 6/9/17

INVESTIGATING OFFICER: ~~Abbey, Greg~~ LOGAN

**Greenwood County**
600 Monument Street Suite 203
PO Box 516
Greenwood SC 29648

**Newberry County**
1226 College Street
PO Drawer 10
Newberry SC 29108

Eighth Circuit Solicitor's Office
State Of South Carolina

**Laurens County**
100 Hillcrest Square Laurens, SC
PO Box 516
Greenwood SC 29648

**Abbeville County**
102 Court Square. Abbeville, SC
PO Box 516
Greenwood SC 29648

**David M. Stumbo**
Solicitor

13
April 12, 2018

Lt. Lansing "Pete" Logan
S.C. Law Enforcement Division
Post Office Box 21398
Columbia, South Carolina 29221-1398

RE: Greenwood County investigation
Lander University Foundation –
Possible Misappropriation of Funds
SLED Case #59-17-0012
Ralph E. Patterson (s)
Jefferson J. May (s)

Pete
Dear ~~Lt. Logan~~:

Thank you for thorough and professional investigation into this matter. I have reviewed your investigative report and agree that there appear to have been numerous expenditures of Lander University Foundation funds prior to 2016 that raise serious concerns. Many of the expenditures under scrutiny do not appear to be related to the Foundation's core mission of supporting Lander University, its faculty/staff, and most importantly, the students.

I would advise, however, that potential state charges of breach of trust against the targets of this investigation would be difficult to prove beyond a reasonable doubt in a criminal court of law, for the following reasons: 1) The former University President acknowledged in his statements that he encouraged and gave broad authorization for Mr. Patterson and Mr. May to take trips and spend Foundation funds to develop new donor relationships, and 2) there do not appear to have been any operational policies and procedures in place at the time that required approval for out-of-state travel, other various expenditures, and reimbursements paid from Foundation funds.

In short, it would be difficult to prove the criminal intent (*mens rea*) element necessary for conviction because there were permissions given by the former University President (albeit permissions that were arguably abused), in addition to a significant lack of accountability and oversight over Foundation funds that were spent, or directed to be spent, by Mr. Patterson and Mr. May in their respective roles as vice-

presidents for Lander University during this time period. Accordingly, we decline to recommend any criminal charges at this time.

Enclosed I am returning the copy of the investigative file that you provided to me. Please do not hesitate to call me if you have any other questions or concerns.

Sincerely,

David M. Stumbo
Solicitor

Enclosure

South Carolina Law Enforcement Division

# Case Status Report

**INFORMATION DESCRIPTION** **CASE NUMBER** 59-17-0017

**OPEN** _____ **COMPLETED** **CLOSED**

___ Active **Date** _____ ___ Unfounded **Character** _____ , _____ , _____

___ Under Pros. Review ___ Declined **Character Details** _____

___ Suspended ___ Adjudicated POSSIBLE MISAPPROPRIATION OF FUNDS

___ Awaiting Court ___ Ex. Cleared

**Opening Date** _____ **Closing Date** 4/23/18 **Lab Number** _____

**Case Agent** *(last name, initials)* **Assisting Agent** *(last name, initials)* **Supervisor** *(last name, initials)*

**Requesting Agency** _____ **Name** _____

**SLED Authority** _____ **Date Received** _____

**Incident Date** _____ **Incident Location** _____

**Subject** *(last name, first name, middle initial)*

PATTERSON, RALPH

MAY, JEFFERSON J.

**Victim** *(last name, first name, middle initial)*

LANDER COLLEGE

**Subject Vehicle(s):** _____

**Remarks:**

BY LETTER DATED 4/13/18 SOLICITOR DAVID M. STUMBO, EIGHTH CIRCUIT, GREENWOOD, SC DECLINED TO RECOMMEND ANY CRIMINAL CHARGES AT THIS TIME. (SEE ATTACHED LTR)

I RECOMMEND CASE BE CLOSED)

Major or Capt Tommy

Please close

THX

Supervisor: _____ **Date:** 4/23/18

Supervisor: _____ **Date:** _____

Form # R0-031 (Rev. 7-24-06)
CALEA 42.1.3, 82.1.5, 82.2.3

South Carolina
Law Enforcement Division

P.O. Box 21398
Columbia, South Carolina
29221-1398

*Henry D. McMaster, Governor*
*Mark A. Keel, Chief*

*Tel: (803) 737-9000*

March 13, 2018

Solicitor David Stumbo
Eighth Circuit Solicitor's Office
P.O. Box 516
Greenwood, SC 29648

**Re: Ralph E. Patterson & Jefferson J. May**
**Possible Misappropriation of Funds**

Dear Solicitor Stumbo:

Reference is made to our previous telephone conversation regarding the captioned matter. The SLED report is being forwarded to you for whatever action you deem appropriate.

Sincerely,

Lt. Lansing "Pete" Logan

South Carolina Law Enforcement Division

# Case Status Report

**INFORMATION DESCRIPTION** **STATUS** **CASE NUMBER** 59-17-0012

Opening Date 6/9/17 — ✓ Open — Cross Ref. No. _____

Closing Date _____ — ____ Suspended — Character ____ , ____ , ____

Re-Opening Date _____ — ____ Awaiting Court — Character Details _____

Re-Closing Date _____ — ____ Closed — OFFICIAL MISCONDUCT

Progress Date _____ — Lab Number _____

AUTLEY, GREG — Case Agent (last name, initials)

_____ — Assisting Agent (last name, initials)

LT. LOGAW, P. — Supervisor (last name, initials)

Requesting Agency IGO — Name EDWARD A. LOPES

SLED Authority LT TEE LOGAW — Date Received 6/6/17

Incident Date 2016-2017 — Incident Location GREENWOOD

**Subject** ( last name, first name, middle initial )

PATTERSON, RALPH

MAY, JEFFERSON

**Victim** ( last name, first name, middle initial )

LANDER COLLEGE

**Remarks:**

ON 6/9/17 EDWARD A. LOPES, INVESTIGATOR, INSPECTOR GENERAL OFFICE, COLA, SC [redacted] MADE AVAILABLE HIS REPORT REGARDING RALPH PATTERSON WHO WAS EX DIR OF LANDER FOUNDATION AND JEFFERSON MAY, FORMER ATHLETIC DIRECTOR. INVESTIGATION SHOWS THAT PATTERSON AND MAY USED LANDER FOUNDATION MONEY FOR PERSONAL TRIPS.

(COPY OF HIS INVESTIGATIVE REPORT ATTACHED)

Approved Supervisor: Lt. T. Logan Date: 6/19/17

Approved Admin. Supervisor: _____ Date: _____

Form # RO-031

# SOUTH CAROLINA
# LAW ENFORCEMENT DIVISION




# INVESTIGATIVE FILE

## EXHIBITS

1. Inspector General's Report
2. Expenses
3. Interview of John Adam Taylor dated July 25, 2017
4. Interview of Jeannie McCallum dated July 31, 2017 with a copy of a letter and employment application documents
5. Discs of interviews of [redacted] Emmett Davis, Jack Lawrence, [redacted] [redacted] [redacted] Ralph Patterson, [redacted] Dr. Ball, Jeff Humphrey, and Jefferson May
6. Typed notes relating to interviews of Dr. Wayne Ball, Ralph Patterson, Jeff May, and Jeff Humphrey
7. Interview of Dr. Wayne Ball dated September 27, 2017
8. Interview of Ralph Patterson dated November 21, 2017
9. Interview of Jefferson May dated January 12, 2018

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Ralph E. Patterson; Jefferson Jay May
Possible Misappropriation of Funds

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Introduction

Investigation regarding this matter was initiated June 9, 2017, upon receipt of information from Ed Lopes, former Investigator, with the Inspector General's Office (IGO) Columbia; telephone [redacted], who advised that his inquiries at the Lander University developed questionable reimbursements, travel information, and other miscellaneous purposes, by Ralph E, Patterson and Jefferson Jay May. He initiated his inquiry at the request of the newly appointed President of Lander University, Dr. Richard Cosentino. Lopes made available his report dated April of 2017, entitled Financial Analysis and Investigative Support to Lander University. (Inspector General's report attached as "Exhibit Number One")

A review of the report from the Inspector General's Office determined that their investigation was initiated November 30, 2016, following an audit showing numerous questionable charges on a credit account which was owned and in the name of the Lander University Foundation. During the inquiry it was determined that there were credit cards issues to several members of the foundation's staff with each account specifically assigned to a staff member with his own personal name embossed on the card.

It was also determined that funds were used to make repairs to the Greenwood County Golf Club, a privately owned club. Funds were also utilized to purchase some personal items, questionable marketing and campaign materials and unauthorized travel. There was also an excessive use of Lander Foundation credit card used by the Vice President of University Advancement, Ralph Patterson.

In June of 2017, Craig Hales, Special Agent, Office of Inspector General, United States Postal Service, made available documents relating to charges with the Lander Foundation. A synopsis for these expenses was identified as follows:

Ralph Patterson:

Travel: $12,412.12

Restaurants: $11,030.28

Miscellaneous Purchases: $ 11,288.06

Gas: $4,458.06

Memberships: $ 2,010.00

Total: $41,198.52

These charges represent expenses on the credit card from May 1, 2013 to June 30, 2016. Documents relating to these charges were made available. (Documents showing expenses marked as "Exhibit Number Two")

On July 25, 2017, John Adam Taylor, Chief of Staff Lander University, Greenwood, advised that he was employed as Vice President for University Advancement from 2008 to 2009,

when he was appointed as Vice President for Governmental Relations and Executive Director of the Lander Foundation. In 2010, Ralph Patterson was hired to replace him and continued in that capacity until 2016.

Taylor was the supervisor for Ralph Patterson; but could not recall ever discussing duties or responsibilities with Patterson. There were never any written responsibilities for Patterson other than what was written in the job description when he was hired. He stated Patterson was never given any performance ratings by the university or by the foundation and his main objective was to raise money for the university.

Taylor went onto say that Patterson was never questioned about his travel until a new President, Dr. Richard Cosentino, made some inquiries in 2015. At the request of the president, the Inspector General's Office conducted an audit and came to the conclusion that there was a lack of policy and procedures for employee travels. (See interview of John Adam Taylor marked as "Exhibit Number Three")

On June 20, 2017 and again on September 6, 2017, Dr. Richard Cosentino, President, Lander University, Greenwood, South Carolina; telephone [redacted], was telephonically contacted and advised that he became concerned over the amount of travel for Ralph Patterson who was employed as Vice President for Lander University Advancement and Executive Director of the Lander Foundation. At his request, the Inspector General's Office looked at the records and came to the conclusion that Ralph Patterson and Jefferson Jay May, who was the athletic director at the time, had apparently misused Lander University funds. He stated that the misuse of funds affects the ability of Lander University to provide the necessary benefits for

students and faculty. He felt that appropriate action should be taken concerning both Jefferson and May.

On July 31, 2017, Jeannie McCallum, Human Resources Manager, Lander University, Greenwood, South Carolina, advised that Ralph Patterson was hired prior to her being employed as a human resource manager. She is not aware of any specific duties performed by Ralph Patterson other than the duties that are set forth in the standard South Carolina position description form. She stated that Ralph Patterson worked closely with the President, Dr. Ball, and that they would have staff meetings at least once a week. Dr. Ball generally would adhere to the old school philosophy and would seek advice from his vice president, Ralph Patterson and others. McCallum advised that she was aware that Patterson was developing a big donor in Colorado and that was one of his principal duties. It was her understanding later on that the Inspector General's Office determined that there was little supervision over his activities and duties. (See interview of Jeannie McCallum, July 31, 2017 along with a copy of a letter, employment application documents marked as "Exhibit Number Four")

On June 9, 2017, Craig Hales, Agent, Office of Inspector General, 2001 Dixiana Road, Columbia; telephone [redacted] made available two discs containing interviews that were conducted during the Lander University investigation. The interviews on the disc are identified as follows:

- Audio Disc Number One
  - Interviews:
    - [redacted]
    - Emmett Davis

        - Jack Lawrence
        - [redacted]
        - [redacted]
- Audio Disc Number Two
    - Interviews:
        - [redacted]
        - Ralph Patterson
        - [redacted]
        - Dr. Ball
        - Jeff Humphrey
        - Jeff May

(Documents attached and listed as "Exhibit Number Five")

On July 31, 2017, Ed Lopes, former investigator and auditor, Inspector General's Office, Columbia, made available typed notes relating to interviews of Dr. Wayne Ball, Ralph Patterson, Jeff May, Jeff Humphrey (Typed notes attached as "Exhibit Number Six")

On September 7, 2017, Dr. David Wayne Ball, former president, Lander University, who resides Greenwood, was interviewed and advised he was President from June 2000 to July 2016, when he retired. Ball advised that he hired Ralph Patterson, as a Vice President of University Advancement, as well as being the Executive Director of the Lander Foundation. Dr. Ball stated he was completely familiar with the travels and expenses by vice president Patterson and in fact encouraged Patterson to take trips to contact and develop donors for the university. Dr. Ball was also aware that Jeff May, who was the athletic director at the time, accompanied Patterson on at

least one occasion on a trip to Colorado. Dr. Ball explained that Patterson developed a large donor by the name of George Boedecker, who is from Boulder, Colorado and who is also the founder of Crocs Shoes. Boedecker and his foundation were large donors to Lander University and gave scholarships that were substantial.

Dr. Ball advised that the travels of Ralph Patterson and Jeff May, along with their expenditures were totally justified as they were developing donors and were what he calls good ambassadors of the university. Dr. Ball went onto say he read the report prepared by the Inspector General's Office and took issue with several of the statements in the report. He sent a letter to the Board of Trustees setting forth his concerns about the Inspector General's report.

Dr. Ball again explained that Ralph Patterson and Jeff May were good representatives of Lander University and their travels, particularly to Boulder, Colorado and other places were to develop donors for the university. He stated that it was their duties to travel and on occasion entertain some of the potential donors. (See Interview of Dr. Wayne Ball, September 27, 2017, marked as "Exhibit Number Seven")

On November 21, 2017, Ralph E. Patterson, who is now the Athletic Director for Newberry College, interviewed and advised that he worked as Director of Development, Vice President for University Advancement, and Executive Director for Lander University Foundation from June of 2017 to July of 2016, when he was fired. Patterson stated that he was never given any written instructions as to his job duties and primarily worked with the president on fundraising. The president at the time, Dr. Davis Wayne Ball, wanted him to raise money for Lander University and authorized his travel and other expenses. He was successful in developing one of the largest donors for the university by the name of George Boedecker or Boulder,

Colorado. Patterson stated that he traveled to Boulder, Colorado on occasions to keep in touch with George Boedecker and at least on one occasion, Jeff May, vice president of athletics at Lander University accompanied him.

Patterson stated that the president at the time, Dr. Ball, was aware of his travels and in fact encouraged him to keep in contact with the Boedecker Foundation. Patterson feels that all of his expenses and travel were official and related to developing donors for the university. (See Interview of Ralph Patterson, November 21, 217, marked as "Exhibit Number Eight")

On January 12, 2017, Jefferson Jay May, who is now Executive director for the Burton Center Foundation, Greenwood, South Carolina, interviewed and advised that he worked as athletic director for Lander University from 1997 to 2016. May stated that he did very little travel in his position but could recall accompanying the vice president, Ralph Patterson, on a trip to Las Vegas and Colorado, where they met with a donor. May was aware that Patterson had developed a large financial donor by the name of George Boedecker, and because of this he stayed in contact with the Boedecker Foundation. The manager apparently for the Boedecker Foundation, was a guy by the name of Jeff Humphrey, who was friends with Ralph Patterson and who would communicate back and forth together. May feels very strongly that his travels with Ralph Patterson were official and believes that the president at the time, Dr. Ball, encouraged these types of travels. (See Interview of Jefferson May, on January 12, 2018, marked as "Exhibit Number Nine")

On January 15, 2018, Stephen Baggett, Jr., 214 Waller Avenue, Greenwood, South Carolina 29646; telephone 864-953-9696 and [redacted], was interviewed and advised that he was the attorney representing the lander university foundation for a period of time. He is no

longer associated with the foundation and is in private practice. Baggett stated that he could recall Ralph Patterson as being the vice president of development of Lander University and could recall that he also had a Lander Foundation credit card that he used for travel and other expenses. Bagette stated that to the best of his knowledge no one ever kept track of the expenses for travel by Patterson. There is an annual audit conducted of the foundation and it is generally done by the accounting firm of Elliott Davis.

On January 15, 2018 Don Scott, [redacted] Greenwood, S.C. advised that he was the Vice President of the Lander University Foundation from around 2004 to 2006 and prior to that he was the President of Lander University Alumni. To his knowledge there is no approval process for using the Foundation credit card and no approval process for travel. He is aware that Ralph Patterson was the Executive Director of Lander Foundation and was also aware that Patterson was traveling to Colorado to contact a Donor of the University. Scott stated that the Foundation paid for some repairs to the Greenwood Golf Club and believes it was around $98,000.00. He pointed out that the University golf team utilizes the Golf Club. Scott is not aware that Patterson did anything wrong in connection with his travels.

Baggette stated that a good part of the universities' efforts, his developing donors, and the colleges are experiencing a lot of competition in getting donors. It was his understanding that Ralph Patterson was developing donors but could not be more specific as to exact travels and contacts.

On different occasions in December of 2017 and January of 2018, Ed Lopes, former investigator with the inspector general's office, Columbia, was contacted to discuss various aspects of his investigation. Lopes stated that there was no question in his mind after completing

the investigation that the university did not have any policies or controls in place on the travels of their employees, such as Patterson.

Conclusion

Based upon the interview of the investigation conducted in this matter, it is apparent that Ralph Patterson travelled extensively to Boulder, Colorado, and Las Vegas for sporting events and other liaison connections. Both Patterson and Jefferson May readily admitted to their travels but felt that it was official business. It was apparent that the president at the time, Dr. David Ball, authorized the travel of Patterson and May, ands in fact had encouraged them in their travels to develop donors for the university. There appeared to be no written policies regarding travel or expenditures as was developed by the inspector general's office.

# EXHIBIT 1

LAW ENFORCEMENT SENSITIVE

# Office of the State Inspector General

*Brian D. Lamkin*

## Misconduct Investigation and Review of Financial Management Issues of the Executive Director of the Lander Foundation and Vice-President of Advancement, Lander University

Case# 2016-1975-I

DATE: May 2017

LAW ENFORCEMENT SENSITIVE

## I. Executive Summary

In October 2016, the Lander University (LU) president requested the Office of the State Inspector General (SIG) to conduct an independent review of questionable spending, reimbursement, and fundraising practices conducted by Ralph Patterson, the former Lander Foundation (Foundation) executive director and LU Vice-President (VP) of Advancement. Numerous questionable practices were identified in an independent accounting review of the Foundation's financial records which the LU president determined were reportable to the SIG as potential misconduct or fraud.

The SIG determined the Foundation did not maintain a financial policy which governed the Foundation's executive director and staff on the use of the Foundation's credit card accounts and the expense reimbursement process. Equally concerning were the blurred lines of authority over Patterson's daily activities as the Foundation's executive director and as LU's VP of Advancement. The Foundation's Board of Trustees' misguided belief Patterson's actions as Foundation executive director were the responsibility of the LU president was complicated by the lack of a memorandum of understanding or operating agreement between the Foundation and LU. The presence of an operating agreement between a university and its nonprofit foundation is considered a best practice in higher education in order to delineate the roles and responsibilities of each entity and staff. Without any checks and balances, or defined lines of authority, Patterson's management of Foundation resources placed the endowment at a high risk for abuse and fraud.

Between 5/1/2013 and 6/30/2016, Patterson charged over $41,000 to the Foundation's credit card account. While some of the charges were for official Foundation business, the SIG determined a significant portion of the charges were either personal in nature or unrelated to official Foundation business. Chief among the expenses charged to the Foundation's accounts and reimbursed to Patterson were frequent trips to the Denver, Colorado area where Patterson maintained personal friendships and family ties, to include his mother. Fourteen questionable trips to Denver were taken by Patterson in his capacity as the Foundation's executive director and LU VP of Advancement under the justification of "campaign" or "Boedecker;" which referred to the Boedecker Foundation, a major donor to the Foundation for LU scholarships. In total, Patterson expended more than $10,600 for these trips to Denver.

Three specific Denver trips stand out as illustrative of Patterson's unbridled use of Foundation resources for personal reasons. In December 2015, Patterson attended a University of Colorado (CU) basketball game which included the dedication of a practice arena by the Boedecker Foundation in honor of the retiring CU basketball coach. In October 2014, Patterson and [redacted], former LU [redacted], attended a CU football game under the auspices of Foundation travel at the request of [redacted] for the Boedecker Foundation. Finally, in March 2014, Patterson traveled to Las Vegas, Nevada for two days to attend the PAC-12 college basketball tournament, before traveling on to Denver for the remainder of the trip, and justified under the heading "[redacted] Boedecker Campaign."

In each of these instances, Patterson approved his own travel, travel justification, and reimbursement of expenses. When questioned by the SIG about travel approval, Patterson stated he did not need a higher level of approval which is contrary to university protocol where LU vice-presidents needed travel approval from the LU president. [redacted] advised the SIG he never requested Patterson to travel

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In each of these instances, Patterson approved his own travel, travel justification, and reimbursement of expenses. When questioned by the SIG about travel approval, Patterson stated he did not need a higher level of approval which is contrary to university protocol where LU vice-presidents needed travel approval from the LU president. [redacted] advised the SIG he never requested Patterson to travel

or expend Foundation resources on behalf of the Boedecker Foundation. [redacted] stated Patterson frequently contacted him on the spur of the moment to provide a verbal update on the Foundation's endowment when Patterson came to the Denver area. However, Patterson's own words best captured his management of Foundation travel resources when he commented to the interim Foundation executive director as he vacated his LU position, ***"now I have to find another way to see my mother."***

Patterson's misuse of Foundation financial resources extended to his own approval for expense reimbursements associated with his personal sports broadcasting business; family vacations; Greenwood Country Club membership expenses; and personal purchases of clothing and souvenirs at the 2014 U.S. Open and 2015 Master's golf tournaments. In each of these areas, Patterson approved his own reimbursements and caused Foundation funds to be disbursed under the justification as "discretionary, travel, or campaign."

Patterson failed in his fiduciary role as the Foundation's executive director. He allowed for the expenditure of funds which had no bearing on the Foundation's mission. In certain instances, Patterson's failure to exercise fiduciary oversight may have exposed the Foundation and the university to violations of state and federal tax codes.

Patterson, as Foundation executive director, accepted in-kind donations of horses, semi-precious gems and diamonds; all of which were presented with assessments and appraisals in hand. In one instance, a horse was donated with the value of $80,000, only to be sold a short time later for $10. In 2013, the donations of semi-precious gems and diamonds were made by two local physicians who placed the value at more than $64,000. Patterson signed and acknowledged the contributions on IRS Form 8283 and gave each physician a separate IRS form in the same amount. In 2017, the university obtained an independent appraisal of the gems which placed the value at approximately $42,000.

Other examples of Patterson's mismanagement of Foundation resources were: (1) authorization of more than $93,900 in athletic department funds, held in trust by the Foundation, as stipends to various athletic department staff and a concessions contractor at the direction of the [redacted] [redacted] without deducting income tax or issuing W-2s or 1099s to the recipients; (2) the purchase of 25 week-long badges for the 2016 Heritage Classic golf tournament as a sponsor fee; and (3) the reimbursement for the personal purchase of Clemson football and basketball tickets for the 2014 – 2016 seasons to [redacted], [redacted] [redacted].

Patterson's mismanagement of Foundation funds through misallocation, abuse, and misappropriation will have a direct financial impact to the LU student body and its enrollment efforts. The LU president estimated that a $1,000 scholarship provided by the Foundation to the university for prospective students who pay full tuition results in a net gain of $19,000 in gross tuition and fees. Consequently, any misuse of Foundation funds which limits scholarship funding can have the reverse effect in student enrollment and associated revenue to the university.

**Table of Contents**


Page

I. Executive Summary..... 1

II. Administrative..... 4

III. Background..... 4

A. Predicate..... 4
B. Scope of Review..... 5
C. State Criminal Statute..... 5
D. Overview of the Lander Foundation..... 5

IV. SIG Summary Analysis..... 6

A. Lander Foundation Credit Card Usage by Ralph Patterson..... 6
B. Ralph Patterson's Frequent Travel to Denver, Colorado..... 6
C. Ralph Patterson's Secondary Employment Travel Expenses..... 9
D. Other Reimbursements Authorized by Ralph Patterson..... 9
E. Mismanagement of Foundation Resources..... 10

V. Summary..... 12

VI. Findings and Recommendations..... 12

Appendices:

A. Interviews (contained in attached CD-ROMs)
B. Exhibits (contained in attached CD-ROM)

## II. Administrative

The South Carolina Office of the State Inspector General (SIG) is not an adjudicative or recommending authority. The SIG prepares an investigative summary reporting the facts based on the collection of evidence primarily through interview and record review. As part of its mandate, the SIG conducts misconduct investigations and provides investigative and financial analysis support to state-wide agencies pertaining to fraud allegations. The SIG provides its investigative summary to the appropriate authority, which in this case is the president of Lander University (LU), the State Law Enforcement Division (SLED), and the South Carolina Department of Revenue (DOR) to pursue potential administrative, civil, or criminal remedies.

Based upon specific findings uncovered during the course of this investigation and review, the SIG considers this report to be **LAW ENFORCEMENT SENSITIVE.** As such, all Freedom of Information Act (FOIA) inquiries received by the SIG will be forwarded to LU, SLED, and DOR. These agencies will have all of the SIG's records collected during the course of this review and analysis, and will be in a position to determine when documents are releasable under FOIA.

The SIG's points of contact during this investigation were Dr. Richard Cosentino, LU president; J. Adam Taylor, LU Chief of Staff and Vice-President (VP) for Governmental Affairs; and Van Taylor, LU Interim VP of Advancement and Executive Director of the Lander Foundation, Inc. (Foundation). The SIG extends its appreciation for the cooperation and support provided by the LU staff during the SIG's participation in this matter.

Where appropriate, the specific Appendix source (interview or record) for facts contained in a paragraph are identified in "[...]" at the end of at the end of a paragraph to facilitate review of foundational documents used for this summary report.

## III. Background

### A. Predicate

In October 2016, the LU president requested the SIG's assistance in an independent review of questionable spending, reimbursement, and fundraising practices conducted by Ralph Patterson, the former Foundation executive director and LU VP of Advancement, and other current and former LU staff members. The LU president had previously authorized an independent accounting review by Charles W. Schulze, CPA, LLC, of the Foundation's financial records which identified numerous questionable practices. The LU president determined these findings were reportable to the SIG for further review as potential misconduct and fraud. [B48]

A preliminary review of the independent accounting report identified various questionable financial transactions, which included the following: misuse of Foundation credit cards for personal use by Foundation staff; reimbursement of personal expenditures under the guise of "capital campaign" or fundraising; unauthorized purchase of property; questionable marketing and campaign methods and purchases; and unauthorized travel and excessive use of the Foundation credit card by Patterson.

### B. Scope of Review

On 11/30/2016, the SIG initiated its misconduct investigation and financial management review (Case # 2016-1975-I). The scope of the review was to:

- Identify the extent of the oversight and supervision of the Foundation, its executive director and staff, by the Foundation Board of Trustees;
- Determine any and all governing policies and procedures pertaining to the use of Foundation accounts, credit cards, and other expenditures;
- Determine the financial impact, if any, to the Foundation's financial status related to questionable expenditures and reimbursements by Foundation staff;
- Identify any misconduct of current or former LU employees and refer this to LU for further action; and
- Refer to the appropriate law enforcement authority any suspected violations of criminal statutes.

Reviews by the SIG are conducted in accordance with professional standards set forth by the Association of Inspectors General, often referred to as the "Green Book."

### C. State Criminal Statute

The misconduct investigation and financial management review conducted in this matter identified potential violations of state criminal statutes and tax codes. The SIG contacted SLED and DOR during the course of this investigation to alert these agencies to these potential violations. As such, this report and all supporting documentation will be referred to SLED and DOR for further review.

### D. Overview of the Lander Foundation

Lander University (LU), originally an outgrowth of Williamston Female College, moved to Greenwood, South Carolina in 1903 and became Lander College in honor of its founder. It was operated by the Conference of the Methodist Church until 1948. In 1948, the Lander Foundation was formed to receive the assets of the college and take over its operation. In 1972 by Act 1393 of the state legislature, the assets of the college were transferred to the state and LU became a state-supported institution of higher learning. The Lander Foundation (Foundation) provides a private/public vehicle for raising funds and managing money through alumni annual giving funds, and endowment funds for scholarships and to assist the mission of LU. The Foundation applied for and received an exemption from federal income tax in 1975.

The Foundation is registered in South Carolina as a not-for-profit entity and, per its bylaws, is governed by a Board of Trustees (Board) and is authorized to acquire property and funds and use the property and funds in furtherance of the welfare of LU. The Foundation is considered a component unit of the university and the results of its operations are included in LU's financial statements. However, there was no memorandum of understanding or agreement between the Foundation and LU.

## IV. SIG Summary Analysis

The SIG investigative and financial management review encompassed: (1) a review of reimbursement statements of the Foundation; (2) review of the Foundation credit card usage history and supporting documentation; (3) review of donation records of certain property to the Foundation, business office and file records; (4) a review and analysis of LU payroll records of relevant employees; (5) interviews of relevant donors, and Foundation and LU staff; and (6) review of all Foundation policies governing credit card expenses and reimbursements. The time period of the records analysis was 5/1/2013 – 6/30/2016.

### A. Lander Foundation Credit Card Usage by Ralph Patterson

The SIG determined the Foundation did not have a written policy governing the use of the Foundation credit card by Patterson and others. Interviews conducted of Foundation staff members, Foundation Board members, and university personnel determined while there was no policy governing the Foundation's credit card usage and reimbursement process even though it was understood the Foundation's financial resources were intended only for official Foundation business. It was further understood any personal charges made with the credit card was the responsibility of the assigned card holder.

Between 5/1/2013 and 6/30/2016, Patterson charged $41,198.52 to the Foundation's credit card account. While some of the charges were for official Foundation business, the SIG was able to determine a significant portion of the charges were either personal in nature or unrelated to official Foundation business. Chief among the expenses charged to the Foundation's accounts and reimbursed to Patterson were frequent trips to the Denver, Colorado area. Patterson maintained family ties and personal friendships to the Denver and Boulder, Colorado areas, to include his mother. [B1]

### B. Ralph Patterson's Frequent Travel to Denver, Colorado

The SIG identified 14 questionable trips to the Denver, Colorado area taken by Patterson in his capacity as the Foundation's executive director and LU VP of Advancement under the justification of "campaign" or "Boedecker." The Boedecker references were associated with the Boedecker Foundation which was a major donor to the Foundation for LU scholarships. The [redacted] for the Boedecker Foundation was [redacted], a personal friend of Patterson and a Denver area resident. As illustrated in the Table A, Patterson expended a total of $10,692.21 for these trips to Denver. [B1, B2-B17]

**Table A: Ralph Patterson Frequent Travel to Denver, Colorado**

| Dates of Travel | Destination | Event Attended | Receipts Justification | Foundation Credit Card | Direct Reimbursement | Total Costs |
|---|---|---|---|---|---|---|
| **2016** | | | | | | |
| 1/29 – 02/01 | Denver, CO | Coach Tom Apke, CU basketball reunion | "[redacted] BOE Found." "Travel" | $801.58 | | $801.58 |
| **2015** | | | | | | |
| 1/8/15 – 1/11 | Denver, CO | Broomfield, CO | "Boedecker" "Travel" "Campaign" | $386.96 | | $386.96 |
| 3/11 – 3/15 | Denver, CO (2nd ticket to Las Vegas) | Unknown travel | "Boedecker campaign" "Travel"; "Campaign" | $1,297.37 | $659.63 | $1,957.00 |
| 11/13 | Denver, CO | Unknown Travel | "Travel" | $224.20 | | $224.20 |

**Table A (cont.) Ralph Patterson Frequent Travel to Denver, Colorado**

| Dates of Travel | Destination | Event Attended | Receipts Justification | Foundation Credit Card | Direct Reimbursement | Total Costs |
|---|---|---|---|---|---|---|
| **2015** | | | | | | |
| 12/1 – 12/3 | Denver, CO | CU basketball game; dedication of CU practice arena | "Campaign" "travel" "Boedecker" | $292.58 | $238.00 | $530.58 |
| 12/17 | Denver, CO | Unknown travel | "Travel" | $148.00 | | $148.00 |
| **2014** | | | | | | |
| 3/12 – 3/19 | Denver, CO via Las Vegas for 2 days | PAC-12 basketball trnt. Las Vegas; MGM Grand Hotel | "[redacted] Boedecker" "travel" "campaign" | $840.35 | | $840.35 |
| 7/30 – 8/4 | Denver, CO | Yearly family vacation to CO | "Boedecker" "[redacted]" | $812.19 | | $812.19 |
| 10/3 – 10/6 | Denver, CO (Airfare/hotel for two) | CU football game w/ LU[redacted] | "Boedecker tailgate" "Campaign" | $,1693.66 | $955.28 | $2,648.94 |
| 11/18 – 11/20 | Denver, CO | Mother's birthday | "Travel" "Campaign" | $244.67 | $84.26 | $328.93 |
| **2013** | | | | | | |
| 5/17 – 5/23 | Denver, CO | Boulder, CO | "Boedecker" "Campaign" | $533.48 | | $533.48 |
| 8/22 – 8/30 | Denver, CO | Boulder, CO | "Travel" "Campaign" | $859.19 | | $859.19 |
| 11/18 – 11/19 | Denver, CO | Mother's birthday | "Campaign" "Travel" | $475.13 | | $475.13 |
| 12/23 – 12/27 | Denver, CO | Christmas | "Travel" "Campaign" | $145.68 | | $145.68 |
| **TOTALS** | | | | **$8,755.04** | **$1,937.17** | **$10,692.21** |

However, closer scrutiny of the actual events attended by Patterson on these trips determined there was no Foundation business associated with these trips. The following three trips illustrate the manner in which Patterson concealed his efforts to travel back to his home state under the guise of official Foundation travel.

Denver Travel, 12/1 – 3/2015 (Ralph Patterson)

Between 12/12015 and 12/3/2015, Patterson traveled to Denver for the purpose of Foundation business under the heading of "campaign" and "Boedecker Foundation." The university's travel records identified Patterson as not only the "traveler," but he also approved his own travel as the "department head" along with the final travel approval. However, a comparison of the trip dates and Patterson's social media posts indicated Patterson attended a University of Colorado (CU) basketball game. This event included the Boedecker Foundation's dedication of a practice facility in honor of the former CU coach on 12/2/2015. The total cost to the Foundation for this trip was **$530.58.** [B14]

While Patterson confirmed the expenses for this trip were charged to the Foundation's credit card, he advised the SIG his travel did not require specific approval by anyone. However, the LU VP of Governmental Affairs advised the "self-approval" travel process utilized by Patterson did not follow established LU travel protocol. Specifically, all LU travel conducted by its vice-presidents required the approval of the LU president. [A6]

[redacted], Boedecker Foundation, confirmed to the SIG he was personally acquainted with Patterson for several years, and had donated significant amounts of money to the LU based upon this relationship. [redacted] advised that Patterson frequently visited his (Patterson's) family in the Denver area, and on several occasions contacted [redacted] and updated him on the "Honors Scholarships." [redacted] stated these unplanned visits usually amounted to nothing more than a quick verbal update. [A10]

[redacted], [redacted] advised the SIG he believed he approved two trips for Patterson to go to travel to Denver; however, LU records indicated no such approval was given by [redacted]. A review of Foundation Board minutes and interviews of specific Board members found no approvals were given for Patterson's travel to Denver. Foundation Board members, while aware of Patterson's frequent travel and family connections to the Denver area, were of the understanding Patterson answered specifically to the LU president. [A9, A1 – A5, A7-A8, B47]

Denver Travel, 10/3 – 6/2014 (Ralph Patterson and [redacted])

Beginning in August 2014, Patterson paid for two airline tickets in the names of Patterson and [redacted], with the Foundation credit card. In addition, Patterson utilized the Foundation credit card to purchase tickets to a CU football game on 10/4/2014, rental car, and meals. Patterson utilized a personal credit card for the two hotel rooms and other miscellaneous items. However, Patterson approved his own reimbursement of his personal credit card expenses. The total cost to the Foundation for this trip was **$2,648.94.**

The receipts associated with this trip were marked "campaign" and/or "Boedecker Foundation." However, subsequent interviews with Patterson, [redacted] and [redacted] identified conflicts in their understanding of this trip. Patterson advised the SIG he was invited by [redacted] to the football game for a "tailgate thing." [redacted] stated he never invited Patterson to Colorado for Foundation business. [redacted] advised the SIG [redacted] went to Denver at Patterson's invitation, and that [redacted] and Patterson went to the game and stopped by [redacted] tailgate to have a beer. [redacted] advised [redacted] was unaware of any CU football game travel by Patterson and [redacted]. [B17, A6, A9, A11]

Las Vegas/Denver Travel 3/13 – 19/2014 (Ralph Patterson)

On 3/13/2014, Patterson traveled from Greenville, SC to Las Vegas, NV and stayed two nights at the MGM Grand Hotel. The hotel reservation and charges were made with the Foundation credit card. Patterson's social media posts indicated he attended the PAC-12 College Basketball Tournament to follow the CU Buffalos. On 3/15/2014, Patterson flew from Las Vegas to Denver where he rented a car and traveled to Boulder, CO. Restaurant receipts and Patterson stayed at the Hotel Boulderado receipt was labeled "[redacted] Boedecker Campaign." On 3/19/2014, Patterson flew back to Greenville, SC. A total of **$840.35** in expenses was charged against the Foundation credit card or reimbursed to Ralph Patterson. [B7]

The following year (3/11 – 15/2015) a similar trip was made by Patterson to Denver, CO. The initial flight reservation indicated travel was for Charlotte, NC to Las Vegas, NV, along with a hotel reservation to the MGM Grand Hotel for the same PAC-12 college basketball tournament. However, Patterson's actual travel occurred from Charlotte, NC to Denver, CO. Among the various travel justifications listed on hotel and restaurant receipts were "[redacted] B. Foundation," "Boedecker Campaign," and "[redacted] Boedecker campaign." A total of **$1957.00** in expenses were paid for by the Foundation for this trip, which included airfare, rental vehicle, meals, hotel and parking. This also included an additional hotel charge of $183.25 which was requested and reimbursed on two separate occasions to Patterson. [B11]

The most telling and self-incriminating statement made by Patterson occurred in July 2016 as he was preparing to leave his position at LU when he commented to the interim Foundation executive director, ***"now I have to find another way to see my mother."***

### C. Ralph Patterson's Secondary Employment Travel Expenses

While employed by the Foundation and LU, Patterson maintained a self-employed free-lance sports broadcasting business for several universities and colleges whose sporting events were broadcast on the ESPN network and other media outlets. The SIG confirmed through Foundation financial records and Patterson's social media posts that Patterson was reimbursed for his self-employment travel expenses, which were unrelated to his official Foundation or university employment. [B1, B18-B22]

The following Table B illustrates the expenses reimbursed to Patterson by the Foundation on his own approval for his secondary employment travel.

**Table B: Foundation Reimbursements for Patterson's Secondary Employment**

| Dates of Travel | Sports Broadcast Event | Location | Media Outlet | Receipts Justification | Foundation Credit Card | Direct Reimbursement | Total Trip Costs |
|---|---|---|---|---|---|---|---|
| 1/24/2015 | High Point Univ. -vs- Radford Univ. college basketball game | Radford, VA | "Eye on Sky & Air Sports" | "[redacted] Mass/Com" "Campaign" | $488.00 | | $488.00 |
| 12/27-30/2015 | Beach Ball Classic | Myrtle Beach, SC | | "Campaign" "[redacted] Mass/Com" | $52.98 | $329.98 | $382.96 |
| 1/16/2016 | High Point Univ. -vs- Coastal Univ. college basketball game | High Point, NC | ESPN3 | "Consult [redacted]" "Travel" | $218.45 | | $218.45 |
| 3/11/2016 | Big South Women's Basketball Tournament | Asheville, NC | ESPN3 | "Travel" | $166.51 | $35.14 | $201.65 |
| **TOTALS** | | | | | **$925.94** | **$365.12** | **$1,291.06** |

### D. Other Reimbursements Authorized by Ralph Patterson

Patterson personally benefitted from Foundation funds through direct reimbursements and credit card charges which were not official Foundation or LU business. The following examples set forth Patterson's pattern of abuse of the Foundation's expense reimbursement process.

Family Vacations to Charleston, South Carolina

In October and November, 2013, Patterson vacationed with his family in Charleston, SC on two separate occasions. Expenses incurred by Patterson for this travel were paid by the Foundation in the amount of **$595.11**. Patterson's social media posts connected several credit card charges and reimbursements which all appear to be strictly personal in nature and unrelated to university or Foundation business. [B23 -B24]

Greenwood Country Club Charges and Reimbursements

The university and the Foundation each maintain memberships with the Greenwood County Club for numerous events, as ties to the community, and as a venue to meet and greet donors, sponsors, and supporters of the university.

A review of the Foundation's Greenwood County Club membership account details determined the monthly statements were mailed to Patterson's post office box in Due West, South Carolina under the account name of Mr. & Mrs. Ralph Patterson. Many of the monthly bills were paid by a business check titled "SPORTS JAM WITH RALPH PATTERSON." From May 2013 – June 2016, a total of

**$3,207.13** was reimbursed to Patterson after approving his own reimbursement of membership charges. An additional **$1,586.45** was charged on the Foundation credit card and labeled as "discretionary" by Patterson. [B29]

Miscellaneous Personal Purchases and Reimbursements

Between May 2013 and June 2016, approximately **$2,592** in purchases of a personal and unofficial nature were made by Patterson with Foundation financial resources. Among these purchases were theater tickets in Boulder, Colorado; mail order cigars; men's and women's polo shirts at the Nike Factory Store in Gaffney, South Carolina; event souvenirs from the 2014 U.S. Open, Pinehurst, NC; golf attire from the 2015 Masters golf tournament, Augusta, Georgia; and nine pairs of Crocs shoes. Also during this time period, Patterson charged **$4,458.06** in fuel purchases for vehicles. These purchases were notated as "travel," "campaign," or "discretionary." There was no record of whether the charges were of a business or personal nature. [B1, B30]

### E. Mismanagement of Foundation Resources

Patterson failed in his fiduciary role as the Foundation's executive director. He allowed for the expenditure of funds which had no bearing on the Foundation's mission. In certain instances, Patterson's failure to exercise fiduciary oversight may have exposed the Foundation and the university to violations of state and federal tax codes. The following examples set forth these concerns.

In-Kind Gifts and Donations

Among the major in-kind gifts to LU and reported by the Foundation were horses donated to the university equestrian center; and jewels and gems to the LU science department. The valuation and appraisals associated with in-kind gifts and donations is the responsibility of the donor. The valuation of the donated horses was as high as $80,000 in one particular instance. However, the university failed to recognize these sizable gains when the sale of these horses generally fell between $1 and $10. Factoring in the cost of the care and feeding for the horses it can be concluded the university expended more funds than was recovered through the sales.

The donation of semi-precious stones and diamonds over the years, were specific to two local physician donors. In 2009, 2013, and 2014 significant donations of semi-precious stones and diamonds, along with the appropriate appraisal of the items were made to LU. The SIG identified discrepancies in their 2013 donations when it was discovered both individuals received the same documentation and tax receipt in the amount of $64,254.78 each from the Foundation. A review of the IRS form 8283, signed and acknowledged by Patterson, indicated both donors received the full amount of the same donation to the Foundation in that tax year. In 2017, LU obtained an independent appraisal of the gems which placed the value at $42,004.79. [B35-B46, B49]

LU Miscellaneous Stipends

The SIG's review of spending practices within LU athletic accounts held by the Foundation identified **$93,927.47** in stipends and payments were paid to athletic department staff and a concessions contractor with Foundation checks issued at the direction of [redacted]. [B32]

When questioned about the [redacted] knowledge and approval of the stipends [redacted] stated to the SIG, "[redacted] *knew, but he didn't have to approve. I approved them as the* [redacted]. *I pay my* [redacted] *what they deserved because Lander* [redacted] *are so underpaid."* University payroll records indicated no income taxes were deducted from the stipends, nor were any 1099s or W-2s issued to document the additional earned income. [A11]

**Table C: Foundation Payments to LU Athletic Department Staff**

| Name | Stipend Payment |
|---|---|
| [redacted] | $1,770.47 |
| [redacted] | $15,014.00 |
| [redacted] (Foundation contractor) | $10,198.00 |
| [redacted] | $15,814.00 |
| [redacted] | $3,000.00 |
| [redacted] | $20,018.00 |

| Name | Stipend Payment |
|---|---|
| [redacted] | $1,000.00 |
| [redacted] | $500.00 |
| [redacted] | $250.00 |
| [redacted] | $5,000.00 |
| [redacted] | $4,000.00 |
| [redacted] | $1,000.00 |

| Name | Stipend Payment |
|---|---|
| [redacted] | $340.00 |
| [redacted] | $10,105.29 |
| [redacted] | $4383.80 |
| [redacted] | $974.63 |
| [redacted] | $339.16 |
| [redacted] | $220.12 |

As set forth in the SIG's parallel report entitled, "Review of Lander Foundation Oversight and Financial Management Issues," the athletic program is a function of the university, not the Foundation, and should be managed within the chain of command at LU. The expenditure of athletic department funds related to and obtained for the benefit of the athletic department, in particular where salary and compensation is considered, should be budgeted and expended under the control and policies of LU leadership. [B52]

LU Athletic Concessions

Foundation records identified [redacted], daughter of [redacted], LU [redacted], received payments over a three year period totaling **$10,198** for operating the concession stand at university sporting events. This individual was not an employee of the Foundation nor of LU. Foundation and university payroll records did not identify the issuance of a 1099 or W-2, nor the withholding of payroll and income taxes for the individual. [B33]

Heritage Classic Golf Tournament

On 11/24/2015 Patterson purchased 25 weeklong badges at $425 each for a total of $10,625 to the April 2016 Heritage Classic Golf Tournament, Hilton Head, South Carolina, as a sponsor fee. The amount included weeklong badges access to the 15th green and food/beverage privileges. This purchase was made without Foundation Board approval. A review of the list of attendees identified LU athletic staff and certain guests were in attendance. Only one Foundation Board member attended the event through the use of a Foundation purchased badge, which was described as more of a social affair than that of recruitment or appreciation for university donors. [B34]

Clemson Football and Basketball Tickets

[redacted] was reimbursed **$4,026** by the Foundation for his personal purchase of Clemson football and basketball tickets during the 2014 – 2016 seasons. There was no supporting evidence or documentation of the intended purpose for the Foundation or the university. Interviews conducted of

Patterson, [redacted], and the [redacted] could not determine who used the tickets, or the purpose of the tickets. [A6, A9, A11, B31]

Club Memberships

The Foundation consistently paid for memberships at facilities to members of the Lander University Athletic Department that do not appear to be consistent with the best interest of Lander University staff and students. The [redacted] authorized [redacted] a full membership to Greenwood Country Club which was reimbursed in full by the Foundation. [redacted] to the [redacted] was reimbursed $90 a month from October 2014 through June 2016 for a tennis membership. Building and grounds employee received partial reimbursements for a membership to Greenwood Country Club which was also paid out by the Foundation. [B29, B50, B51]

## V. Summary Conclusion

The SIG identified various examples where Patterson placed his personal interests above that of the Foundation and LU through his abuse and mismanagement of the Foundation's financial resources. Patterson approved his own reimbursements for personal travel, his personal sports broadcasting business, and other personal expenses with Foundation resources.

As noted in the parallel report entitled, "Review of Lander Foundation Oversight and Financial Management Issues," the Foundation Board did not exercise sufficient oversight of Patterson's role and responsibilities as the Foundation executive director. The lack of a memorandum of understanding or operating agreement between the Foundation and LU, a best practice among higher education, would have prevented the confusion and misunderstanding over lines of authority and who oversaw Patterson's daily activity.

The funds lost through misallocation, abuse, and misappropriation will have a direct financial impact to the LU student body and its enrollment efforts. The LU president provided the SIG with a financial impact analysis of a $1,000 scholarship provided by the Foundation to the university for prospective students. Specifically, for every $1,000 scholarship given to an enrolled student at full tuition, the university nets $19,000 in gross tuition and fees. Consequently, any misuse of Foundation funds which limits scholarship funding can have the reverse effect in student enrollment and associated revenue to the university.

## VI. Findings and Recommendations

**Finding #1**: The Lander Foundation Board of Trustees lacked the financial control and oversight of the approval process for use of its credit card account and expense reimbursement process. Specifically, there was no policy governing the use of the credit card account or expense reimbursement process by Ralph Patterson and Foundation staff, to include a dual approval process for reimbursements.

> **Recommendation** #1 – The Lander Foundation Board of Trustees should consider implementing a financial governance and approval policy for foundation credit card accounts and expense reimbursements for all Foundation staff, to include a higher level of review for the executive director.

**Finding #2**: Ralph Patterson authorized his own reimbursement for non-official personal travel, secondary employment, and other personal expenditures with Lander Foundation financial resources based on false justification, which may have violated state and federal criminal statutes and income tax laws.

> **Recommendation #2a** – This issue will be forwarded to the South Carolina Law Enforcement Division for further review for possible criminal violations.
>
> **Recommendation #2b** – This issue will be forwarded to the South Carolina Department of Revenue for further review.

**Finding #3**: The Lander Foundation provided performance bonus stipends and concession wages from Lander University Athletic Department accounts held by the Foundation to athletic department staff and a Foundation contractor at the direction [redacted], [redacted] Lander University [redacted] and with the approval of Ralph Patterson without withholding income tax or issuing IRS forms 1099 or W-2 to the recipients.

> **Recommendation #3a** – This issue will be forwarded to the South Carolina Department of Revenue for further review.
>
> **Recommendation #3b** – The Lander Foundation and Lander University should consider issuing corrected 1099s or W-2s to the named individuals in Table C (page 11 of this report).

**Finding #4:** The Lander University Foundation received numerous in-kind gifts which contained questionable valuations after the university obtained independent assessments. Specifically, in 2013, [redacted] and [redacted], local Greenwood County physicians, made a donation of semi-precious gems and diamonds valued at $64,254.78 to the university, and were both given identical tax receipts in that amount by Ralph Patterson.

> **Recommendation #4** – This issue will be referred to the South Carolina Department of Revenue regarding [redacted] and [redacted] for further review.

**Finding #5**: [redacted] requested and received reimbursement for Clemson University basketball and football tickets for the 2014 – 2016 seasons from Lander Foundation financial resources which may have violated state and federal income tax laws.

> **Recommendation #5** – This issue will be forwarded to the South Carolina Department of Revenue for further review.

## APPENDIX A – INTERVIEWS

Due to the large volume of pages, video and audio recordings associated with these interviews, the documents and recordings have been placed on the attached CD-ROM and are referenced in the body of this report. The document titles and references listed below are indexed on the CD-ROM for retrieval.

Audio Disk #1

1. [redacted], Lander University Foundation Board of Trustees, 01/18/2017, Audio Recording
2. [redacted], Lander University Foundation Board of Trustees , 01/24/2017, Audio Recording
3. [redacted], Lander University Foundation Board of Trustees, [redacted], 01/24/2017, Audio Recording
4. [redacted], Lander University Foundation Board of Trustees, 01/18/2017, Audio Recording
5. [redacted], Lander University Foundation Board of Trustees , 01/24/2017, Audio Recording

Audio Disk #2

6. Ralph Patterson, Former Vice President for University Advancement and Executive Director of the Lander Foundation, 02/23/2017, Audio Recording.
7. [redacted], [redacted] Lander University Board of Trustees, 01/18/2017, Audio Recording
8. [redacted], Lander University Foundation Board of Trustees, [redacted], 01/24/2017, Audio Recording
9. [redacted] Lander University, 02/23/2017, Notes of phone interview
10. [redacted], Boedecker Foundation, 03/22/2017, Notes of phone interview
11. [redacted], 02/23/2017, Notes of phone interview

## APPENDIX B - EXHIBITS

Due to the large volume of pages associated with these records, the documents have been placed on the attached CD-ROM and are referenced in the body of this report. The document titles and references listed below are indexed on the CD-ROM for retrieval.

Document Disk #1

1. Ralph Patterson Credit Card usage May 2013-June 2016
2. 05/17/2013 Trip Denver
3. 08/22/2013 Trip Denver
4. 11/18/2013 Trip Denver
5. 12/23/2013 Trip Denver
6. 01/06/2014 Trip Denver
7. 03/15//2014 Trip Las Vegas to Denver PAC 12 Basketball Tournament
8. 07/30/2014 Trip Denver
9. 11/20/2014 Trip Denver Patterson's Mother's Birthday
10. 01/10/2015 Trip Denver
11. 03/11/2015 Las Vegas Trip booking
12. 03/11/2015 Denver Trip
13. 06/26/2015 Denver Trip
14. 12/03/2015 Denver Trip Colorado Buffalo Basketball game – Coach Hoffman Last game
15. 12/17/2015 Unknown trip – Seat upgrades
16. 01/29/2016 Denver Trip – Colorado Buffalo reunion from the "Apke Era" Coach Apke
17. [redacted] and Ralph Patterson trip to Colorado Buffalo Football game 10/02/2014
18. Broadcasting Employment #1 01/24/2015 High Point v. Radford
19. Broadcasting Employment #2 12/27/2015 Myrtle Beach Ball Classic
20. Broadcasting Employment #3 01/14/2016 High Point v. Coastal Carolina
21. Broadcasting Employment #4 03/04/2016 Big South Tournament - Buies Creek, North Carolina
22. Broadcasting Employment #5 03/11/2016 Women's Big South Tournament Ashville, North Carolina
23. Charleston, South Carolina – Family 10/27/2013
24. Charleston, South Carolina – Basketball Tournament 11/22/2013
25. Denver, Colorado – Family 08/07/2015

26. 04/20/2016 unknown travel charges through United Airlines
27. Lander University Payroll records Ralph Patterson
28. Lander University Payroll records [redacted]
29. Greenwood County Club membership and restaurant invoices
30. Ralph Patterson miscellaneous spending – multiple receipts
31. [redacted] reimbursement for Clemson sports tickets
32. Stipends and known 1099 forms issued
33. [redacted] Concession compensation
34. Heritage Classic Foundation
35. Horse Donation Tracking Spreadsheet
36. [redacted] Stone Donation 2009
37. [redacted] Stone Donation 2013
38. [redacted] Stone Donation 2014
39. [redacted] Stone Donation 2013
40. [redacted] Stone Donation 2014
41. Documentation of Horse Donation #1
42. Documentation of Horse Donation #2
43. Documentation of Horse Donation #3
44. Documentation of Horse Donation #4
45. Documentation of Horse Donation #5
46. Documentation of Horse Donation #6
47. Minutes of Full Board Meeting and Executive Committee Meetings
48. Charles Schulze CPA Foundation Review
49. Palmetto Gems Report
50. [redacted] Expenses
51. [redacted] Expenses
52. Review of Lander Foundation Oversight and Financial Management Issues

# EXHIBIT 2

FROM DISK

| Ralph Patterson | Category | Amount |
|---|---|---|
| | Travel | $ 12,412.12 |
| | Restaurants | $ 11,030.28 |
| | Misc. Purchases | $ 11,288.06 |
| | Gas | $ 4,458.06 |
| | Memberships | $ 2,010.00 |
| | **Total** | **$ 41,198.52** |

**Credit Card Charges May 1, 2013 thru June 30, 2016**

VISA

THE LANDER FOUNDATION
Account Number: [redacted]

| Billing Questions: | Website: | Send Billing Inquiries To: |
|---|---|---|
| 800-854-7642 | www.24-7cardaccess.com | 1550 N. Brown Rd, Ste 150, Lawrenceville, GA 30043 |

**COUNTYBANK Credit Card Account Statement**
**May 11, 2013 to June 9, 2013**

## SUMMARY OF ACCOUNT ACTIVITY

| | |
|---|---|
| Previous Balance | $1,520.80 |
| - Payments | $1,520.80 |
| - Other Credits | $0.00 |
| + Purchases | $879.54 |
| + Cash Advances | $0.00 |
| **+ Fees Charged** | **$0.00** |
| **+ Interest Charged** | **$0.00** |
| = New Balance | $879.54 |
| Account Number | [redacted] |
| Credit Limit | $6,500.00 |
| Available Credit | $5,620.00 |
| Statement Closing Date | June 9, 2013 |
| Days in Billing Cycle | 30 |

## PAYMENT INFORMATION

| | |
|---|---|
| New Balance: | $879.54 |
| Minimum Payment Due: | $22.00 |
| **Payment Due Date:** | **July 4, 2013** |

5/17/13 Trust To Denver

## TRANSACTIONS

An amount followed by a minus sign (-) is a credit unless otherwise indicated.

| Tran Date | Post Date | Reference Number | Transaction Description | Amount |
|---|---|---|---|---|
| 06/04 | 06/04 | F3619004V00CHGDDA | AUTOMATIC PAYMENT - THANK YOU | $1,520.80- |
| | | | TOTAL [redacted] $1,520.80- | |
| 05/14 | 05/14 | 24299104600SLVHHE | 7-ELEVEN 36847 GREENWOOD SC | $48.80 |
| 05/17 | 05/17 | 24164054AB019M8T0 | EXXONMOBIL 42182444 TOWNVILLE SC | $28.51 |
| 05/17 | 05/17 | 24224434A2Y28RFM7 | DNC TRAVEL - ATLAN COLLEGE PARK GA | $11.21 |
| 05/18 | 05/18 | 24164074BPL7GKAA2 | CONOCO 10081933 DENVER CO | $30.90 |
| 05/20 | 05/20 | 24071054D4K8HMBY7 | MURPHYS SOUTH BOULDER CO | $48.61 |
| 05/20 | 05/20 | 24761974Q251HDSFJ | BOULDER PARKING-CAGID BOULDER CO | $3.75 |
| 05/21 | 05/21 | 24224434E30VEKK1D | TMG013 BOULDER, CO BOULDER CO | $62.70 |

Transactions continued on next page

NOTICE: See reverse side of page 1 for important information.

5106 0001 BHN 001 7 5 130609 0 PAGE 1 of 2 1 0 3619 0000 BUS0 01AB5106 27809

COUNTYBANK
PO BOX 723847
ATLANTA GA 31139-0847

VISA

Account Number: [redacted]
New Balance: $879.54
Minimum Payment Due: $22.00
**Payment Due Date:** **July 4, 2013**

Please complete and enclose the bottom portion for proper credit.

RECEIVED JUN 13 2013

☐ Indicate name or address change on reverse side and check here.

Amount Enclosed: $

Make Check Payable to:

BANKCARD CENTER
PO BOX 569200
DALLAS TX 75356

Payments received at other than the address shown on the front of this statement may be subject to a delay in crediting of up to 5 days after the date of receipt.

THE LANDER FOUNDATION 0209
BILLING ACCOUNT 27809
320 STANLEY AVE
GREENWOOD SC 29649-2056

[redacted]00002200000879548

VISA

THE LANDER FOUNDATION

Account Number: [redacted]

## TRANSACTIONS (continued)

An amount followed by a minus sign (-) is a credit unless otherwise indicated.

| Tran Date | Post Date | Reference Number | Transaction Description | Amount |
|---|---|---|---|---|
| 05/21 | 05/21 | 24761974E251JQ49P | BLDR PRKNG-OUT BNDS BOULDER CO | $3.75 |
| 05/22 | 05/22 | 24164074EPL7G5HR7 | CONOCO 10081933 DENVER CO | $42.38 |
| 05/22 | 05/22 | 24692164F00FPVHD9 | SOUTHWES 5262602739571800-435-9792 TX | $40.00 |
| 05/22 | 05/22 | 24789304F458HE0D0 | ATL AIRPORT N PLZ EXPRESS ATLANTA GA | $72.00 |
| 05/23 | 05/23 | 24071054G4KAGJ6G3 | FIN-N-FILET - 2 GREENWOOD SC | $57.11 |
| 05/23 | 05/23 | 24108384FJN7MN7GG | DOLLAR RAC DEN DENVER CO | $218.18 |
| 05/24 | 05/24 | 24431054HRQEE6L1R | MURPHY5369ATWALMRT GREENWOOD SC | $45.15 |
| 05/24 | 05/24 | 24761974H3Q6Z1V50 | ZAXBYS 00161 GREENWOOD SC | $27.04 |
| 05/29 | 05/29 | 24299104N01TY0F1S | 7-ELEVEN 36847 GREENWOOD SC | $42.25 |
| 06/01 | 06/01 | 24744554T3APBVFNN | BERMUDA'S AT STONEY POINT864-9420900 SC | $12.60 |
| 06/02 | 06/02 | 24299104S0055D0J7 | 7-ELEVEN 36847 GREENWOOD SC | $50.00 |
| 06/02 | 06/02 | 24316054SFYYG8LHA | SHELL OIL 57540283801 GREENWOOD SC | $8.20 |
| 06/04 | 06/04 | 24071054W4KA6E168 | FIN-N-FILET - 2 GREENWOOD SC | $26.40 |

RALPH PATTERSON

TOTAL [redacted] $879.54

$0 - $879.54 WILL BE DEDUCTED FROM YOUR ACCOUNT AND CREDITED AS YOUR AUTOMATIC PAYMENT ON 07/04/13. THE AUTOMATIC PAYMENT AMOUNT WILL BE REDUCED BY ALL PAYMENTS POSTED ON OR BEFORE THIS DATE.

VISIT WWW.DREAMPOINTS.COM OR CALL (888) 616-8404 FOR REDEMPTIONS OR QUESTIONS ABOUT YOUR DREAMPOINTS® POINT TOTALS.

**IF THIS IS A CONSOLIDATED PAY ACCOUNT, ALL POINTS ARE EARNED ON THE BILLING ACCOUNT.**

## INTEREST CHARGE CALCULATION

Your Annual Percentage Rate (APR) is the annual interest rate on your account

| Type of Balance | Annual Percentage Rate (APR) | Balance Subject to Interest Rate | Days in Billing Cycle | Interest Charge |
|---|---|---|---|---|
| Purchases | 11.15% (v) | $0.00 | 30 | **$0.00** |
| Cash Advances | 14.15% (v) | $0.00 | 30 | **$0.00** |

(v) - variable

You can avoid additional interest on purchases by paying the New Balance in full by the payment due date. Payments received at other than the address shown on the front of this statement may be subject to a delay in crediting of up to 5 days after the date of receipt. Payments and credits are effective as of the post date shown on this statement.

Card Services now has **extended hours of operation** and added **Saturday hours** fo r your convenience.
Mon-Fri 8:00 AM - 8:00 PM EST
Saturday 8:00 AM - 4:00 PM EST

For more information about your account, contact our Automated Account Inquiry Line (24 hours/7 days) at: 1-800-854-7642

Internet Access Now Available for Account Information
Go To: www.24-7cardaccess.com

**NOTICE:** See reverse side of page 1 for important information.

## TRANSACTIONS

An amount followed by a minus sign (-) is a credit unless otherwise indicated.

| | Tran Date | Post Date | Reference Number | Transaction Description | Amount |
|---|---|---|---|---|---|
| | 06/04 | 06/04 | F3619004V00CHGDDA | AUTOMATIC PAYMENT - THANK YOU | $1,520.80- |
| | | | | TOTAL [redacted] $1,520.80- | |
| 1 | 05/14 | 05/14 | 24299104600SLVHHE | 7-ELEVEN 36847 GREENWOOD SC TRAVEL | $48.80 |
| 2 | 05/17 | 05/17 | 24164054AB019M8T0 | EXXONMOBIL 42182444 TOWNVILLE SC TRAVEL | $28.51 |
| 3 | 05/17 | 05/17 | 24224434A2Y28RFM7 | DNC TRAVEL - ATLAN COLLEGE PARK GA TRAVEL | $11.21 |
| 4 | 05/18 | 05/18 | 24164074BPL7GKAA2 | CONOCO 10081933 DENVER CO TRAVEL | $30.90 |
| 5 | 05/20 | 05/20 | 24071054D4K8HMBY7 | MURPHYS SOUTH BOULDER CO CAMPAIGN | $48.61 |
| 6 | 05/20 | 05/20 | 24761974Q251HDSFJ | BOULDER PARKING-CAGID BOULDER CO TRAVEL | $3.75 |
| 7 | 05/21 | 05/21 | 24224434E30VEKK1D | TMG013 BOULDER, CO BOULDER CO CAMPAIGN | $62.70 |

Transactions continued on next page

VISA

THE LANDER FOUNDATION

Account Number: [redacted]

## TRANSACTIONS (continued)

An amount followed by a minus sign (-) is a credit unless otherwise indicated.

| | Tran. Date | Post Date | Reference Number | Transaction Description | Amount |
|---|---|---|---|---|---|
| 8 | 05/21 | 05/21 | 24761974E251JQ49P | BLDR PRKNG-OUT BNDS BOULDER CO TRAVEL | $3.75 |
| 9 | 05/22 | 05/22 | 24164074EPL7G5HR7 | CONOCO 10081933 DENVER CO TRAVEL | $42.38 |
| 10 | 05/22 | 05/22 | 24692164F00FPVHD9 | SOUTHWES 5262602739571800-435-9792 TX TRAVEL | $40.00 |
| 11 | 05/22 | 05/22 | 24789304F458HE0D0 | ATL AIRPORT N PLZ EXPRESS ATLANTA GA TRAVEL | $72.00 |
| 12 | 05/23 | 05/23 | 24071054G4KAGJ6G3 | FIN-N-FILET - 2 GREENWOOD SC Campaign | $57.11 |
| 13 | 05/23 | 05/23 | 24108384FJN7MN7GG | DOLLAR RAC DEN DENVER CO TRAVEL | $218.18 |
| 14 | 05/24 | 05/24 | 24431054HRQEE6L1R | MURPHY5369ATWALMRT GREENWOOD SC TRAVEL | $45.15 |
| 15 | 05/24 | 05/24 | 24761974H3Q6Z1V50 | ZAXBYS 00161 GREENWOOD SC Board Expense | $27.04 |
| 16 | 05/29 | 05/29 | 24299104N01TY0F1S | 7-ELEVEN 36847 GREENWOOD SC TRAVEL | $42.25 |
| 17 | 06/01 | 06/01 | 24744554T3APBVFNN | BERMUDA'S AT STONEY POINT864-9420900 SC Campaign | $12.60 |
| 18 | 06/02 | 06/02 | 24299104S0055D0J7 | 7-ELEVEN 36847 GREENWOOD SC TRAVEL | $50.00 |
| 19 | 06/02 | 06/02 | 24316054SFYYG8LHA | SHELL OIL 57540283801 GREENWOOD SC TRAVEL | $8.20 |
| 20 | 06/04 | 06/04 | 24071054W4KA6E168 | FIN-N-FILET - 2 GREENWOOD SC Finis Home Dinner/Campaign | $26.40 |

RALPH PATTERSON

TOTAL [redacted] $879.54

$0 - $879.54 WILL BE DEDUCTED FROM YOUR ACCOUNT AND CREDITED AS YOUR AUTOMATIC PAYMENT ON 07/04/13. THE AUTOMATIC PAYMENT AMOUNT WILL BE REDUCED BY ALL PAYMENTS POSTED ON OR BEFORE THIS DATE.

VISIT WWW.DREAMPOINTS.COM OR CALL (888) 616-8404 FOR REDEMPTIONS OR QUESTIONS ABOUT YOUR DREAMPOINTS® POINT TOTALS.

**IF THIS IS A CONSOLIDATED PAY ACCOUNT, ALL POINTS ARE EARNED ON THE BILLING ACCOUNT.**

## INTEREST CHARGE CALCULATION

Your Annual Percentage Rate (APR) is the annual interest rate on your account

| Annual | Balance Subject to | Days in Billing | Interest |
|---|---|---|---|

1 Travel

** ICR RECEIPT **

7-ELEVEN
1015 MONTAGUE AVE
GREENWOOD SC 29649
8649427259
STORE#: 36847

THANKS FOR SHOPPING
7-ELEVEN

D# 5 RUL
15.351 GAL @ 3.179 /GAL 48.80
CREDIT PREPAY 48.80

SUBTOTAL 48.80
TOTAL DUE 48.80
VISA 48.80
PATTERSON/RALPH
ACCT#: [redacted]
APPROVAL#: 014234 AUTH CODE: 0
APPROVAL TIME: 071939
STORE # 36847
TERM# : 00073684701 08
REF# : 92000 78 009 7

TRY OUR DELI CENTRAL SANDWICHES
AND DELICIOUS ENTREES
T#01 OP TRN2057162 7:22 am

2 Travel

DEALER
WAYNE SYSTEMS
AUSTIN, TX

Sale
#VISA [redacted]
Auth. # 017521
Inv. # YNP5026
4218244
Date [redacted]7213 09:09
BOUNTYLAND QU!
TOWNVILL SC
Pump # 10 Regular
Gallons ... 8.885
Price/Gal ..$ 3.209
Fuel Sale ..$ 28.51

YNP10

THANK YOU FOR
CHOOSING MOBIL

3. Travel

QUIZNOS
DNC Travel Hospitality Services
Terminal D

[illegible]:0 Ref:176378
Chk:176378
[illegible] 11:43 am

[illegible] Turkey 7D 7.99
Make Combo 2.49

SubTotal 10.48
State Tax 0.73
Total 11.21
VISA [redacted] 11.21
Amount Paid 11.21
Total Due 0.00

Questions / Comments
We're waiting to hear from you
Call us at (404) 762-8134
or email us at:
Guestfeedback@dnc.org

4 Travel

CONOCO 6546
7680 PENA BLVD.
DENVER, CO
DEALER# 10081933

05/18/[illegible]
11:10:33

Pump # 05 Self
REGMETH10% 7.538g
Price/Gal $4.099
FUEL TOTAL $ 30.90
SALES TAX $ 0.00
SALE TOTAL $ 30.90

VISA Acc: [redacted]
INVOICE: 111033
AUTH: 00-018099
Batch: 17 Seq: 35

TRAN: 666552

WANT FREE GAS?
REGISTER TO WIN AT
WWW.GASVISIT.COM

5 Campaign

0151
Server JOSEPH H (#258) Rec 85
0 3 52 Swiped T 26 Term S

Murphy's South
657 S Broadway
Boulder, CO 80305
(303)494-7626
MERCHANT #

CARD TYPE ACCOUNT NUMBER
VISA [redacted]
RALPH PATTERSON
00 TRANSACTION APPROVED
AUTHORIZATION # 020851
REF # 052001000151
TRAN TYPE Credit Card SALE

CHECK
TIP 8 00
TOTAL 48 61

X [signature]

PHONE
***Duplicate Copy***

CARDHOLDER WILL PAY CARD ISSUER ABOVE
AMOUNT PURSUANT TO CARDHOLDER AGREEMENT

George Boedecker

Boedecker foundation 7
Campaign

Ted's Montana Grill
1701 Pearl Street
303-449-5546

Will 5/21/2013
12:21 PM
20006

| | |
|---|---|
| UnSweet Tea (2 @2.79) | 5.58 |
| Avalon Beef Burger | 14.00 |
| Chopped Chicken Salad | 12.00 |
| Bowl Chili | 5.00 |
| Bison Cadillac Burger | [illegible] |
| Sub Chopped Salad - Half | [illegible] |
| Subtotal | 53.58 |
| Tax | 4.48 |
| 10% BOULDER LOCAL | -5.36 |
| Total | 52.70 |
| Visa [redacted] | 52.70 |
| Acct [illegible]486 | |
| + Gratuity: | 10 |
| = Total: | 62.70 |

X [signature]

Balance Due 0.00

FOOD: 48 00
BEVERAGE: 5.56

6 Travel

VALID ONLY IF PROPERLY DISPLAYED ON ▲ PASSENGER SIDE DASH-THIS SIDE UP ▲

City of Boulder
VALID ONLY - CITY OF BOULDER METERS

EXPIRATION TIME
MAY 20 2013
03:13 PM

PAID
$ 3.75 C

ENTRY: 05/20/13 12:13 PM
58707 2730912 ID: 1700PL-3
DISPLAY THIS RECEIPT

▶KEEP THIS PORTION

EXPIRES
MAY 20 13
03:13 PM
$ 3.75 C
1700PL-3

2730912
PROOF OF PAYMENT

8 Travel

VALID ONLY IF PROPERLY DISPLAYED ON ▲ PASSENGER SIDE DASH-THIS SIDE UP ▲

City of Boulder
VALID ONLY - CITY OF BOULDER METERS

EXPIRATION TIME
MAY 21 2013
02:19 PM

PAID
$ 3.75 C

ENTRY: 05/21/13 at 11:19 AM
35092 0889731 ID: 210013-1
DISPLAY THIS RECEIPT

▶KEEP THIS PORTION

EXPIRES
MAY 21 13
02:19 PM
$ 3.75 C
210013-1

0889731
PROOF OF PAYMENT

9 Travel

CONOCO 6546
7680 PENA BLVD.
DENVER, CO
DEALER# 10081933

05/22/13
06:59:20

Pump # 05 - Self
REGMETH10% 10.338g
Price/Gal $4.099
FUEL TOTAL $ 42.38
SALES TAX $ 0.00
SALE TOTAL $ 42.38

VISA Acc: [redacted]
INVOICE: 065920
AUTH: 00-022654
Batch: 18 Seq: 6

TRAN: 682947

WANT FREE GAS?
REGISTER TO WIN AT
WWW.GASVISIT.COM

Hartsfield-Jackson
Atlanta International Airport

11
Travel

[illegible] 05:03:52 05/22/13 14 46-800 00-4072 06

PARKING

RECEIPT

[illegible]

Campaign
RTR Newtown

12

[illegible]

[illegible] Ave
[illegible], SC 29649
[illegible] 4938 (15% Off Monday Lunch

[illegible] Batch 05232013
[illegible]/2013 1:13:01 PM
[illegible] Table 43
[illegible]

[illegible] acknowledges receipt of s
[illegible] of services in the amount of the
[illegible] shown hereon and agrees to pay
[illegible] set forth in the
[illegible] in the [illegible]

[illegible]

[illegible]

[illegible]uity 9.00

[illegible] 57.11

[illegible]tomer Copy

Ask about our Catering your next [illegible]
event.Come try Sunday brunch 10-4pm
Bring this receipt on Monday's and get
15% OFF!!

13 Travel

DOLLAR RENT A CAR
DENVER INT'L
RENTAL RECORD 215173195
PATTERSON,RALPH
COMPLETED BY: SMIL40
RENTED: . DENVER INT'L
RENTAL: 05-17-13 1530
RETURN: 05-22-13 0711
VEH NUMBER: K628353
MILES IN: 4909 OUT: 4702
MILES DRIVEN: 207
CHECK IN FUEL LEVEL: 8 OUT: 8
PLAN IN/OUT: RCHW5
CLS: FDAR

| | | |
|---|---|---|
| 1 WEEK @ | $95.00 | $95.00 |
| SUBTOT | | $95.00 |
| TAXABLE TOT: | | $95.00 |
| TIME | | $95.00 |
| UPGRADE | | |
| 1 DAY @ | $25.00 | $25.00 |
| FACUSEFEE | | |
| 5 DAYS @ | $1.60 | $8.00 |
| RNTLVEHSRG | | |
| 5 DAYS @ | $2.00 | $10.00 |
| PASS 24 | | |
| 1 WEEK @ | $35.99 | $35.99 |
| ERF | | |
| 5 DAYS @ | $0.45 | $2.25 |
| APCONRGFEE | | $17.58 |
| STATE TAX | | $20.68 |
| SOT | | $3.68 |
| TOTAL CHARGE | | $218.18 |
| NET DUE | | $0.00 |
| PAYMENTS | | -$218.18 |

PAID BY: VI
CREDIT CARD#: [redacted]

15 Board Expense

ZAXBY'S

1635 Bypass 72 NE
Greenwood, SC 2964

Date: May24'13 11:32AM
Card Type: Visa
Acct #: [redacted]
Card Entry: SWIPED
Trans Type: PURCHASE
Trans Key: CIC008059495047
Exp Date: XX/XX
Auth Code: 024907
Check: 7929
Server: 86 Drewcil

Total 27.04

SIGNATURE:

Thank you! Please come again!

I agree to pay above total according to my card issuer agreement.

Your check # is 29

* * * * Customer Copy * * * *

Wes McMaster
John Bracknell

5/22 Travel

Murphy USA 5369
508A Bypass 72 NW
Greenwood, SC ZIP

05/24/13 12:25

SITE: 5369
TRACE: 8487
MerchNU4592669700l
SALE
Visa [redacted]
Entry Method: S
Invoice#: 328197
Auth.#: 024945
CARD AMT: $ 45.15
APPROVED 024945

PUMP: 2
PROD: UNLEAD
PRICE/GAL: $3.149
NET/GAL: $3.149
QTY(GAL): 14.338
FUEL TOTAL: $45.15
NET TOTAL: $45.15
More Gas Savings
With Any
Walmart Card!!

16 Travel

.OH THANK HEAVEN
FOR 7-ELEVEN.

7-ELEVEN
1015 MONTAGUE AVE
GREENWOOD SC
PHONE #8649427259
STORE #36847
TID: 00073684701 08
VISA [redacted]

REF# 92000 77 011 3
05/29/2013 14:30:39

PUMP 1
GRADE RUL
GALLONS 13.289
PRICE/GAL $ 3.179
FUEL SALE $ 42.25

APPROVED 029472

17

[redacted] STONEY POINT
[redacted] ABOUT RD
GREENWOOD, SC 29649
PHN 864-[redacted]

Term ID: 004
Server ID: 2

Ref #: [redacted]
Sh/Ch ID: 2

Sale

[redacted]

VISA Entry Method: Swiped

06/01/13 13:20:07
Inv #: 000004 Appr Code: 001394
Apprvd: Online Batch#: 000242

Amount: $ 16.60
Tip:

Total: 12.60

18 Travel

OH THANK HEAVEN
FOR 7-ELEVEN.

7-ELEVEN
1015 MONTAGUE AVE
GREENWOOD SC
PHONE #8649427259
STORE #36847
TID: 00073684701 08
VISA [redacted]

REF# 92000 05 070 5
06/02/2013 17:59:51

PUMP 7
GRADE RUL
GALLONS 15.727
PRICE/GAL $ 3.179
FUEL SALE $ 50.00

APPROVED 002015

THANKS FOR
YOUR BUSINESS.

10 Travel

# Passenger Receipt

I ACKNOWLEDGE RECEIPT OF TICKET(S) AND/OR COUPONS FOR RELATED CHARGES DESCRIBED HEREON. PAYMENT IN FULL TO BE MADE WHEN BILLED OR IN EXTENDED PAYMENTS IN ACCORDANCE WITH STANDARD POLICY OF COMPANY ISSUING CARD. NO REFUNDS ON LOST TICKETS.

X _____
SIGNATURE OF CARDHOLDER

ISO CODE: US

DATE OF ISSUE: 22MAY13
PLACE OF ISSUE: DENAGC05

NAME OF PASSENGER: Patterson/Ralph Ellis Gunn
NOT TRANSFERABLE
CONF NUMBER/CARRIER CODE: G8NU9J / WN
AGENT CODE: 005

| I/O | FROM | CARRIER | FLIGHT | CLASS | DATE | TIME | STATUS | ISSUING AGENT ID |
|---|---|---|---|---|---|---|---|---|
| | ATLANTA GA | NOT VALID FOR TRAVEL | | | | | | e95793 |

| I/O | TO | FARE BASIS/TICKET DESIGNATOR | NOT VALID BEFORE | NOT VALID AFTER |
|---|---|---|---|---|
| | ATLANTA GA | | | |

ISSUED IN EXCHANGE FOR
CONJUNCTION TICKETS

ENDORSEMENTS/RESTRICTIONS
Upgraded Boarding(1)
FP VI AUTH: 022619 $40.00 FC

Seat

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| FARE | $0000.00 | MISC | $0040.00 | EQUIV. FARE PD. | | ALLOW PCS | WT UNCKD |
| TAX | $0000.00 | | | CFN | DOCUMENT NUMBER | CK | |
| TAX XF | $0000.00 | | | 0 | 5262602739571 | 5 | |
| TOTAL | $0040.00 | | | | | | |

AC-167.5M (Rev. 2/13)
Staples® Print Solutions
49-016143

FLIGHT

**Ralph E Patterson**

| | |
|---|---|
| **From:** | reservations@email-usairways.com |
| **Sent:** | Sunday, August 17, 2014 10:08 PM |
| **To:** | Ralph E Patterson |
| **Subject:** | Your US Airways flight info |

GSP → CLT 11C 11D 7:30 - 8:41
CLT → DEN 15C 15D 9:30 - 11:04
DEN → CLT 17C 17D 8:15 - 1:28
CLT → GSP 14C 14D 2:45 - 3:30

U·S AIRWAYS — Your reservation

Book travel | Travel tools | Dividend Miles | Specials | US Airways Vacations

## You're confirmed

Date issued: Sunday, August 17, 2014

Scan at any US Airways kiosk to check in.

Next stop: the airport. See terminal information and find your way.

Confirmation code:

D0D8EC US Airways

Denver Two
10/2/14
May Patterson

New baggage policy

## Passenger summary

| Passenger name | Frequent flyer # (Airline) | Ticket number | Special needs |
|---|---|---|---|
| Ralph Eg Patterson | 728D0H8 (US) | 03774735304911 | |
| Jefferson Jay May | | 03774735304922 | |

Day of departure phone

Email for receipt







Trip details  Download to Outlook

**DEPART**

# GSP DEN

## Greenville, SC to Denver, CO

Friday, October 03, 2014

FLIGHT# 5504 YV *Operated by Mesa Airlines dba US Airways Express*

| | | | |
|---|---|---|---|
| DEPART | 07:30 AM GSP | AIRCRAFT | CRJ 900 |
| ARRIVE | 08:41 AM CLT | CABIN | Coach |
| TRAVEL TIME | 1h 11m | MEAL | -- |
| | | SEATS | 11C 11D |

Flight # 5504 : Plane change required in CLT

Stop: Change plane in Charlotte, NC (CLT)

FLIGHT# 445 *Operated by US Airways*

| | | | |
|---|---|---|---|
| DEPART | 09:30 AM CLT | AIRCRAFT | A321 |
| ARRIVE | 11:04 AM DEN | CABIN | Coach |
| TRAVEL TIME | 3h 34m | MEAL | MarketPlace™ |
| | | SEATS | 15C 15D |

**RETURN**

# DEN GSP

## Denver, CO to Greenville, SC

Monday, October 06, 2014

FLIGHT# 2068 *Operated by US Airways*

| | | | |
|---|---|---|---|
| DEPART | 08:15 AM DEN | AIRCRAFT | A321 |
| ARRIVE | 01:28 PM CLT | CABIN | Coach |
| TRAVEL TIME | 3h 13m | MEAL | MarketPlace™ |
| | | SEATS | 17D 17C |

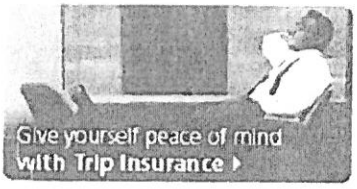
Flight # 2068 : Plane change required in CLT

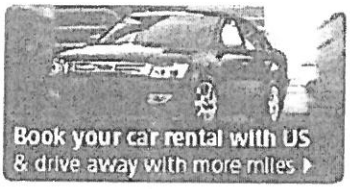
Stop: Change plane in Charlotte, NC (CLT)

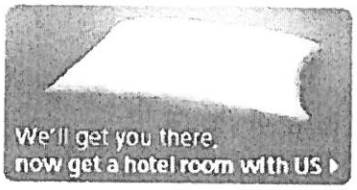
FLIGHT# 5053 PSA *Operated by PSA Airlines dba US Airways Express*

| | | | |
|---|---|---|---|
| DEPART | 02:45 PM CLT | AIRCRAFT | CRJ 700 |
| ARRIVE | 03:30 PM GSP | CABIN | Coach |
| TRAVEL TIME | 0h 45m | MEAL | -- |
| | | SEATS | 14C 14D |

#6+#7 Campaign




## Total travel cost (2 passengers)

| | |
|---|---|
| 2 Passengers | $917.20 |
| Taxes and fees | $144.20 |
| **Fare total** | **$1,061.40** |
| **Total** | **$1,061.40** |

↳ Charged to (Unable to retrieve payment details)

## Helpful links

Manage your reservation

Join Dividend Miles

Baggage policies

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Airport information

US Airways Club

Airport security

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About Gogo Wi-Fi




## Bags

Pay for your checked bags when you check in online or at the airport! Read more about bags.

| Carry ons* | Carry-on bag | Personal item |
|---|---|---|
| All flights | $0 | $0 |
| Checked bags (each way/per person)** | 1st bag | 2nd bag |
| Domestic (U.S., Puerto Rico, USVI, Canada) | $25 | $35 |
| Mexico/Caribbean/Central America*** | $25 | $40 |
| Brazil / South America / Transpacific | $0 | $0 |

| | | |
|---|---|---|
| Transatlantic |  |  |

*You're allowed 1 carry-on bag up to 45 in/115 cm or a soft-sided garment bag up to 51 in/130 cm, and 1 personal item that's smaller than your carry-on item (for example a purse, small briefcase or laptop bag).
**1st & 2nd checked bags can be up to 50 lbs and 62 inches except Brazil where you're allowed up to 70 lbs. Europe fees apply for travel to/from Asia through Europe. Baggage fees are non-refundable.
***First bag fee waived for Leon/Guanajuato, Guadalajara, Mexico City and Monterrey, Mexico; Port Au Prince, Haiti; Port of Spain, Trinidad and Tobago; Santo Domingo and Santiago, Dominican Republic; Kingston, Jamaica; Guatemala City, Guatemala; Managua, Nicaragua; San Pedro Sula and Tegucigalpa, Honduras. First and second bag fees waived for Panama City, Panama; San Salvador, El Salvador.

**1st, 2nd and 3rd checked bag fees waived**

- (Overweight / oversize fees still apply)
- Confirmed First and Business Class customers
- Dividend Miles Chairman's Preferred (4th bag fee waived if confirmed in First/Business Class)
- AAdvantage Executive Platinum (4th bag fee waived if confirmed in First/Business Class)
- **one**world Emerald (4th bag fee waived if confirmed in First/Business Class)
- Active U.S. military with ID and dependents traveling with them on orders (1st - 5th bags free of charge)
- Active U.S. military with ID on personal travel

**1st and 2nd checked bag fees waived**

- (Overweight / oversize fees still apply)
- Dividend Miles Gold and Platinum Preferred
- AAdvantage Platinum
- **one**world Sapphire

**1st checked bag fees waived**

- (Overweight / oversize fees still apply)
- Dividend Miles Silver Preferred
- AAdvantage Gold
- **one**world Ruby
- Eligible US Airways MasterCard® and US Airways Visa® cardmembers

**Other guidelines:**

- Overweight/oversize fees and fees for 3 or more bags apply. Read all baggage policies.
- If you're traveling with an infant, the child is allowed 1 fully collapsible stroller or 1 child restraint device or car seat (no charge). If you're traveling outside the U.S., Puerto Rico or USVI with an infant in lap, your child is also allowed 1 checked bag (checked bag fees apply - max 62 in/157 cm and 50 lbs/23 kg).
- If one or more of your flights is on a partner airline, please check with the other airline for information on optional fees.

US Airways, 111 W. Rio Salado Pkwy, Tempe, AZ 85281

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Travel Campaign #8 #21 October

**Ralph E Patterson**

**From:** US Airways Web Check In <reservations@email-usairways.com>
**Sent:** Thursday, October 02, 2014 9:10 AM
**To:** Ralph E Patterson
**Subject:** Your Receipt

**Follow Up Flag:** Flag for follow up
**Flag Status:** Flagged

US AIRWAYS

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## Here's your receipt

Thanks for saving time and checking in online.
Please print this receipt or save the email for your records.

Scan at any US Airways kiosk to check in

Next stop: the airport. See terminal information and find your way.

**Confirmation code: D0D8EC**

| Bags | 1st bag | 2nd bag | |
|---|---|---|---|
| Greenville, SC to Denver, CO | 2 x $25.00 | | $50.00 |
| **Bags total** | | | **$50.00 USD** |

Charged to Ralph Patterson [redacted]

**You paid $50.00 USD**







## Your flight

Depart: Greenville, SC (GSP) Denver, CO (DEN)
Date: Friday, October 03, 2014

| Flight #/Carrier | Depart | Arrive | Travel time | Meal | Aircraft | Cabin | Seats |
|---|---|---|---|---|---|---|---|
| 5504 | 7:30 AM GSP | 8:41 AM CLT | 1h 11m | None | CRJ 900 | Coach (U) | 11D |
| *Operated by Mesa Airlines dba US Airways Express* | | | | | | | |
| **Stop: Change planes (CLT)** | | | | | | | |
| 445 | 9:30 AM CLT | 11:04 AM DEN | 3h 34m | Marketplace™ | A321 | Coach (U) | 15D |
| *Operated by US Airways* | | | | | | | |

Return: Denver, CO (DEN) Greenville, SC (GSP)

**Date:** Monday, October 06, 2014

| Flight #/Carrier | Depart | Arrive | Travel time | Meal | Aircraft | Cabin | Seats |
|---|---|---|---|---|---|---|---|
| 2068 | 8:15 AM DEN | 1:28 PM CLT | 3h 13m | Marketplace™ | A321 | Coach (L) | 17C |
| *Operated by US Airways* | | | | | | | |
| **Stop: Change planes (CLT)** | | | | | | | |
| 5053 | 2:45 PM CLT | 3:30 PM GSP | 0h 45m | None | CRJ 700 | Coach (L) | 14D |
| *Operated by PSA Airlines dba US Airways Express* | | | | | | | |




## Terms & conditions

- Baggage charges are non-refundable.
- Baggage fees apply to travel on US Airways and US Airways Express flights.
- Once you complete your purchase, you will have to pay for any additional checked bags at the airport.
- There is an additional $2 fee for curbside check-in at the airport.
- For more information, please read our baggage policies. If one or more of your flights is on a partner airline, the bag fees of the partner airline may apply and you may be eligible for a partial refund. Please visit usairways.com/partnerbagfees.
- If US Airways cancels your flight or you are denied boarding on an oversold flight, and if therefore you are unable to use the baggage fee for which you have already paid, US Airways will refund the unused baggage fee. If you have any questions about the refund of your unused baggage fee, please contact a US Airways customer service agent at the airport or call our Reservations Center at 800-428-4322.

We are committed to protecting your privacy. Your information is kept private and confidential. For information about our privacy policy visit usairways.com. Please do not reply to this email, it is not monitored. If you'd like to contact us, please visit our website.

#17 FOOTBALL TIX

**Ralph E Patterson**

Boulder Campaign

| | |
|---|---|
| **From:** | StubHub Orders <Orders@service.stubhub.com> |
| **Sent:** | Monday, September 29, 2014 11:38 AM |
| **To:** | Ralph E Patterson |
| **Subject:** | Order Placed - Ticket Order #169157059 |

Ready to print on 10/01/2014

Sports Concerts Theater

StubHub! **Ready to print on 10/01/2014**

Hi Ralph,

Thanks for your purchase!

**Your tickets will be ready to print on Wednesday, 10/01/2014**

- We'll send you another email when they're ready in My Account.
- Remember, your order is covered by our Fan*Protect*™ Guarantee.

Order info

**Order #:** 169157059 | **Order date:** 09/29/2014

**Oregon State Beavers at Colorado Buffaloes Football** at Folsom Field, Boulder, CO
Sat, 10/04/2014, 2:00 p.m. MDT
(Event time subject to change - Check local listings)

**Club 567** | **2** tickets
**Row** 7 | **Seats** 9, 10

**Order total: $240.34 USD**

RP Campaign 10-7-14

**Credit card:** [redacted]

The credit or debit card ending in the following 4 digits has been authorized but has not yet been charged. Your card will be charged within the next 48 hours.

[redacted]

**Thanks for using StubHub!**

If you have any questions, comments, or concerns, please contact us.

Chat Now

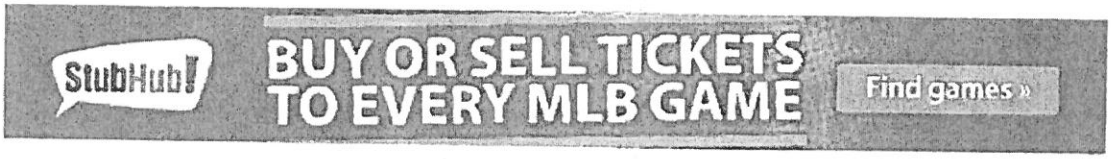
We're here 24/7.
Note: Chat is not available on mobile devices.

StubHub Customer Service
http://www.StubHub.com/help

StubHub! Where fans buy and sell tickets™

## Did you know?

Sign up to receive emails from StubHub and receive newsletters and updates on events happening around you, including the latest info on your favorite artists and teams. Sign up today.




StubHub sent this message to Ralph Patterson (rpatterson@lander.edu). We've included your registered name and email to show this message originated from StubHub.

#25 Campaign

# PATTERSON, RALPH

DL#: [redacted] Exp: 12/2016

Additional Drivers

VI ****1253

0

Color:

Vehicle#: Fuel: FULL

LIC#: VhiCls: PDAR

Miles: 0 Stall:

Rate: RCHE3 ResCls: PDAR

RA#: 21696798-4 WAIT

Date/Time Out: 10/03/14 12:28 PM

Rental Expires On: 10/06/14 7:00 AM

Rental Location

Rental Location (if different)

| **Rental Rates** | | EST CHG |
|---|---|---|
| [illegible] | [illegible] | [illegible] |
| [illegible] | [illegible] | [illegible] |
| [illegible] | [illegible] | [illegible] |

Campaign #25

CONOCO-MGM 6546
7680 PENA BLVD
DENVER, CO
DEALER# 00505487

10/06/14
06:45:54

Pump # 01 - Self
INVALID 12.304g
Price/Gal $3.659
FUEL TOTAL $ 45.02
SALES TAX $ 0.00
SALE TOTAL $ 45.02

VISA Acc: [redacted]
INVOICE: 064554
AUTH: 00-006228
Batch: 57 Seq: 9

TRAN: 202522

WANT FREE GAS?
REGISTER TO WIN AT
WWW.GASVISIT.COM

Campaign #22

Jill's
RESTAURANT & BISTRO

900 WALNUT STREET
BOULDER, CO 80302

10/3[illegible]014 20:09

Jill's Restaurant
Check: 233643 Table:JB1
Server: Quynn Guests: 0
Terminal: 23
ORDER#: 23364

Regular Check
Regular Check
1 Grey Goose 9.50
1 D - Stella Art. 6.00
1 D - Stella Art. 6.00

Subtotal 21.50
Tax 1.83
Total 23.33

Please join us in Jill's
Bistro for Happy Hour
every day from 4 to 6:00pm

$27.33

Boedeker Foundation

Renaissance Boulder Flatiron
500 Flatiron Blvd
Broomfield, CO 80021
(303) 464-8400

CHECK: 1165
TABLE: 2/1
SERVER: 214 MONA
DATE: OCT03'14 2:24PM
CARD TYPE: VISA
ACCT #: [redacted]
EXP DATE: XX/XX
AUTH CODE: 06621C
RESEARCH: 000000000000
RALPH G PATTERSON

SUBTOTAL: 62.43

** Gratuity Not Included **

GRATUITY 12.00

TOTAL 74.43

SIGNATURE [signature]

Please Sign and Return to Server

Company
Reimburse RP $74.43

170000 5040 710200 10 I-009318
L0043750

GUEST FOLIO

RENAISSANCE
BOULDER FLATIRON HOTEL

500 Flatiron Boulevard Broomfield CO 80021
t: 303.464.8400 f: 303.438.6699 renaissancehotels.com

912 Room | PATTERSON/RALPH/MR Name | 119.95 Rate | 10/06/14 Depart | 05:51 Time | 1850 ACCT#

NKNG MAY/JEFF

10/03/14 Arrive | 13:20 Time

42 PO BOX 597 | PASSPORT: VSXXXXXX[redacted] | RWD#: XXXXX5606

Room Clerk | DUE WEST Address | SC 296390597 Payment

| | | | |
|---|---|---|---|
| 10/03 ROOM | 912, 1 | 119.95 | |
| 10/03 ROOM TAX | 912, 1 | 4.99 | |
| 10/03 OCC TAX | 912, 1 | 4.80 | |
| 10/03 HOTELTAX | 912, 1 | 1.92 | |
| 10/04 ROOM | 912, 1 | 119.95 | |
| 10/04 ROOM TAX | 912, 1 | 4.99 | |
| 10/04 OCC TAX | 912, 1 | 4.80 | |
| 10/04 HOTELTAX | 912, 1 | 1.92 | |
| 10/05 ROOM | 912, 1 | 119.95 | |
| 10/05 ROOM TAX | 912, 1 | 4.99 | |
| 10/05 OCC TAX | 912, 1 | 4.80 | |
| 10/05 HOTELTAX | 912, 1 | 1.92 | |
| 10/06 CCARD-VS | | | 394.98 |
| PAYMENT RECEIVED BY: VISA | | | XXXXXXXXXXXX9323 |
| | | | .00 |

AS REQUESTED, A FINAL COPY OF YOUR BILL WILL BE EMAILED TO:
RPATTERSON@LANDER.EDU
SEE "INTERNET PRIVACY STATEMENT" ON MARRIOTT.COM

Your Rewards points/miles earned on your eligible earnings will be credited to your account. Check your Rewards Account Statement for updated activity.

GUEST FOLIO

RENAISSANCE
BOULDER FLATIRON HOTEL

500 Flatiron Boulevard Broomfield CO 80021
t: 303.464.8400 f: 303.438.6699 renaissancehotels.com

914 Room | PATTERSON/RALPH/MR Name | 119.95 Rate | 10/06/14 Depart | 12:00 Time | 1851 ACCT#

NKNG Type | 10/03/14 Arrive | 13:19 Time

42

Room Clerk | Address | Payment | RWD#: XXXXX5606

| | | | | |
|---|---|---|---|---|
| 10/03 | ROOM | 914, 1 | 119.95 | |
| 10/03 | ROOM TAX | 914, 1 | 4.99 | |
| 10/03 | OCC TAX | 914, 1 | 4.80 | |
| 10/03 | HOTELTAX | 914, 1 | 1.92 | |
| 10/04 | R LOUNGE | 2352 914 | 54.43 | |
| 10/04 | R LOUNGE | 2377 914 | 36.46 | |
| 10/04 | GS5-SOUV | TSHIRT | 25.00 | → RP |
| 10/04 | SALE TAX | TSHIRT | 2.04 | |
| 10/04 | ROOM | 914, 1 | 119.95 | |
| 10/04 | ROOM TAX | 914, 1 | 4.99 | |
| 10/04 | OCC TAX | 914, 1 | 4.80 | |
| 10/04 | HOTELTAX | 914, 1 | 1.92 | |
| 10/05 | ROOM | 914, 1 | 119.95 | |
| 10/05 | ROOM TAX | 914, 1 | 4.99 | |
| 10/05 | OCC TAX | 914, 1 | 4.80 | |
| 10/05 | HOTELTAX | 914, 1 | 1.92 | |
| 10/06 | VS CARD | | | $512.91 |

TO BE SETTLED TO: VISA CURRENT BALANCE .00

THANK YOU FOR CHOOSING RENAISSANCE! TO EXPEDITE YOUR CHECK-OUT PLEASE CALL THE FRONT DESK, OR PRESS "MENU" ON YOUR TV REMOTE CONTROL TO ACCESS VIDEO CHECK-OUT.

AS REQUESTED, A FINAL COPY OF YOUR BILL WILL BE EMAILED TO:
RPATTERSON@LANDER.EDU
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IF009320
L00043750

170000 5040 710200 10

Your Rewards points/miles earned on your eligible earnings will be credited to your account. Check your Rewards Account Statement for updated activity.

To RP
$880.85

512.91
394.98
$907.89
- 27.04
880.85

Reimburse RP
Campaign
10-7-14

To secure your next stay, go to renaissancehotels.com or call 800.HOTELS.1.

# EXHIBIT 3

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Interview of John Adam Taylor

---

On July 25, 2017, John Adam Taylor, Chief of Staff, Lander University, 320 Stanley Avenue, Greenwood , South Carolina 29649; telephone (864) -388-8310, was telephonically contacted at which time he was advised of the identity of the contacting agent and the nature of the contact. He thereafter furnished the following information:

He is a former member of the House of Representatives, District Sixteen, Serving Laurens and Greenville area for ten years. Taylor has also worked with State Farm Insurance for twenty years until he joined Lander University in 2008 as Vice President of University Advancement. In 2009, the president, Dr. Daniel Ball, appointed him to the position of Vice President for Governmental Relations and Executive Director of the Lander Foundation. Sometime later, believed to be 2010, Ralph Patterson was hired to replace him as vice president of governmental relations and director of Lander Foundation.

Taylor went onto say that during his time with Lander University, the foundation was physically located on the campus but he pointed out that during the past three or four years the foundation has been located off campus.

During his employment as Vice President and Executive Director, Taylor was involved in very little travel; however when and if he ever did travel he would complete a travel form that

should be on file with the university. Taylor stated that there had been a lot of changes with the foundation during the time Ralph Patterson was employed and is also aware that Patterson traveled to Colorado on a few occasions because there was a university donor in Colorado.

Taylor stated that he was the supervisor for Ralph Patterson but to his recollection they have never discussed the duties and responsibilities for Patterson while he was employed at the university. He stated that to his knowledge there are no written duties or position descriptions when Patterson was working in the Governmental Relations Office or Executive Director of the foundation. He believes that there is probably some sort of duties that are set out in the South Carolina position description for that job but he is not familiar with the duties at this time.

Taylor advised that during the time that he fulfilled the position of governmental relations and executive director of Lander Foundation; he was never given any formal rating to his knowledge other than an occasional verbal comment that he was doing a good job. He worked closely with the president, Dr. Ball, and would talk with him on a regular basis. Taylor stated that to his knowledge he was never given any type of performance rating by the foundation which is a 501c3 and separate from the university.

Taylor went onto say that his replacement, Ralph Patterson had previously worked for Erskine College as Director of Development with the primary duty of raising funds. The university Athletic Director, Jeff May, was close friends of Ralph Patterson and may have traveled with him on a couple of occasions.

Taylor could recall that Patterson worked in development for Lander University and was responsible for about sixty employees. Taylor acknowledged that Patterson was "under his wing" and they had regular contact at least once a week. Taylor has no specific recollection of talking

with Ralph Patterson about traveling for the university and never gave him any direction about traveling. He could recall that Patterson developed and secured a donor by the name of Humphrey who lived in Colorado. He is not sure how the donor was developed but pointed out that Patterson was previously an athletic director at the University of South Carolina and more than likely knew Humphrey during his time there.

He further advised that he never talked about Patterson about his travels and never checked on his travel schedule. Patterson's travel was never questioned until the new President, Dr. Richard Cosentino made some inquiries. Dr. Cosentino took over as president of Lander University in 2015 and shortly thereafter had apparently received a complaint about Patterson's travel. He believes that Dr. Cosentino started checking on an individual's travels with the university and as a result the State Inspector General's Office looked into the travel by Patterson. The Inspector General's Office ultimately wrote a report that came to the conclusion that there was a lack of policy and procedure regarding travel by Ralph Patterson or any other employees of Lander University. Taylor stated that he is aware of the results of the investigation by the Inspector General's Office and believes that it is fairly accurate. When questioned about the type of supervision at Lander University, Taylor advised that under Dr. Ball, the former president there was very little supervision.

# EXHIBIT 4

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Interview of Jeannie McCallum

---

On July 31, 2017, Jeannie McCallum, Human Resource Manager, Lander University, 320 Stanley Avenue, Greenwood, South Carolina 29649; telephone 864-388-5997, was telephonically contacted at which time she was advised of the identity of the contacting agent and the nature of the contact. She thereafter furnished the following information:

She was hired as a Human Resource Manager, Lander University in 2011 and continues to serve in that capacity. She is not involved in hiring for the position of vice president and is not involved in the performance ratings for the vice president position.

McCollum advised that the former Vice President, Ralph Patterson, was hired prior to her being employed by the university. According to the records Ralph Patterson was hired January 4, 2010 and his last day of work with the University was June 30, 2016. She is not aware of specific duties performed by Ralph Patterson during his time at the university other than those duties that may have been set forth in the standard South Carolina position description document. She explained that Ralph Patterson worked closely with Dr. Daniel Ball, who was the president of the university at that time. Dr. Ball had staff meetings once a week and would also meet with Ralph Patterson at least once a week. Dr. Ball would adhere to the old school philosophy and would seek advice from his vice president, Ralph Patterson, during conversations.

McCallum went onto say that she has not direct knowledge about how Patterson traveled when he was Vice President other than Patterson told her that he would meet with a university donor in Colorado. She has read the report that was prepared by the Inspector General's Office that apparently shows that there was little supervision over vice president activities. Dr. Ball is now retired and she is sure that he would talk about his former vice president.

When questioned further McCollum advised that the former vice president prior to Ralph Patterson was an individual by the name of Adam Taylor, who is now the CEO of Lander University.

When questioned about performance ratings McCollum advised that she has no knowledge that Ralph Patterson was ever given any type of an official rating. McCallum agreed to make every effort to locate any records that may be available and advise the interviewing agent. By subsequent email, Ms. McCallum, made available the following documents:

- Letter from Jeannie McCallum dated August 2, 2018, to Pete Logan, SLED regarding Ralph Patterson.
- Copy of Lander University employment application that was completed by Ralph Patterson
- Copy of a letter from Chris Newton, Director of Human Resources dated November 3, 2009 regarding Ralph Patterson
- Copy of a form entitled Lander University Personnel Action Request regarding Ralph Patterson
- Copy of Lander University contract with Ralph Patterson that was apparently signed May 10, 2011.

- Copy of State of South Carolina position description for the position Vice President, Advancement.
- State of South Carolina position description form for Ralph Patterson

(Copies attached)

(Documents attached)

Founded in 1872
LANDER UNIVERSITY
*Office of Human Resources*

August 2, 2018

Pete Logan, SLED
Via email: plogan@scag.gov

Good morning Mr. Logan,

Please see the responses to your questions as requested:

1. Ralph Patterson's hire date was January 4, 2010 and our records indicate his last day worked was June 30, 2016.
2. Dr. Ball's employment dates are July 1, 2000 until July 1, 2015 in the position of President. He remained employed as a faculty member approved for a sabbatical and his retirement date was effective 06/30/16.
3. Eleanor Teal worked in the Advancement office from 1983 until 2008. She served as Vice President of Advancement from July 1997 until June 30, 2008 when she retired from full time employment. She worked on a part time basis as Assistant to the President from July 1, 2008 through June 30, 2015 when she retired.
4. Adam Taylor was hired as the VP of Advancement May 15, 2008 and held the position until July 1,2010 when he was assigned the VP of Governmental Relations. Adam currently remains Vice President and holds the internal title of Chief of Staff for the President's office.
5. I was hired as the HR Director for Lander University in March of 2011.
6. Regarding the advertising and search process for the VP position, it appears Mr. Patterson was hired January 4, 2010 as the Alumni/Development Manager I. On June 9, 2010 his position was reclassified to the Vice President of Advancement. The search process for vice presidents positions has been managed by the President's office and HR is not typically involved in these recruiting efforts but I have attached documents that are still available for your review. Some of the posting documents have been destroyed based on the states record retention schedule.
7. Regarding the VP evaluations past and present, the President's Office has been managing these and HR has not been involved in this process in the past. HR is responsible for the evaluations of other staff positions at Lander. I would refer the process questions regarding the President's evaluations to the President's office.

I believe they have been managed by the President's office, the Board Chair, and the Agency Head Salary Commission with the State but I want to make sure you have the correct information and they can share that with you. I am aware the the former President met with his direct staff, both individually and as a group on a weekly basis to discuss needs/goals. If this was captured or documented, it would be kept in the President's office.

8. Regarding travel reimbursements, HR has not been involved at all with the approval of travel reimbursements except that I am aware of reimbursements that are approved, on occasions, for moving expenses for new hires or, reimbursements for some applicants when they meet certain criteria. This process is managed within the hiring department and approved through the business office. I would refer those questions to Tom Covar, Controller at 864.388.8124 for assistance.

Please do not hesitate to call for further questions. I believe this are accurate and truthful based on my knowledge.

Sincerely,

Jeannie McCallum
HR Director

L00 043 750

RECEIVED
OCT 0 8 2009

RETURN TO:

# LANDER UNIVERSITY

EMPLOYMENT APPLICATION

THE LANGUAGE USED IN THIS DOCUMENT DOES NOT CREATE AN EMPLOYMENT CONTRACT BETWEEN THE EMPLOYEE AND THE AGENCY. THIS DOCUMENT DOES NOT CREATE ANY CONTRACTUAL RIGHTS OR ENTITLEMENTS. THE AGENCY RESERVES THE RIGHT TO REVISE THE CONTENT OF THIS DOCUMENT, IN WHOLE OR IN PART. NO PROMISES OR ASSURANCES, WHETHER WRITTEN OR ORAL, WHICH ARE CONTRARY TO OR INCONSISTENT WITH THE TERMS OF THIS PARAGRAPH CREATE ANY CONTRACT OF EMPLOYMENT.

## 1. APPLYING FOR:

Job Title Director of Development and Alumni Affairs

Position Number 016874 Location ?

## 2. HOW DO WE CONTACT YOU?

Social Security [redacted] L Patterson

Mailing Address

City Due West County Abbeville State SC Zip Code 29639

Home Phone [redacted] Business Phone (864) 379-8802

Fax Number (864) 379-3164 E-mail Address patterson@erskine.edu

## 3. TELL US ABOUT YOUR EDUCATION:

High School (Name) Fairview (Location) Boulder, CO

Diploma ☑ Other (Specify) ☐ Highest Grade Completed

College Graduate? Yes ☑ No ☐ If no, give total credit received Your Name If Different While Attending School

### Give name & address of school, major course of study, and degree received.

Undergraduate College / University
Graceland College
University of Colorado
Ashford University

Degree BA Organizational Management Year Degree Obtained 2004

Pertinent Undergraduate Courses — Credits
Strategic Planning — 3
Organizational Change — 3

Graduate School none

Degree Year Degree Obtained

Pertinent Graduate Courses Credits

### Job-Related Training and Course Work

List any skills, licenses, and certificates which are related to the job you seek (including words per minute typing speed and computer software proficiency)

Six years in development work plus 18 more years as a college coach have prepared me to make a difference at Lander. I'm ready to work.

## 4. TELL US ABOUT YOUR WORK EXPERIENCE:

Describe your work experience in detail, beginning with your current or most recent job. Include military service (indicate rank) and job related volunteer work, if applicable. Provide an explanation for any gaps in employment. All information in this section must be complete. A résumé may be attached, but not substituted for completing this section.

1. Name of Present or Last Employer Erskine College
Address Po Box 608 Due West, SC 29639 Phone (864) 379-8802
Job Title Vice President for Advancement
Number Supervised 8 Supervisor's Name President - Dr. Randy Ruble
From April / 2007 To current / Hours Per Week 60+ Salary [redacted]
May we contact this employer? ☑ Yes ☐ No
Job Duties (give details) All fundraising activities at Erskine are under my leadership. Capital gifts, annual giving, prospect identification - cultivation, and solicitation activities are planned & executed by me and my staff.

~~Reason for Leaving~~ Currently Employed

2. Your Next Most Recent Employer Erskine College
Address (Same as above) Phone (864) 379-8881
Job Title Director of Annual Giving & Alumni Affairs
Number Supervised 2 Supervisor's Name Lee Logan (retired)
From July / 2003 To April / 2007 Hours Per Week 60+ Salary [redacted]
Job Duties (give details) Chapter meetings, alumni trips, homecoming, alumni day, annual giving, reunions, class representatives, etc., all planned & executed by my office.

Reason for Leaving - promoted to VP

3. Your Next Most Recent Employer Sports Radio Talk Show Host / TV Announcer
Address (HOME) Phone ( )
Job Title
Number Supervised Supervisor's Name
From April / 1999 To July / 2003 Hours Per Week Salary
Job Duties (give details) Daily Sports show, M-F four years, 1,032 shows
TV broadcasts on Fox Sports South, CSS, ESPN Regional

Reason for Leaving still do some radio (Clemson Tiger Tailgate Co-host, Clemson Football Network)

[redacted]

4. Your Next Most Recent Employer Erskine College
Address _____ Phone ( )
Job Title Head Basketball Coach
Number Supervised _____ Supervisor's Name Bill Lesesne
From August / / 1992 To April / / 1999 Hours Per Week 80+ Salary [redacted]
Job Duties (give details) Head Basketball Coach - NCAA Div. II
NABC Congressman

Reason for Leaving Asked to resign. We didn't beat Lander enough.

5. Your Next Most Recent Employer Appalachian State University
Address Boone, NC 28608 Phone ( )
Job Title Assistant Basketball Coach
Number Supervised _____ Supervisor's Name Tom Apke
From June / / 1986 To August / / 1992 Hours Per Week 80+ Salary [redacted]
Job Duties (give details) Recruiter, Coach

Reason for Leaving HC for Erskine College

6. Your Next Most Recent Employer Univ. of Colorado
Address Boulder, CO 80309 Phone ( )
Job Title Assistant Basketball Coach
Number Supervised _____ Supervisor's Name Tom Apke
From Sept / / 1981 To June / / 1986 Hours Per Week 80+ Salary [redacted]
Job Duties (give details) Coach, recruiter, camp director

Reason for Leaving HC for Appalachian State

Do you possess a valid driver's license? ☑ Yes ☐ No _____ If yes, provide
(State)

Numbe [redacted] piration Date 12-26-2016 Class (check one) ☐ A ☐ B ☐ C ☑ D ☐ E ☐ F

Do you have any relatives employed with the State of South Carolina? If yes, please provide names below:

Name Lynn Patterson Relation wife Agency Abbeville County School District

Name _____ Relation _____ Agency _____

Have you ever been convicted of a criminal offense? ☐ Yes ☑ No

*Note: Omit minor vehicle violations and any offense committed before your 17h birthday, which was finally adjudicated in juvenile court or under a youthful offender law. Conviction of a criminal offense is not a bar to employment in all cases. Each conviction is evaluated individually.*

If yes, please list charge(s) _____

Where Convicted Date Disposition/Status

Have you ever been terminated or forced to resign from any job? ☑ Yes ☐ No If yes, explain Asked to resign as basketball coach.

Are you legally authorized to work in the United States? ☑ Yes ☐ No

Give the names of two people, not relatives, who are familiar with your work.

Name Finis Horne Address Lander Phone [redacted]

Name Dan Ball Address Lander Phone [redacted]

PLEASE CAREFULLY READ THE FOLLOWING STATEMENTS

**Student Loan:** State law (59-111-50) prohibits employment with the State to people who have defaulted on certain student loans, unless they can prove that satisfactory arrangements have been made for repayment. By my signature, I certify that I am not currently in default on a student loan.

Signature Kelyn Patterson Date 10-8-09

**Authority to Release Information:** By my signature, I consent to the release of information to authorized officers, agents, and/or employees of the State of South Carolina which may include but not be limited to information concerning my past and present work; including my official personnel files; attendance records; evaluations; educational records including transcripts; military service; law enforcement records; and/or any personnel record deemed necessary. In addition, I consent to authorize appropriate officers, agents, and/or employees of the State of South Carolina to make inquiries of third parties such as credit bureaus. I further release the organization, educational entity, present and former employers, law enforcement organization, and all third parties from any and all claims of whatever nature that I may have as a result of any inquiry or response given to such inquiries made in connection with my application for employment.

Signature Kelyn Patterson Date 10-8-09

**Certification of Applicant:** By my signature, I affirm, agree, and understand that all statements on this form are true and accurate. Any misrepresentation, falsification, or material omission of information or data on this application may result in exclusion from further consideration or, if hired, termination of employment. If I have requested herein that my present employer not be contacted, an offer of employment may be conditioned upon acceptable information and verification from such employer prior to beginning work.

Signature Kelyn Patterson Date 10-8-09

H21

L00 043 258



founded in 1872

LANDER UNIVERSITY

*Office of Human Resources*

November 3, 2009

Ms. Debbie Clark
Office of Human Resources
1201 Main Street, Suite 800
Columbia, SC 29201

Dear Debbie;

We are requesting approval to hire above midpoint for the newly created position of Alumni/Development Manager II in Lander's University Advancement Office. Reporting directly to the Vice President for University Advancement and the Executive Director of the Lander Foundation, the individual in this position will direct and assist others in the fundraising efforts of the University. Lander's enrollment has increased for this academic year and Lander has begun several projects that will benefit our students and our community, such as an equestrian center and athletic complex. We are, however, facing challenging economic times with State budget cuts and local unemployment levels above the State average. Therefore, the candidate selected for this position must possess the knowledge and skills for fundraising that will allow us to offer the educational opportunities that the students who choose Lander deserve.

After advertising for this position via the internet and in the local newspaper, a candidate with excellent qualifications and skills has been identified. You will find attached the application and resume submitted by Ralph Patterson. Mr. Patterson holds a Bachelor of Arts degree in Organizational Management from Ashford University, and currently serves as Vice President for Advancement at Erskine College in Due West, SC. In this position, he leads his staff in all fundraising activities at the college, including capital gifts, annual giving, prospect identification, cultivation, and solicitation activities. His team successfully completed a $40 million capital campaign that delivered a new math and music building, and afforded renovations of one auditorium, one performing hall, and the signature building for the college. In addition, the 2008-2009 Annual Giving numbers went from $1.9 million to $2.9 million in a difficult economy.

Mr. Patterson is engaged in the community as well and, among other activities, has served as mayor of the town of Due West, is a member of the Abbeville County Recreation Association, serves as a member and Sunday School teacher at Trinity United Methodist Church in Honea Path, and is a volunteer at the Abbeville Opera House. He is a freelance radio and television sports broadcaster and a speaker at various civic groups and events. His media exposure and

APPROVED
OFFICE OF HUMAN RESOURCES
Deborah B Clark
11-4-09

community involvement will allow him opportunities to represent Lander in a positive light and promote the University.

We are prepared, with your approval, to offer Mr. Patterson an annual salary of [redacted]. This amount is equal to his current salary at Erskine College. Even though this figure is 17.4% above the midpoint of State pay band 07, this applicant has the skills and experience that will make him successful in this position and, ultimately, will allow Lander to better achieve our long range financial goals.

We appreciate your consideration of this request and shall look forward to hearing from you.

Sincerely,

Chris Newton
Director, Human Resources

CONFIDENTIAL

# LANDER UNIVERSITY
# PERSONNEL ACTION REQUEST

L00 043 758

☐ NEW POSITION
☐ FILL VACANCY

☐ ADD'L SKILLS and/or KNOWLEDGE
☐ ADD'L DUTIES and/or RESPONSIBILITIES
☐ BONUS
☒ RECLASSIFICATION
☐ PROMOTION
☐ PAY FOR PERFORMANCE
☐ RETENTION
☐ TEMP SALARY ADJUSTMENT
☐ SALARY DECREASE
☐ INTERNAL TITLE REQUEST
☐ OTHER _____

NAME **Vacant**
DEPARTMENT **University Advancement**
CURRENT CLASS
CURRENT BAND **None**
NEW CLASS **CC40**
NEW BAND **07 (44,825 - 63,877 - 82,930)**
CURRENT SALARY **$**

**The Program Manager II position will be reclassified to Alumni/Development Manager II posiiton. This position will report to the VP for Advancement.**

OFFICE OF HUMAN RESOURCES:

Chris Newton DATE 10/1/09

*Final implementation of all Personnel Action Requests is subject to available funds as determined by the Vice President for Business and Administration and job/salary analysis as determined by the Office of Human Resources.*

**STEP 1: RECOMMENDATION BY SUPERVISOR:**

% Increase Recommended Comments
_____Request Withdrawn Comments_____
_____Request Internal Title to be: _____
Request New/Replacement Employee _____
SUPERVISOR'S SIGNATURE_____DATE_____

ALL SALARY INCREASES AND INTERNAL TITLE REQUESTS MUST GO TO STEP 2.

**STEP 2: REVIEW BY HUMAN RESOURCES:**

____Recommend approval _____Justification inadequate ___Need additional documentation
_____Concur with Supervisor _____Creates an inequity ___Recommend _____% increase
_____Internal title reviewed
Comments_____
OHR SIGNATURE_____DATE_____

**STEP 3: APPROVALS:**

UNIT HEAD J. Ada Taylor DATE 10/1/09
Comments_____
AREA VICE PRESIDENT J. Ada Taylor DATE 10/1/09
Comments_____
VP for BUS & ADMIN Deiane Mendoza DATE 10/1/09
Funding Available ✓ Yes ___No Comments_____
PRESIDENT [signature] DATE 1 Oct 09
Comments_____

**PLEASE RETURN IN CONFIDENTIAL ENVELOPE TO DIRECTOR, OFFICE OF HUMAN RESOURCES.**

**FOR OFFICE OF HUMAN RESOURCES USE ONLY:**

Implementation of Action: YES ✓ NO_____ Increase_____% New Salary $75,000 Effective Date 11/04/2010
SIGNATURE Jean Langrish DATE 11/04/09

CONFIDENTIAL

# LANDER UNIVERSITY
## PERSONNEL ACTION REQUEST

- ☐ NEW POSITION
- ☒ FILL VACANCY
- ☐ ADD'L SKILLS and/or KNOWLEDGE
- ☐ ADD'L DUTIES and/or RESPONSIBILITIES
- ☐ BONUS
- ☐ RECLASSIFICATION
- ☒ PROMOTION
- ☐ PAY FOR PERFORMANCE
- ☐ RETENTION
- ☐ TEMP SALARY ADJUSTMENT
- ☐ SALARY DECREASE
- ☐ INTERNAL TITLE REQUEST
- ☐ OTHER _____

NAME **Replacing Adam Taylor - Ralph Patterson**
DEPARTMENT **University Advancement**
CURRENT CLASS **CC40--Alumni/Development Mgr II**
CURRENT BAND **07 (44,825 - 63,877 - 82,930)**
NEW CLASS **UC04--Vice President**
NEW BAND **Unclassified**
CURRENT SALARY **$75,000**

OFFICE OF HUMAN RESOURCES:

Jean Langrehr DATE June 9, 2010

*Final implementation of all Personnel Action Requests is subject to available funds as determined by the Vice President for Business and Administration and job/salary analysis as determined by the Office of Human Resources*

**STEP 1: RECOMMENDATION BY SUPERVISOR:**

13.33% Increase Recommended Comments **This takes his salary to $85,000.**
_____Request Withdrawn Comments _____
_____Request Internal Title to be: _____
Request New/Replacement Employee _____
SUPERVISOR'S SIGNATURE _____ DATE _____

ALL SALARY INCREASES AND INTERNAL TITLE REQUESTS MUST GO TO STEP 2

**STEP 2: REVIEW BY HUMAN RESOURCES:**

____Recommend approval _____Justification inadequate ___Need additional documentation
_____Concur with Supervisor _____Creates an inequity ___Recommend _____% increase
_____Internal title reviewed
Comments _____
OHR SIGNATURE _____ DATE _____

**STEP 3: APPROVALS:**

UNIT HEAD _____ DATE _____
Comments _____
AREA VICE PRESIDENT _____ DATE _____
Comments _____
VP for BUS & ADMIN _____ DATE _____
Funding Available ✓ Yes ____ No Comments _____
PRESIDENT [signature] DATE 7 June 2010
Comments _____

PLEASE RETURN IN CONFIDENTIAL ENVELOPE TO DIRECTOR, OFFICE OF HUMAN RESOURCES.

**FOR OFFICE OF HUMAN RESOURCES USE ONLY:**

Implementation of Action: YES ✓ NO ____ Increase 13.33% New Salary $85,000 Effective Date 7/01/10
SIGNATURE Jean Langrehr DATE 6/15/10

LANDER UNIVERSITY CONTRACT

**Name:** Ralph Patterson **ID:** L00043750

**Title:** Vice President for University Advancement and Executive Director of The Lander Foundation

**Effective Dates of Contract:** July 1, 2011 - June 30, 2012

**Salary:** **$85,000**

The salary information shown above, representing the total remuneration for the indicated period is subject to mandatory deductions for federal and state withholding taxes, Social Security taxes, and retirement system contributions, as well as any optional deductions you authorize.

The University reserves the right to implement voluntary or mandatory furloughs as conditions warrant. Should the University implement a furlough the salary shown above will be appropriately reduced for any period(s) during which the employee is on furlough.

Please sign and return this contract to Tom Covar, Director of Financial Affairs, LC112, by June 15, 2011. A copy is enclosed for your records.

Date: 9 May 2011

Signature: _____
Daniel W. Ball, President

Date: 5-10-11

Signature: _____
Ralph Patterson

10-6-09

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

## GENERAL INFORMATION

| Position Number | Agency Code | Agency Name |
|---|---|---|
| 00043750 | H21 | Lander University |

Division / Section / Unit: Univ Advance/ University Advancement

City / County: Greenwood/Greenwood

Employee Name: Vacant

County Code: 24

Is Position in Central Office ? Y/N: Y

| Current State Title | Alphanumeric Code | Slot | Band |
|---|---|---|---|
| Program Mgr.I | AH45 | 0001 | 07 |

| Full / Part Time Indicator | Supervisor State Title | Alphanumeric Code | Slot |
|---|---|---|---|
| OPT | Vice President Advancement | UC08 | 0002 |

Hours Per Week: 3750

Base Hours: 1950

### SOURCE OF FUNDING

| State % | Federal % | Other % |
|---|---|---|
| 080 00 | 000 00 | 020 00 |

☐ OHR COPY ☐ AGENCY COPY

### OFFICE OF HUMAN RESOURCES

| Agency Code | Alphanumeric Code | Slot |
|---|---|---|
| H21 | CC40 | 0101 |

Authorized Date: 10/06/2009

☐ Delegated ☐ New Position ☐ Prototype

☒ State Title Changes ☐ Update

Approved State Title: Alumni/Development Mgr II

Approval Signature: Deborah B. Clark 10-6-09

Date Approved

## REQUESTED ACTION INFORMATION

| Requested Action | Requested State Title | Alphanumeric Code | FLSA Designation |
|---|---|---|---|
| Reclassify Vacancy | Alumni/Development Mgr. II | CC40 | E |

| Supervisor's Signature | Date | Other Required Signature | Date |
|---|---|---|---|
| [signature] | 10/5/09 | [signature] | 5 Oct 09 |

## THE FOLLOWING SECTION OF THE POSITION DESCRIPTION IS TO BE COMPLETED BY THE SUPERVISOR

1. What are the minimum requirements for the position (Minimum requirements must at least meet the state minimum requirements for classified classes but may include additional requirements.)?

Minimum Requirements: Bachelor's degree and experience in the areas of alumni development, fundraising, prospective donor research and business development. Minimum of 3 yrs. of experience preferred.

2. What knowledge, skills, and abilities are needed by an employee upon entry to this job including any special certification or license?

Must have excellent interpersonal skills, communication skills, writing skills, organizational skills, public speaking ability. Must be able to utilize software programs in Microsoft Office, and Banner.

3. Describe the guidelines and supervision an employee receives to do this job, including the employee's independence and discretion.

Employee must be able to interact with all levels of demographics, including board members, president's council members, legislators, business and corporate leaders as well as the general public. Also, must have ability to work independently.

4. Indicate additional comments regarding this position (e.g., work environment, physical requirements, overnight travel).

Overnight travel is required with a valid SC driver license. Must be able to work weekends and evenings.

### MISCELLANEOUS DATA

Employee Number

Position Dept. Number

OCT-05-2009 16:35 From: To:9180373709 P.2

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

**1. Job Purpose:**

The position of Director of Development will report directly to the Vice President for University Advancement and Executive Director of the Lander Foundation. This employee will direct and assist others in the fundraising efforts of the University.

| 2. Job Functions: | Essential/ Marginal (E or M) | Percentage of Time |
|---|---|---|
| 1. Provides leadership in procuring major gifts for Lander's comprehensive campaign, assists in the development and implementation of strategic plans for expanding corporate and individual support, responsible for securing major gifts and planned gifts, and developing and cultivating a portfolio of major and prospective donors. | E | 50 |
| 2. Plans, coordinates, and implements the annual fund program, i.e., Lander Loyalty Fund. | E | 20 |
| 3. Assists with the coordination of special events and fundraising activities. | E | 10 |
| 4. Maintain contact with, and develops grant proposals for, foundations, corporations and governmental agencies. | E | 10 |
| 5. Provides fundraising training for all volunteer leadership boards, i.e, the foundation board, the alumni board, the board of trustees and the board of visitors. | E | 5 |
| 6. Performs other duties as assigned by the Vice President for University Advancement. | M | 5 |

**3. Position's Supervisory Responsibilities:**

*If this position includes supervisory responsibilities, please indicate the state title and number of positions of the three highest subordinates.*

| | STATE TITLE | NUMBER |
|---|---|---|
| (1) | _____ | _____ |
| (2) | _____ | _____ |
| (3) | _____ | _____ |

Number of employees directly supervised: _____

Total number supervised: _____

**4. Comments:**

**5. The above description is an accurate and complete description of this job.** _____ _____

Employee's Signature Date

6-16-10

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

## GENERAL INFORMATION

Position Number: 00043750 | Agency Code: H21 | Agency Name: LANDER UNIVERSITY

Division / Section / Unit: PRESIDENT / UNIVERSITY ADVANCEMENT | City / County: GREENWOOD / GREENWOOD

Employee Name: XXXXXX RALPH PATTERSON | County Code: 24 | Is Position in Central Office ? Y/N

Current State Title: VP BUSINESS AND FINANCE | Alphanumeric Code: UC05 | Slot: 0001 | Band: 00

Full / Part Time Indicator: OFT

Hours Per Week: 37.50

Base Hours: 1950

Supervisor State Title: PRESIDENT | Alphanumeric Code: UA01 | Slot: 0001

## SOURCE OF FUNDING

State %: 080.00 | Federal %: 000.00 | Other %: 020.00

☐ OHR COPY ☐ AGENCY COPY

## OFFICE OF HUMAN RESOURCES

Agency Code: H21 | Alphanumeric Code: UC04 | Slot: 0013

Authorized Date: 06/15/2010

☐ Delegated ☐ New Position ☐ Prototype

☒ State Title Changes ☐ Update

Approved State Title: Vice President

Approval Signature: Deborah B. Clark | Date Approved: 6/15/10

## REQUESTED ACTION INFORMATION

Requested Action: STATE TITLE CHANGE

Requested State Title: VICE PRESIDENT | Alphanumeric Code: UC04

FLSA Designation: E

Supervisor's Signature: [signature] | Date: 9 June 2010

Other Required Signature: | Date:

## THE FOLLOWING SECTION OF THE POSITION DESCRIPTION IS TO BE COMPLETED BY THE SUPERVISOR

1. **What are the minimum requirements for the position (Minimum requirements must at least meet the state minimum requirements for classified classes but may include additional requirements.)?**

Minimum Requirements: A bachelor's degree plus 3 to 7 years experience in higher education in the area of alumni affairs, development, fund raising, and University advancement, or equivalent experience.

2. **What knowledge, skills, and abilities are needed by an employee upon entry to this job including any special certification or license?**

Must have ability to work with University constituents, including areas of academics, alumni, athletics, public and community relations, foundations, business, and industry. Experience and record of accomplishments in areas of advancement and dealing with alumni are necessary. Must have ability to provide leadership and supervision to employees, as well as initiate on-going, effective relationships with university business and philanthropic communities. Must demonstrate ability to plan and manage fiscal resources. Must have excellent written and oral communication skills and strong interpersonal skills.

3. **Describe the guidelines and supervision an employee receives to do this job, including the employee's independence and discretion.**

This position works independently and supervises the areas of fund-raising, alumni programs, University relations and publications. This position is coordinator of the Board of Visitors, assists the President with Trustee matters, and serves as Executive Director of The Lander Foundation.

4. **Indicate additional comments regarding this position (e.g., work environment, physical requirements, overnight travel).**

The Vice President of Advancement must be able to deal effectively with all University constituencies. This includes, but is not limited to, areas of academics, alumni, athletics, public and community relations, foundations, business, industry, performing arts, and legislative matters. This position works daily with the University President on many issues in the above areas.

## MISCELLANEOUS DATA

Employee Number:

Position Dept. Number:

Read 6/21/10

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

1. Job Purpose:

The Vice President of University Advancement will subscribe to a management philosophy that responds to a set of prescribed priorities developed and adopted by the leadership and policy makers of the institution, and will maintain a high level of contact with current and prospective donors to improve levels of funding for Lander University.

| 2. Job Functions: | Essential/ Marginal (E or M) | Percentage of Time |
|---|---|---|
| 1. Develops, plans, coordinates, and monitors all University fund raising activities including that of the Alumni Association, the Foundation and colleges and divisions within the Unviersity. | E | 40% |
| 2. Serves as chief liaison officer for the University with regard to the Alumni Board and the Alumni Association. | E | 20% |
| 3. Works with various University divisions, University boards, and community groups to generate interest and support for University programs. | E | 15% |
| 4. Advises the President on all University matters and serves as University liaison with the Trustees University Advancement Committee. | E | 15% |
| 5. Meets with the Vice President of Governmental Relations to stay abreast of various legislative affairs that affect the University. | E | 5% |
| 6. Performs other duties as assigned by the President | M | 5% |

3. Position's Supervisory Responsibilities:

*If this position includes supervisory responsibilities, please indicate the state title and number of positions of the three highest subordinates*

| | STATE TITLE | NUMBER |
|---|---|---|
| (1) | Alumni/Development Manager I | 1 |
| (2) | Alumni/Development Coordinator II | 2 |
| (3) | Alumni/Development Coordinator I | 1 |

Number of employees directly supervised: 4

Total number supervised: 4

4. Comments:

5. The above description is an accurate and complete description of this job. _____ Employee's Signature _____ Date

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

**1. Job Purpose:**

The Vice President of University Advancement will subscribe to a management philosophy that responds to a set of prescribed priorities developed and adopted by the leadership and policy makers of the institutuion. and will maintain a high level of contact with current and prospective donors to improve levels of funding for Lander University.

**2. Job Functions:**

| | Essential/ Marginal (E or M) | Percentage of Time |
|---|---|---|
| 1. Develops, plans, coordinates, and monitors all University fund raising activities including that of the Alumni Association, the Foundation and colleges and divisions within the Unviersity. | E | 55% |
| 2. Serves as chief liaison officer for the University with regard to the Alumni Board and the Alumni Assoication. | E | 10% |
| 3. Works with various University divisions, University boards, and community groups to generate interest and support for University programs. | E | 15% |
| 4. Advises the President on all University matters and serves as University liaison with the Trustees University Advancement Committee. | E | 10% |
| 5. Meets with the Vice President of Governmental Relations to stay abreast of various legislative affairs that affect the University. | E | 5% |
| 6. Performs other duties as assigned by the President. | M | 5% |

**3. Position's Supervisory Responsibilities:**

*If this position includes supervisory responsibilities, please indicate the state title and number of positions of the three highest subordinates.*

| | STATE TITLE | NUMBER |
|---|---|---|
| (1) | Public Information Director I | 1 |
| (2) | Alumni/Development Manager I | 2 |
| (3) | Alumni/Development Coordinator II | 2 |

**Number of employees directly supervised:** 6

**Total number supervised:** 11

**4. Comments:**

**5. The above description is an accurate and complete description of this job.**

Employee's Signature _____ Date _____

# STATE OF SOUTH CAROLINA POSITION DESCRIPTION

## GENERAL INFORMATION

00008381 — Position Number
H21 — Agency Code
LANDER UNIVERSITY — Agency Name
PRESIDENT / UNIVERSITY ADVANCEMENT — Division / Section / Unit
GREENWOOD / GREENWOOD — City / County
RALPH PATTERSON — Employee Name
24 — County Code
Y — Y/N Is Position in Central Office ?
VICE3 PRESIDENT — Current State Title
UC04 — Alphanumeric Code
0003 — Slot
00 — Band
EE — Full / Part Time Indicator
03750 — Hours Per Week
1950 — Base Hours
PRESIDENT — Supervisor State Title
UA01 — Alphanumeric Code
0001 — Slot

### SOURCE OF FUNDING

080.00 — State %
000.00 — Federal %
020.00 — Other %

☐ OHR COPY ☐ AGENCY COPY

### OFFICE OF HUMAN RESOURCES

H21 — Agency Code
UC04 — Alphanumeric Code
0003 — Slot
Authorized Date
☒ Delegated ☐ New Position ☐ Prototype
☐ State Title Changes ☒ Update
VICE PRESIDENT — Approved State Title
Approval Signature
Date Approved

## REQUESTED ACTION INFORMATION

UPDATE — Requested Action
VICE PRESIDENT — Requested State Title
UC04 — Alphanumeric Code
E — FLSA Designation
Supervisor's Signature
Date
Other Required Signature
Date

## THE FOLLOWING SECTION OF THE POSITION DESCRIPTION IS TO BE COMPLETED BY THE SUPERVISOR

**1. What are the minimum requirements for the position (Minimum requirements must at least meet the state minimum requirements for classified classes but may include additional requirements.)?**

Minimum Requirements: A bachelor's degree plus 3 to 7 years experience in higher education in the area of alumni affairs, development, fund raising, and University advancement, or equivalent experience. A valid driver's license and safe driving history are required.

**2. What knowledge, skills, and abilities are needed by an employee upon entry to this job including any special certification or license?**

Must have ability to work with University constituents, including areas of academics, alumni, athletics, public and community relations, foundations, business, and industry. Experience and record of accomplishments in areas of advancement and dealing with alumni are necessary. Must have ability to provide leadership and supervision to employees, as well as initiate on-going, effective relationships with university business and philanthropic communities. Must demonstrate ability to plan and manage fiscal resources. Must have excellent written and oral communication skills and strong interpersonal skills.

**3. Describe the guidelines and supervision an employee receives to do this job, including the employee's independence and discretion.**

This position works independently and supervises the areas of fund-raising, alumni programs, University relations and publications. This position is coordinator of the Board of Visitors, assists the President with Trustee matters, and serves as Executive Director of The Lander Foundation.

**4. Indicate additional comments regarding this position (e.g., work environment, physical requirements, overnight travel).**

The Vice President of Advancement must be able to deal effectively with all University constituencies. This includes, but is not limited to, areas of academics, alumni, athletics, public and community relations, foundations, business, industry, performing arts, and legislative matters. This position works daily with the University President on many issues in the above areas.

### MISCELLANEOUS DATA

Employee Number
Position Dept. Number

# EXHIBIT 5

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Recorded Interviews and Documents Furnished by IGO

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On 6/9/17 Ed Lopes who was formerly employed with the State Inspector General Office, Columbia, SC made available two discs containing interviews with individuals associated with or employed by Lander University or the University Foundation.

(Discs labeled "Audio Disk #1" and "Audio Disk #2")

# EXHIBIT 6

Phone Interview with Dr. Ball

02/23/2017 1445hrs

I contacted Dr. Ball in reference to procedures of approval for foundation transactions.

1. **I asked who would approve Patterson spending**...He advised me that he had no say in the foundation transactions and that Ralph Patterson would have had to run everything through the foundation board for approval.
2. **I asked about approval for credit card use**... He told me that it not matter what he used the card for he feels that it must have been legitimate because Ralph was an outstanding employee. He further stated that "as long there were no charges for prostitutes then there was no problem with it."
3. Dr. Ball advised me that he had no worries with Ralph Patterson, he wished he had ten of him and that he had total freedom to do what he needed to do with the cards for university business, but he repeated **that he had no say in FOUNDATION business**. ***That was the foundation board.***
4. **I asked him about Denver trips**. He advised me that he had approved trips to Colorado (Two) I advised him that there were more than two and he stated that the foundation would have decided those and that he did not control the foundation. "He has family out there and Boedecker, so I am sure It was ok."
5. Later in conversations he repeated many times about his trust for Ralph and that Foundation work was that board responsibility and that University work was his (Dr. Ball) responsibility.
6. "Foundation work equals Board" and "University equals President."
7. President Ball stated something in reference to Ralph was paid by the university for his work with the university and by the foundation for being executive director of foundation
8. Many questions about travel, gas, meals were glossed over and he stated that he did what he had to do to make it work at the foundation.
9. Dr. Ball was unable to give me any insight to the Clemson tickets that were purchased.

**Investigator/Office Staff** Edward A. Lopes

# NOTES FROM DR. WAYNE BALL'S INTERVIEW

Dr. Wayne Ball, Phd.

Born [redacted]/1943, in North, Missouri.

Graduated from Truman State University 61-65 with a degree in Biology, Kirksville, Missouri.

Received Doctorate Degree from Northern Colorado University.

Worked at Ball State University, Muncie, Indiana.

Dean at Truman North East.

Vice President at South Arkansas, El Dorado, Arkansas.

From 2000 to 2015, President at Lander University. Retired July 1, 2015.

Lander changed from College to University in 91 or 92.

Started with four colleges at Lander University.

135 full time faculty.

Lander Foundation:

Foundation: Executive Director – reports to Foundation Board – Board reports to President (Dr. Ball)

Salary for Vice Presidents $100,000.00 to $115,000.00

There are 25 no- pay Board members:

- Establishes policy for Foundation
- Elect committee members – 6
- Meet twice a year
- Non-profit
- Provides money mostly for scholarships

When Dr. Ball retired the Foundation had 14 or 15 million dollars in the bank.

Board members can apply for reimbursement for travel expenses, etc.

No written approval for Vice President travel. They do turn in receipts for travel, etc. Receipts go to Gary McCombs, VP for Business and Administration Office.

Gary McCombs was previously at Clemson University. Dr. Ball hired McCombs initially for two years.

Ralph Patterson:

Prior to Lander, Patterson was Vice President for Development at Erskine University.

Dr. Ball hired Patterson in 2010-2011, as Vice President for University Advancement and as Executive Director of Foundation. (Job description is in Foundation by-laws or with President.

Patterson is from Colorado and was a coach there. Donor George Boedecker, Jr. was a student under Coach Patterson.

Paterson started grooming Boedecker for a large donation in 2011. They were hoping for 5 million over 10 years

Boedecker became a big donor because of Patterson, according to Ball.

Jeff Humphrey was Boedecker's Chief Operating Officer (CEO). Humphrey was also on the Foundation Board.

Ball was aware of most trips that Patterson made to Denver, CO. "Two birds in one stone see mother and do business."

Patterson as Exec Director had discretion to go "travel" without permission.

Ball said there are lots of problems with the IG report, not with Patterson's travels.

"Patterson did not waste money; everything was for the betterment of the Foundation."

The majority of the trips Patterson made were under Ball watch.

Ball asked: Why wasn't Poston and McCombs interviewed by the IG.

McCombs - [redacted]

Poston - [redacted]

Ball said it was a "Judgement call" concerning Patterson. Patterson working part-time. Patterson may call a game for ESPN, but then talks for Lander, according to Ball.

Jeff May:

47 years as Lander University Athletic Director. May hired good coaches and students to win games.

6 Men's Sports and 6 Women's sports.

May is icon in the Greenwood and Lander Community.

May oversaw the building of the new tennis courts at Lander University.

Ball said 4-5 Foundation members had their Country Club dues paid by the Foundation. The Foundation uses the Club for meeting, etc.

Ball believes the new president is the complainant, saying the new president has not reached out to him in over 2 years.

Phone Interview with Jeff May – Former AD Lander University

02/23/2017 1238hrs

I contacted Jeff May in reference to procedures of approval for foundation transactions.

1. **I asked about reimbursements from foundation**...He advised me that when items were purchased for teams, athletics or university the accounts within the foundation were for that purpose.
2. **I asked about approval for stipends for coaches**... He told me that Lander did not pay very well so they had to find a way to keep coaches and compensate coaches for winning league, making tournaments and the like.
3. **I asked if the president (Dr. Ball) was aware of the stipends** – He told me that the president was advised of the bonuses and were aware of them, but Dr. Ball never had to approve them, they were approved by him as AD.
4. **I asked if they were through payroll and taxed.** He advised me that they were stipends not payroll... he would not clarify, he just wanted to talk about all his experience at the university.
5. **I asked him about Denver trips**. He advised me that Ralph went out there only for Boedecker. When I asked him about the time he went out with him to a Colorado Buffalo Football game, he told me that Ralph had invited him and that was for Boedecker. When asked how it was related he advised me that prior to going into the game they stopped at tailgate and had a beer with Jeff Humphries as he was a "long long" time friend of Ralphs. One beer and they went into the game and did not anyone else related to Boedecker because they were not there very long.
6. I asked May about his travel when he was in the same position as Ralph held, he advised me that he hardly ever traveled and could not remembered leaving the state.
7. **"Clemson tickets"** – No list available staff, donors etc.... very evasive, never got any clarity in the answers
8. **I asked Mr. May who did Ralph answer to and asked if he had any supervisory powers over him**. He advised me that he did not have "power" over Ralph the only people who did was University President (First and foremost) and the as Executive director he reported to the Board.
9. **I asked about property transfers**. He advised me that he was involved in a a lot of property items because of the athletic facility. He stated that there was never a property transaction that was not approved by the foundation board.

**Investigator/Office Staff** Edward A. Lopes

Phone interview with Jeff Humphrey 03/22/2017 Approx. 3:00pm

Mr. Humphrey called me in response to phone message that was left in his office on 03/20/17.

- He was aware of the investigation and stated that "someone doesn't like Ralph and its crap."
- When asked he stated that he came to Lander on three separate occasions, the first time he paid for his expenses but the two other times his accommodations were paid.
- He stated that he did meet Ralph in Colorado when "Ralph came out to see his family" further added that whenever Ralph would be coming out he would get a call and they may meet for lunch as time permits. The meetings were never pre-scheduled but made in accordance with Ralph going out to Colorado.
- Mr. Humphrey stated that it was refreshing to get updates on the Honor Scholarships and the winners.
- He added that when they met it was always very quick and just updates and he never allowed Ralph or the foundation to pay for "even lunch"... Humphrey did not like to think that the scholarship money that the Boedecker foundation was giving out was being used in this manner.
- He told me that he had met with Ralph **three or four times** since the scholarship was started and it was always based on Ralph visits and did not arrange him to come out for the sole purpose of the foundation scholarship.
- "when he was visiting family, I think he even stayed with his mother all the time."
- Ralph would call and say "I'm coming out lets meet"
- Mr. Humphries stated that "not much was ever documented about meetings, it was mostly verbal."
- I specifically mentioned that there were numerous trips out there that did not appear to be related and I specifically brought up the football game he and Jeff May went out for and the Basketball game that Ralph attended to honor a former coach. Humphrey made no mention that those visits had anything to do with Boedecker. I certainly gave him opportunity to acknowledge those visits.

During the early stages of the conversation Humphrey stated that now that Ralph was gone the affiliation with Lander University would no longer take place.

**Investigator/Office Staff** Edward A. Lopes

# EXHIBIT 7

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan and SS/A Howell Siniard, SLED

RE: Interview of Daniel Wayne Ball

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On September 7, 2017, Dr. David Wayne Ball, former president Lander University, Greenwood, South Carolina, who resides on the Lander University Campus located 217 Stanley Avenue, Greenwood, South Carolina; telephone [redacted] was interviewed at his place of residence at which time he was advised of the identity of the interviewing agents and the nature of the interview. He thereafter furnished the following information:

Regarding his background, Dr. Ball attended Northeast Missouri State from 1961 to 1965, and after graduation he attended Duke University where he received a Master's Degree. He then attended Northern Colorado University where he received his doctorate degree. Following graduation Ball went to work for Ball State University in Missouri and Missouri State along with Idaho State where he was Dean.

Dr. Ball stated he was hired as president of Lander University in June of 2000 and retired July 1, 2016. At the time of his retirement Lander University had around 2,500 to 3,000 students. The university was made up of the Business College, the Education College, Science and Mathematics, Arts and Humanities, and Behavioral and Social Services College. There were approximately 135 full time professors.

Ball advised that he had four vice presidents and thirteen to fourteen department heads. He identified some of them as being Randall Bouknight, Student Affairs; Eleanor Teal, University Advancement and Executive Director of Lander Foundation; Mary Jo Cook, Business Administration; and Frederikea Wiedman. He stated that most of the vice presidents were paid six figures at the time he left.

Lander Foundation has a primary goal of raising money for the university and has about twenty-five individuals on the board. The executive director was also vice president of the university runs the foundation along with the help of six members who are referred to as the executive committee of the board.

When questioned about university employee travels, Dr. Ball advised that he can't recall ever signing off on a travel voucher for any of his vice presidents or anyone else. Any employee of the university that travels is required to turn in all of the receipts to the business office. The finances of the university are audited and he believes the last auditors were Jim Manly, who was the primary auditor.

Ball went onto say that he hired Ralph Patterson in 2011 from Erskine College where he was the vice president for development. Patterson was hired for Vice President of University Advancement and in the position was required to travel on occasion. Patterson was responsible for media relations alumni and fundraising. He also had a side job as a radio announcer handling sporting events. Dr. Ball stated that he encouraged Patterson in connection with his part time job because it gave great exposure to Lander University.

Patterson was responsible for developing donors for the university and could recall one specific large donor who's identified as George Boedecker. He believes that this donor was

responsible for about $40,000.00 that was given to the University for Scholarships. He believes that Ralph Patterson was continuing to contact Boedecker to see if he could develop him into making another large donation to the university. It was his understanding that Patterson was a basketball coach for George Boedecker while he was at Colorado. Dr. Ball went onto say that Patterson was also making efforts for a much larger donation to the university and he was trying to make these arrangements through Jeff Pumphrey who is the CEO for the Boedecker Foundation.

Dr. Ball was familiar with the trips that Ralph Patterson was making to Colorado and in fact he encouraged Patterson to take these trips with the purpose of contacting the donor. He was also aware that while in Colorado, Patterson would often visit his mother who he believes was in some sort of an assisted living institution.

Dr. Ball went onto say that he was also aware that Jeff May, who is Lander University Athletic Director was accompanying Patterson on his trips. May oversees all of the sports at the university pointing out that there are five different men's sports along with five different women's sports. Jeff May was also involved in overseeing the establishment and operation of the "Jeff May Complex" that was built by Lander University. Ball is of the opinion that Jeff May has travelled with Ralph Patterson on different occasions and was certainly justified to take these trips.

Dr. Ball went onto say that he is aware of the investigation that was conducted by the Inspector General's Office and took issue with several of the statements made in the report. Ball stated that Ralph Patterson was justified in taking any trips and that he encouraged Patterson to develop donors.

Dr. Ball stated that he would be glad to furnish any additional information and would certainly make himself available for a re-interview at any time.

**From:** Daniel Ball
**Sent:** Tuesday, August 15, 2017 3:26 PM
**To:** Daniel Ball; McCombs, Gary M
**Subject:**

To: Members, Lander University Board of Trustees
Members, Lander Foundation Board of Trustees

From: Daniel W. Ball

Date: August, 16, 2017

Recently, the state of South Carolina Office of Inspector General issued two reports involving The Lander Foundation (and Lander University). I am responding to one of the two reports, which was made public on June 15, 2017, entitled, "Review of Lander Foundation Oversight and Financial Management Issues." This 19 page report portrays both the Lander Foundation and Lander University in an unfavorable way. I have numerous inaccurate, misleading or incomplete statements, which could have been avoided, if two or three key people had been interviewed. The former LU vice president for business and administration and treasurer of The Lander Foundation, and the longstanding University attorney were not interviewed. These individuals were intricately involved in the financial and operational decisions of both the University and the Lander Foundation.

Furthermore, I was not interviewed about any of the major items in the Report. I did receive one telephone call from the OIG Office, which lasted about five minutes, and I answered a couple of questions about chain of command reporting and the travel of one Lander employee.

Below, I am responding to several items in the 19 page OIG Report.

On page one of the OIG Report, the following statement is made: **"The Foundation's endowment demonstrated no growth in the last 15 years, which appears to have resulted primarily from an underperforming fundraising effort."**

That statement is false and misleading, and many of the current members of both Boards know this.

When I arrived at Lander in 2000, the campus physical plant was in a deteriorating state of affairs. For example, all major building roofs leaked, and needed replacing--which was done to the tune of several millions of dollars; the new Science Building needed all façade brick replaced due to faulty construction. The contractor was bankrupt and Lander had no fall back position. Additionally the Science Building had major water damage due to a leak and had to be partially shut down for three years for repairs. Resources were scarce, and there were no designated accounts to use for such occurrences. Subsequently, I established several accounts to

address deferred maintenance, technology upgrades, furniture and equipment replacement, designated bond payment accounts and an emergency president's fund—all with substantial funds in them on July 1, 2015.

The president's emergency fund had $1.5 million in it the day I retired. The other designated accounts collectively had a total of several million dollars in them (since I do not now have access to the institution's files, these are approximations) . The Finance Committee attachments to the LU Trustees minutes of quarterly meetings of 2014-2015, will specify each account and the respective amounts. I suggest you review these University Finance Committee reports from 2014-2015.

Also at the time of my arrival, the value of the Foundation assets was approximately $10-12 million dollars, including cash, investment accounts and property. In 2015, when I retired, I estimate the value to be in the neighborhood of $25-30 million. The Foundation was a blessing to have during those early years—and beyond. Apparently the OIG neglected to include property owned by the Foundation, or given to the University by the Foundation, and did not realize or know that funded scholarships are different from endowed scholarships, in that funded scholarships cannot be counted in the endowment.

How can I say this? Because I participated in the Comprehensive Campaign, and it raised over $15 million. Gifts included several charitable remainder trusts, gifts of real estate, cash, endowed AND funded scholarships, rare and collectable items, several pledges, which are now complete, irrevocable trust gifts, and shortly after the Campaign ended, one **unrestricted** gift amounting to $1 million was irrevocably pledged. There is documentation in the Foundation offices to verify these. Several pieces of real estate were secured and subsequently utilized to construct the new University entrance, with accompanying parking lots and roads. This entrance finally gave the University needed visibility on the main gateway to the city of Greenwood.

Additional real estate acquired during and after that campaign are now owned by, or leased to, the University, including several properties, such as Cambridge Hall (the former Greenwood Woman's Club Estate, which was valued at several hundred thousand dollars, ten years ago.)

That $15 million campaign also created more than **120 new scholarships**, totaling approximately $4 million, which have been added to the Foundation . The largest previous campaign ended in 1994, and raised a total of $4 million.
Permit me to point out that one LU Trustee established a funded scholarship by giving a substantial gift **each year** to date, which **cannot** be counted as a gift for the endowment or included in Foundation fundraising totals. Several other such funded scholarships, also exist, which cannot be counted in the Foundation assets.

As a point of information, donors prefer giving restricted gifts for specific purposes (i.e., scholarships, athletics, certain academic programs, etc.), as opposed to unrestricted gifts, which can be used for any purpose the University selects.

Marge and I were exceptions to that.

Every month, for my 15 years as president of Lander, we gave an **unrestricted** donation to the Foundation. That donation was $300/month for the last 10 years. We did these as unrestricted gifts, because we knew and appreciated the value the Foundation placed on unrestricted dollars.

The bulk of giving to Lander was/is in the form of scholarships. Contrary to the OIG Report, the audited financial statements will show the Foundation's restricted assets grew each year for the past several years.

On page five of the OIG Report, the following statement is made. **"The Foundation By-Laws appeared to clearly establish the Board's absolute responsibility for the management of the Foundation and authority over those employees performing work for the Foundation, contrary to the opinion of those Board members interviewed."**

That statement is misleading at best or false at its worst.

Boards do not manage. How can the Foundation Board "manage," when, according to its by-laws, it meets twice yearly?

Management involves day to day operations, including management of people, management of budget, management of property and other resources. Boards provide oversight and are not involved in management. The Executive Director manages the Foundation, with daily or almost daily consultation with the University president and the Chair of the Foundation Board.

On that same page five, the OIG Report states, "**All Board members stated they were usually just advised of actions that had taken place, or were to take place, as opposed to being asked to authorize those actions."**

That statement is misleading and inaccurate, and shows the OIG's lack of reading and/or understanding of the Foundation's Articles of Incorporation and the Foundation's By-Laws, which give—clearly—the Executive Committee of the Foundation the authority to act for the full Board in such instances when time is of the essence, or Board action is needed prior to its next meeting. The Executive Committee meets on an as needed basis, often several times a year.

One must also question the OIG about how many Board members were interviewed, as it states, "**All Board members stated they were just usually advised...".** The Board consists of more than 20 members. Were they all interviewed, as the statement leads one to believe? If not, then how many and which Board members did OIG interview? And what actions was the Board supposed to authorize?

Also on that same page five, the OIG Report states, **"Only one Foundation Board member interviewed recalled receiving orientation on board governance and being provided documentation on governance policy and procedures."**

That statement is misleading and false—or all Board members but one have faulty memories.

Every new Board member is given several opportunities to receive orientation both in writing and in person. If new Board members did not attend the orientation meetings, they were sent all documents pertaining to the orientation. Those orientation sessions, by the way, were conducted by the Foundation Board Chair, the Foundation Executive Director, the Foundation Treasurer, and the University President.

On page six of the OIG Report, the following statement is made. **"Foundation Board members interviewed did not recall the outside auditor presenting the audit to the Board."**

That statement is misleading, false or both.

While I was president of LU, the Foundation annual audit reports were provided to every Board member, usually well in advance of any Board meeting, to give members time to review the reports and come prepared with any questions and concerns. Lander University Trustees were also provided access to those audits.

With the increased complexity of the auditing requirements, over the years, and due to numerous property acquisitions, and getting State approvals for purchases and leases, audit reports may not have been ready to distribute prior to any Board meeting. Certainly there are/were "longer standing" Board members knowledgeable of this increase in complexity, increase in regulations requirements and new reporting changes. Were any of those "longer standing" Board members interviewed?

On page nine of the OIG Report, the following statement is made. **"However no results were reported from a capital campaign effort requested by the LU Board of Trustees in 2012 and begun shortly thereafter. Minutes of numerous meetings included various statements reporting the status of the campaign, and over a period of several years, the campaign was characterized as being in the 'silent phase' of acquiring lead gifts before going public."**

That statement is grossly misleading and here is why.

The campaign was scheduled to begin in late 2012 or early 2013. Subsequently, I made a decision to retire in 2014 or 2015 and informed the LU Board of Trustees of my intention. The Board and I agreed on my retirement date of June 30, 2015.

Well, professionals in the fundraising business will tell you that in such circumstances as a presidential leadership change, it is highly desirable to solicit and incorporate the new, incoming president's vision and goals for the campaign, if such a campaign is to be legitimate and successful. The campaign was placed on hold for that very reason. I should point out that, the executive director had successfully obtained a substantial, recurring gift from a personal colleague, with some assurances the donor would make a seven figure donation in the near future. Officially suspending the campaign might have given this donor pause.

So, rather than officially suspend the campaign, **as was recommended by a paid outside consultant**, and which perhaps should have been done, it was unofficially halted and labeled, probably inappropriately, the "silent phase." One reason for that is mentioned, above,

concerning the executive director's work with this large donor. Consequently, very little if any campaign fundraising work was done after the announcing of my retirement—nor should it have been. The Foundation "kept it on officially," due to the insistence of one or more of the University Trustees.

There are several additional misleading statements on page nine referring to this "capital campaign," which really never began because of the reasons outlined above. I will not address these at this time.

On page 10 of the OIG Report, the following statement is made: **"One of the more egregious recordings of a contribution occurred in 2016** (this happened after I retired)**, when prior Foundation leadership recorded $224,000 which represented a U.S. Department of Education Services Grant to LU, that was paid directly to LU, not the Foundation. The auditors removed this amount from the Foundation's financial records at year end and it was not included in the audited financial statements.**

I find the use of the phrase, "One of the more egregious recordings…", to be misleading and hurtful. Here is why.

LU has received that U.S. Department of Education Grant every year for at least 10 years. Furthermore, the Foundation and the University have always encouraged their employees to be involved in fundraising. As president I considered all employees as friend raisers and fund raisers. Both gifts and grants have always been counted together in Lander's fundraising efforts, and recorded as grants received by the Foundation or grants received by the University. Even though I was not president at the time this "egregious recording" was made, it indeed may have been recorded in error. It was a harmless mistake. The mistake was corrected **before the financial statement was finalized.** Characterizing an understandable and correctable mistake, which harmed no one, as "egregious", is in and of itself egregious.

Finally let me reiterate that most if not all of the many inaccurate and misleading statements in the OIG Report could have, and should have been resolved, if two or three key people had been interviewed.

Why weren't the outside auditors interviewed?

Why wasn't the recently retired Treasurer of the Foundation (and University Vice President for Finance and Administration) interviewed?

Why wasn't the long standing Foundation and University attorney interviewed?

In my opinion this OIG Report is not of the caliber I have seen from my review of past OIG Reports. As it stands now, it discredits honorable people, including LU and Foundation Board members, past and present, Lander and Foundation employees, past and present. I find it puzzling why the OIG would use words such as "astonishing" and "egregious" in a fact finding report—a report which is replete with inaccuracies and misleading statements.

This leads one to question either the qualifications of the investigators, or who actually prepared and wrote the report.

My observations and statements herein are based on my tenure at Lander from July 1, 2000 through June 30, 2015. Certainly changes could have been made since my departure, and those might explain some of the inaccuracies I have cited.

# EXHIBIT 8

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Interview of Ralph Ellis Gunn Patterson, Sr.

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On November 21, 2017, Ralph E. Patterson, Sr., [redacted] Due West, South Carolina; telephone: [redacted], was telephonically contacted at which time he was advised of the identity of the contacting agent and the nature of the contact. He thereafter furnished the following information:

He is currently employed as the Athletic Director for Newberry College, and has been so employed since August of 2016. He was previously employed by Lander University in Greenwood, South Carolina from January 2010 to July 2016. After six months as Director of Development he was promoted to Vice President for University Advancement and Executive Director for the Lander University Foundation. He took that position shortly after Adam Taylor vacated the position. Patterson reported directly to Dr. Ball, who was president of Lander University at that time and also had responsibilities to report to the Lander Foundation Board.

In July of 2016, he was fired from Lander University by the newly hired President, Richard Cosentino, who was apparently the former CFO at the University of North Carolina in Fayetteville, North Carolina.

When questioned about his job duties and responsibilities at Lander University, Patterson advised that there was very limited job description and was never given any detailed job

description. He was told by the president and it was his understanding that he was to raise money for Lander University. Patterson stated that he felt successful in this position as he developed one of the biggest donors for the university, by the name of George Boedecker, who was from Colorado. Patterson explained that he was the basketball coach at Fairview High School in Boulder, Colorado and in the late 1970's and Humphrey played basketball for him. Humphrey later became a manager for George Boedecker, who was at that time a donor to the University of Colorado. Through his friendship with Humphrey, Patterson was able to get two scholarships from the Boedecker Foundation.

Patterson went onto explain that Dr. Ball, the president of Lander University at that time wanted to start an Honors College and because of this he started making efforts to obtain additional financing from the Boedecker Foundation. He was hoping to get financing for a scholarship in the Honors College that would be named after Dr. Ball and his wife Marge.

Patterson went onto say that he kept in touch with Humphrey in efforts to develop a larger donation from the Boedecker Foundation and he would often talk with Humphrey during his travels to Colorado. He pointed out that Humphrey was also a Lander University Board member and continued in that capacity up until the time Patterson was fired. Humphrey then resigned from the board and Lander University lost the Boedecker Foundation donations.

When questioned further, Patterson advised that Jefferson May, was Vice President for Athletics at Lander University and believes that he worked for the university for about fifty years. May is now semi-retired and is helping manage the Burton Center in Greenwood, where special needs children are helped.

Patterson could recall talking with Jeff May on one occasion about raising money for the Jeff May Complex in Greenwood, which is an outdoor complex that Lander University uses. Patterson stated that any of his trips to Colorado he felt were business type trips to help Lander University. When questioned further, Patterson, readily admitted that his mother who is ninety-three years old lives in Boulder, Colorado, and readily admits that he visited her whenever he made his trips to Colorado. His primary purpose of going to Boulder was to develop additional financing from Boedecker Foundation. Patterson also admitted that on one or two of his trips to Colorado he attended a basketball game because there was some sort of dedication for the new facility in honor of George Boedecker. Jeff May was with him on this occasion and could recall buying two tickets in order to meet up with the Boedecker Group. He and May also tailgated with the Boedecker Group in hopes of establishing a good relationship. During this time Patterson was continually making efforts to obtain a larger donation from the Boedecker Foundation.

Regarding finances for his trip, Patterson advised that he never had a credit card from Lander University but would on occasion use a credit card from the Lander Foundation. He normally used the foundation credit card for his business travel and expenses. He would often use the card to take Lander donors out for lunch or supper. He also had a travel account with Lander University but never used it until he was told about the account by one of the employees, Peggy Cromer.

Patterson stated that the president of Lander University, Dr. Ball, was aware of his travel and the expenses incurred. Dr. Ball encouraged him to travel and to develop donors for the university.

When questioned further, Patterson could also recall attending a basketball game at the MGM Las Vegas, in early 2014. The Boedecker Foundation had a group from the University of Colorado attending the game and felt that it would be good liaison to hook up with this group. After this game he went to Boulder, Colorado to raise money for the Lander University Capital Campaign. He could also recall another trip to Las Vegas in early 2015, and this trip was also an effort to keep in touch with Humphrey and the Boedecker Group. Patterson stated there were other trips to Boulder to maintain contact with the Boulder Boedecker Foundation Group. Although he can't be sure he more than likely saw his mother on her birthday which would have been November 19th.

Patterson stated that he never used Lander University or Lander Foundation money for personal use. His traveling expenses were to develop donors for the university.

Patterson was always encouraged to develop additional donors for the university and the encouragement came directly from the president, Dr. Ball. He did not believe that Dr. Ball would have any problem with his travels and expenses and encouraged agents to interview Dr, Ball. Patterson went onto say that he can't recall if he ever took leave in connection with any of his trips and felt that all of his trips to Colorado or anywhere else was always business trips. He stated that Jeff May was always trying to develop the athletics at the university and was always trying to recruit good athletes to the university.

Patterson advised that he would continue to cooperate in this investigation.

# EXHIBIT 9

**MEMORANDUM**

TO: 59-17-0012

FROM: Lt. Lansing Pete Logan, SLED

RE: Interview of Jefferson J. May

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On January 12, 2018, Jefferson J. May, [redacted], Greenville, South Carolina 29649, was contacted at his place of business, Newberry College, at which time he was advised of the identity of the contacting agent and the nature of the contact. He thereafter furnished the following information:

Regarding his background, May graduated from Lander University in 1973. After graduation he worked part time for the university and in 1976, he was hired as Vice President of Student Affairs. In 1997, he was named Athletic Director and continued in this position until June of 2016, when he retired.

At the outset of the interview, May advised that he is aware of the investigation conducted by the Office of Inspector General (OIG) regarding travel and expenses. He has personally read the report that was issued and felt that there was a lot of inaccuracies in the report.

May stated that the OIG inquiries appear to center on travel for him and Ralph Patterson. May went onto say that he only traveled with Patterson on one occasion and this was a trip to meet with Jeff Humphrey, who handles the Boedecker Foundation. He pointed out that Ralph Patterson developed George Boedecker as a donor for Lander University and could recall that the

Boedecker Foundation has furnished substantial money to the university. George Boredecker is the founder and owner of the famous Crocs shoes and is an extremely wealthy individual. The Boedecker Foundation had given Lander University approximately $150,000.00 in scholarship money, some of which went to the athletic program.

May, could recall only one trip that he did make with Ralph Patterson this was in the Fall of 2014 or 2015, when they flew out of Augusta, Georgia to Colorado. They met with Jeff Humphrey who was handling the Boedecker Foundation and on that same visit they went to a Colorado football game. May stated that Ralph Patterson encouraged him to go on the trip because they were trying to get additional money for the athletic program.

May went on to say that he has traveled with the athletic teams at Lander University primarily for tournaments and other special occasions. He never had to get approval for his travel but would always fill out the travel form.

May advised that he worked for a year after the new president, Dr. Cosentino, arrived at the University, He learned that Cosentino had asked for Inspector General's Office inquiry to look into travel and expenses. May went onto say that he "was shocked" at what Cosentino did.

May surmised that Dr. Cosentino wanted to put his own team running Lander University and found a way to get rid of some of the Lander University employees. It was at this time when he, May, decided to make it easy for the new president and retire.

He went onto say that Dr. Cosentino never mentioned to him about asking the OIG to make their inquiries and that he personally felt that the president "threw him under the bus." May never thought he did anything wrong and pointed out that Ralph Patterson was an extremely honest employee and would never do anything wrong.

May pointed out that in this day and time there is a lot of competition among colleges to get scholarships and this is one of the reasons that Patterson was making contact with several individuals. He stated that colleges have to develop a relationship with donors and to keep in contact with the donors to ensure that they will continue to get scholarships.

May again stated that neither he nor Ralph Patterson intended to do anything wrong and they felt that their travels and contacts were proper. He believes that the president at the time, Dr. Ball was in favor of them traveling and developing donors.