1	XAVIER BECERRA Attorney General of California	Exempt from Fees (Gov. Code, § 6103(a))
2	MICHAEL L. NEWMAN Senior Assistant Attorney General	, , , , , , , , , , , , , , , , , , , ,
3	NANCY A. BENINATI Supervising Deputy Attorney General	
4	Anthony V. Seferian (142741) Marisol León (298707)	
5	Deputy Attorneys General 300 S. Spring Street, Suite 1702	
6	Los Angeles, California 90013 Telephone: (213) 269-6048	
7	Fax: (916) 731-2129 E-mail: Anthony.Seferian@doj.ca.gov	
8	Attorneys for Plaintiff, The People of the State of California	
9		E STATE OF CALIFORNIA
10	COUNTY OF KERN	
11	COUNTIONERIN	
12		
13		
14	THE PEOPLE OF THE STATE OF	Case No.
15	CALIFORNIA, EX REL. XAVIER BECERRA, ATTORNEY GENERAL OF THE STATE OF CALIFORNIA,	STIPULATED JUDGMENT
16	Plaintiff,	SIII OERITED GOD GIVEN (1
17	v.	
18	*•	
19	COUNTY OF KERN and THE KERN COUNTY SHERIFF'S	
20	OFFICE,	
21	Defendants.	
22		
23		
24		
25		
26		
27		
28		
	1	

I. INTRODUCTION

The People of the State of California, ex rel. Xavier Becerra, Attorney General of the State of California (Attorney General), filed a complaint in the Superior Court of Kern County against the County of Kern ("County"), and the Kern County Sheriff's Office (KCSO), pursuant to the authority granted to the State of California under California Civil Code section 52.3, to seek declaratory and equitable relief to address alleged incidents of conduct by law enforcement officers that deprive individuals of rights, privileges, or immunities secured by the state or federal Constitution or state or federal law.

Pursuant to Code of Civil Procedure section 431.30, defendant County of Kern, and its integral agency the Kern County Sheriff's Office, have generally and specifically denied each and every allegation contained in the complaint. The Attorney General, the County, and KCSO (collectively, "Parties") are committed to effective constitutional law enforcement, and enter into this stipulation for the common good of the people of Kern County.

The Parties herein agree to the entry of judgment without the taking of proof, trial, or the adjudication of any fact or law, without this stipulated judgment constituting evidence of liability of the County or KCSO, or admission by the County or KCSO of any issue of fact or law alleged in the People's complaint, without the County or KCSO admitting any liability, and with all parties waiving their right to appeal. This stipulated judgment is an agreed upon means of resolving this matter with no findings of fact or liability as to any issue by any court, judge or jury.

The purpose of this stipulated judgment ("Judgment" or "Agreement") is to ensure that the County and KCSO protect individuals' statutory and constitutional rights, treat individuals with dignity and respect, and promote public safety in a manner that is fiscally responsible and responsive to community priorities. The Parties recognize that these outcomes require partnership between KCSO and the community it serves, one in which KCSO is transparent about its processes and provides community members with a voice in its functions. This Agreement is designed to enhance KCSO's relationship with its community through increased transparency and

public input, improved oversight and accountability systems, and enhanced support for deputies through law enforcement policies, training, and supervision.

The County and KCSO acknowledge that continuing to improve KCSO's policies, training, and supervision is imperative to maintaining relationships with the community it serves, and the Attorney General acknowledges that KCSO has begun to reform policies and training; supplied deputies with new equipment, including body-worn cameras; and invested in additional technology and infrastructure. While the County and KCSO acknowledge that continued reform is necessary, the Parties recognize that work remains to be done and in a manner that is sustainable over time.

The court, have considered the matter, and good cause appearing,

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED THAT:

This court has jurisdiction over the allegations and subject matter of the People's complaint filed in this action, and the Parties to this action; venue is proper in this county; and the court has jurisdiction to enter this judgment as stipulated here as follows:

II. <u>USE OF FORCE</u>

1. KCSO agrees to continue revising its use of force policies and practices to reflect its commitment to upholding the rights secured or protected by the Constitution of the State of California and the United States Constitution, and federal and state laws, protecting human life and the dignity of every individual, and maintaining public safety. As specified below, KCSO agrees to continue updating use of force policies that include the concepts of sanctity of life, necessity, proportionality, and de-escalation; require deputies to intervene; and define an imminent threat justifying lethal force. KCSO's use of force policies, and other related policies, must remain consistent with Penal Code section 835a and Government Code section 7286, which (1) limit California's authorization of the use of lethal force to situations where the officer reasonably believes, based on the totality of the circumstances, it is necessary to defend against an imminent threat of death or serious bodily injury (Pen. Code, § 835a); and (2) direct law enforcement agencies to maintain a use of force policy that requires the use of de-escalation techniques, crisis intervention tactics, and other alternatives to force when

- h. affirm the importance of proportionality;
- prohibit chokeholds, carotid restraints, and other maneuvers that are designed to, or may foreseeably result in, cutting off blood or oxygen to a subject's head or create a substantial risk of positional asphyxia;
- j. require, where feasible, that suspects who must be transported in a recumbent position be transported by rescue ambulance personnel and accompanied by a deputy, and once a suspect has been restrained, they should be moved into a recovery position as soon as possible;
- k. prohibit discharging a firearm at moving vehicles, unless the operator or occupant of a
 moving vehicle poses an imminent threat of death or serious bodily injury to the
 public or a deputy;
- prohibit discharging a firearm from a moving vehicle, except as required to end an imminent threat to human life;
- m. prohibit force against subjects who only verbally confront deputies and are not involved in criminal conduct, unless that confrontation poses a direct and immediate threat to the safety of the deputy;
- n. prohibit force against subjects who are handcuffed or otherwise restrained, unless the subject is actively resisting and poses a direct threat to deputies and/or themselves;
- o. limit use of electronic control weapons (ECW) to three, standard, five-second cycles, with individual cycles separately justified in the use of force reporting, however, use of an ECW exceeding three cycles may be appropriate under the limited circumstances where the deputy stops to reassess the situation and the only reasonable alternative would be the use of the ECW rather than a use force that could cause great bodily injury or death.
- p. prohibit the use of ECW's in "drive stun" mode, unless necessary to avoid the use of deadly force or other force that could increase injury to the suspect, with those instances justified in the use of force reporting;

25

26

- ii. Emphasize that the use of force is not a routine part of policing;
- iii. Require that deputies use force in an unbiased manner, consistent with the antibias based policing policy of KCSO;
- iv. Expressly require that deputies use lethal force as a last resort and, before using such force, require, when feasible, exhaustion of all other means reasonably available under the circumstances, including de-escalation techniques and strategies, such as tactical repositioning;

z. Proportionality

- i. Explicitly require deputies only to use a type of force that is proportionate to the threat and not excessive in light of the lawful objectives involved;
- ii. Provide specific guidance on what type of force is appropriate for the level of threat presented by the individual, and require deputies to only use the amount of force necessary to effectuate arrest or achieve a lawful objective;
- iii. Provide express guidance on proportionality to ensure deputies understand the relationship that should exist between the force they use, and the threat presented in a particular situation; the guidance may include adopting a spectrum, chart, or matrix, that can take the form of a graphical representation;
- iv. Prohibit specific types of force that are inconsistent with the concepts of proportionality and necessity, such as retaliatory force;
- v. Require training to specifically address proportionality considerations for juveniles and in the school context, such as not using force in an effort to effectuate detention and apprehension, or overcoming resistance of students who are fleeing relating to truancy or other low-level disciplinary conduct;

aa. De-escalation

 Make it an affirmative duty to de-escalate, when possible, before using force, requiring that deputies must or shall use, rather than are expected to use, deescalation techniques and strategies;

- ii. Provide clear guidelines for the use of de-escalation techniques and strategies, such as using tactical repositioning and strategic communication skills, switching staff, modulating the tone employed, taking cover, or calling upon other resources, such as crisis intervention-trained deputies, non-law enforcement agencies, or assistance from family members or friends, when and where appropriate;
- iii. Require deputies to provide, when feasible, verbal warnings to individuals before using force—whether lethal or non-lethal, and require deputies to (1) document, in any incident or use of force report, whether the individual had an opportunity to comply after the warning was issued and before a deputy used force, and, (2) if no verbal warning was given, why one was not feasible;
- iv. Require deputies, when feasible, to employ cover, concealment, distance, and time tactics to minimize the need for lethal force;

bb. Duty to Intervene

- i. Make it an affirmative duty for deputies/employees to intervene, when in a position to do so, if they know or have reason to know, that another deputy/employee is about to use, or is using, unnecessary or excessive force or is otherwise violating KCSO's use of force policy;
- Require deputies, following an incident involving the use of unnecessary or excessive force, to promptly report to a supervisor the use of force and the efforts made to intervene;
- iii. Provide for possible discipline of any deputy who so fails to intervene, and prohibit retaliation against any deputy who so intervenes;

cc. Imminent Threat

Provide clear guidelines on what conditions may constitute an imminent threat
justifying lethal force, consistent with California's deadly force standard
expressed in Penal Code section 835a and Government Code section 7286.

- 4. KCSO shall have polices and corresponding training governing each type of force instrument that it authorizes deputies to carry.
- 5. KCSO agrees to clarify that its deputies may not use force against individuals who may be exhibiting resistive behavior, but who are under control and do not pose a threat to public safety, themselves, or to other deputies. KCSO agrees to continue to require that Kern County deputies assess the threat of an individual prior to using force, and emphasize that a use of force must be proportional to the threat or resistance of the subject. If a threat or resistance no longer exists, deputies cannot justify the use of force against a subject.
- 6. KCSO agrees to incorporate into policy its already existing prohibition on interfering, threatening, intimidating, blocking or otherwise discouraging a member of the public, who is not violating any other law, from taking photographs or recording video (including photographs or video of police activities) in any place the member of the public is lawfully present. Such prohibited interference includes:
 - a. Ordering a person to cease taking photographs or recording video;
 - b. Demanding that person's identification absent a lawful purpose;
 - c. Demanding that the person state a reason why he or she is taking photographs or recording video;
 - d. Detaining that person absent a lawful purpose;
 - e. Intentionally blocking or obstructing cameras or recording devices (not including physical barricades or screens used as part of a tactical operation or crime scene);
 - f. Seizing and/or searching a camera or recording device without a warrant or consent of the subject possessing the device;
 - g. Using force upon that person absent a lawful purpose; or
 - h. Detaining or arresting an individual for violating any other law where the purpose of the detention or arrest is to prevent or retaliate for recording police activity.
- 7. KCSO will require in policy, and continue to emphasize in its training, that a strike to the head with any impact weapon, including a baton, is prohibited unless deadly force is justified.

B. Use of Canines

- 11. KCSO shall have a policy that provides for a canine sergeant, who is adequately trained and qualified to act as such, to provide for supervision and oversight of canine handlers. The supervisor will oversee the canine operations, ensure training requirements are met, confirm policy guidelines are understood and complied with by all agency personnel, compile monthly summaries and analyses of incidents, and provide data and information that ensure command staff are kept informed of incidents and issues of concern, including any notable trends and patterns. KCSO shall ensure there is a management position (lieutenant or higher) responsible for evaluating and assessing the performance of the canine unit, and ensuring timely follow-up on matters relating to potential or actual violations of KCSO canine policy. Should KCSO increase the number of canine units above the currently-assigned five (5) canine units, KCSO will consult with the Monitor to determine whether additional supervision is necessary.
- 12. KCSO is in the process of modifying its canine-related policies and training so that its canines are deployed in a manner consistent with "find and bark" rather than "find and bite" approaches. KCSO's policy of training and deploying canines shall continue to be based on searching and locating subjects to be apprehended rather than immediately resorting to

the area of the deployment and will allow a sufficient period of time between each warning to

27

C. Use of Force Reporting Policy

- 29. KCSO agrees to continue to require deputies, including detentions deputies, to report all uses of force greater than an authorized control hold as follows: A reportable use of force is any use of force which is required to overcome subject resistance to gain compliance, that results in death, injury, complaint of injury in the presence of a deputy, or complaint of pain that persists beyond the use of an authorized control hold. A reportable use of force also includes any use of force involving the use of personal body weapons, chemical agents, impact weapons, extended range impact weapons, vehicle interventions, firearms, and any intentional pointing of a firearm at a subject.
- 30. KCSO shall continue to require Kern County deputies to completely and accurately describe the force used or observed, including describing in detail the actions of the suspect necessitating the use of force and the specific force used in response to the suspect's actions, any injuries or complaint of injuries, and any medical treatment or refusal of medical treatment of the suspect. This reporting requirement also relates to any use of force incidents that occur when employees are off-duty but engaged in exercising police powers.
- 31. KCSO will categorize reportable uses of force into levels (i.e., Level 1, 2, and 3) based on seriousness and specify associated roles and responsibilities of involved deputies, supervisors, and investigative personnel at each level regarding reporting and review. Level 1 shall be the category of force at the lowest levels with Level 3 being the highest level of force. The specific levels of force and the types of force that constitute those categories will be defined by the monitor in consultation with the California Department of Justice (DOJ).
- 32. All levels of force, including non-reportable levels of force should be clearly identified and described in the use of force policy.
- 33. The use of force reporting policy shall explicitly prohibit the use of conclusory statements without supporting detail, including "boilerplate" language in all statements and reports documenting use of force. Deputies shall be held accountable for material omissions or inaccuracies in their use of force statements, which may include being subject to disciplinary action.

1

4 5

6 7

8 9

10 11

12

13

14

15 16

17 18

19

20 21

22

23 24

25

26

27

- Department's use of force policy, to the Internal Affairs Bureau for further investigation or review.
- 45. KCSO will continue to hold supervisors accountable for not detecting, adequately investigating, or responding to force that is unreasonable or otherwise contrary to KCSO policy.
- 46. KCSO unit commanders will continue to be responsible for identifying and reporting force trends and for taking preventive steps to curb problematic trends, including issuing or revising policies, directives, training bulletins, or providing additional mentoring and supervision to individual deputies.
- 47. KCSO unit commanders will regularly review and track "training and tactical review" related findings, recommendations, and comments to ensure that informal supervisory feedback does not replace the need for formal discipline.
- 48. With regard to deputy-involved shootings (DIS or OIS), the IRB reports will provide a detailed rationale for their findings and examine not just the deadly force that was used, but the entirety of the deputy-involved shooting incident, including tactics used or not used leading up to the use of force. KCSO will develop a process for review, feedback, and ongoing assessments to support continuous improvements based on observations and recommendations identified in IRB reports. The Professional Standards Unit lieutenant will attend all IRB's to document any and all identified observations made by the Board, and all recommendations for improvements in training (both for the individual deputies involved and for the entire agency), policies, procedures, tactics, equipment, technology, organization, or any other issues that could contribute to improving future individual or organizational performance. The Professional Standards Unit lieutenant will ensure all identified issues are addressed and documented in a formal report that clearly states the findings, and how any identified issues were addressed. The Professional Standards Unit will be required to reevaluate the corrections at defined time frames to ensure the desired outcome is achieved. The Professional Standards Unit reports directly to the Undersheriff.

49. KCSO will work with the monitor to include as part of its commendation policy an award or commendation that recognizes employees who demonstrate exceptional skill in employing deescalation in the field.

E. Use of Force Training

- 50. KCSO will work with the Monitor to determine the use of force training to be provided to all Kern County deputies, including detentions deputies. The topics will include the following:
 - a. proper use of force decision making, including when force may be unnecessary in response to minor resistance (biennial);
 - role-playing scenarios and interactive exercises that illustrate proper use of force decision making, including training deputies on the importance and impact of ethical decision making and peer intervention (annual);
 - c. principles of procedural justice, and avoiding the use of force in response to minor resistance (biennial);
 - d. de-escalation techniques that encourage deputies to make arrests without using force (annual);
 - e. threat assessment, including how race and/or bias can impact deputies' threat assessments (biennial); and
 - f. for supervisors, initial and annual refresher training on conducting use of force investigations, how to effectively direct deputies to minimize uses of force and to intervene effectively to prevent or stop unreasonable force, using KCSO's accountability and disciplinary systems after encountering a potentially unreasonable use of force, and supporting deputies who report unreasonable or unreported force or who are retaliated against for using only reasonable force or attempting to prevent unreasonable force (annual).
- 51. KCSO shall establish a training committee made up of but not limited to Training Section personnel, Internal Affairs personnel, Professional Standards personnel, and use of force experts responsible for assessing the effectiveness of the curricula against current policies and the integration of use of force scenario-based training and adult learning theory.

least bimonthly. The Panel will thereafter meet with Sheriff's Office staff at least quarterly to provide input into policy and procedure, provide insight into the community's concerns, and educate the community about their Sheriff's Office.

III. STOPS, SEIZURES, AND SEARCHES

- 60. KCSO will reiterate, train, and emphasize that all investigatory stops, seizures, and searches are conducted in accordance with the rights, privileges, and immunities secured or protected by the Constitution or laws of the State of California and the United States. KCSO will reiterate, train, emphasize and continue to ensure that investigatory stops and searches are part of an effective overall crime prevention strategy, do not contribute to counter-productive divisiveness or tension between KCSO and the community, and are adequately documented for tracking and supervision purposes. To achieve these outcomes, KCSO shall implement the requirements below.
- 61. KCSO will implement policies to be developed in consultation with the Monitor, to ensure that deputies document, and KCSO supervisors review and evaluate: (1) investigatory stops and pat-down searches, to determine whether they are supported by reasonable suspicion; (2) whether arrests are supported by probable cause and KCSO policy; and (3) whether investigatory stops, searches, and arrests, even if comporting with law and policy, indicate a need for corrective action or review of agency policy, strategy, tactics, or training.
- 62. KCSO deputies should be required to identify themselves by name and rank at the beginning of encounters with individuals unless doing so is not safe.

A. Investigatory Stops and Detentions

- 63. KCSO will reiterate, train, and emphasize that deputies will only conduct investigatory stops or detentions where the deputy has reasonable suspicion that a person is in the process of committing a crime, or has committed a crime.
- 64. KCSO will enhance its current training with respect to investigatory stops by emphasizing the following elements: (1) introducing themselves at the initiation of contact with a civilian when reasonable and practical; (2) stating the reason for an investigatory stop or detention as soon as practicable; (3) ensuring that an investigatory stop or detention is no longer than

71. All KCSO deputies equipped with body worn audio or video recorders will continue to record

all requests for consent to search and the individual's response. Where a subject is LEP, the

26

27

- deputy shall affirmatively inform the subject in the appropriate non-English language, or arrange for the subject to be so informed in the subject's speaking language.
- 72. If feasible, a KCSO deputy shall immediately notify a supervisor when considering a home search based on consent, and the supervisor shall approve the search before it is conducted.
- 73. KCSO will make clear that it is the law and KCSO policy, that absent probable cause to search, deputies will only conduct searches of individuals on probation or parole when knowledge of a probation or parole search condition has been established prior to the search.
- 74. KCSO shall continue to ensure that all employees, including non-sworn personnel, have completed the training required by Penal Code section 13519.4, subd. (f) and the required refresher courses as provided for in Penal Code section 13519.4, subd. (i).

C. Stop, Search, and Seizure Policies and Training

- 75. KCSO shall implement a policy for bias-free policing and a policy on bias by proxy, and provide all patrol deputies with initial training and periodic roll call training at least quarterly, and dispatch personnel initial training on bias-free policing, and on stops, searches, and seizures, including the requirements of this Agreement, to ensure sworn personnel are capable of conducting these activities in a manner that is consistent with the provisions and expectations of this section and the Agreement. Such policies will be informed and adopt applicable recommendations made by the State of California Racial and Identity Profiling Advisory Board reports, and other recognized police best practices resources. In addition, KCSO will develop a training based upon these polices that shall be taught by a qualified instructor with expertise in bias-free policing, constitutional criminal procedure and Fourth and Fourteenth Amendment issues. The training shall:
 - a. ensure deputies understand the Fourth and Fourteenth Amendment and related legal restrictions on searches and seizures, including consent searches, probation and parole searches, bias-free policing by patrol and detentions deputies, bias by proxy for all deputies and dispatch personnel as well as additional limitations under KCSO policy;
 - b. address the differences between various police contacts by:
 - i. the scope and level of police intrusion;

- 79. KCSO supervisors and commanders shall evaluate and enhance its processes and procedures to address all violations or deficiencies in stops, searches, and seizures, including non-disciplinary corrective action for the involved deputy, and/or referring the incident for disciplinary action when other corrective measures have been ineffective or ignored.
- 80. The KCSO Compliance Coordinator shall track repeated violations of the provisions of this Agreement or deficiencies and the corrective action taken, if any.
- 81. KCSO agrees to continue to hold accountable supervisors and commanders for appropriately and thoroughly reviewing reports and documentation related to stops, searches, and seizures, and requiring deputies to articulate sufficient rationale under law and KCSO policy.
- 82. KCSO will analyze the stop data it collects under the Racial and Identity Profiling Act of 2015 (RIPA), and consult with the Monitor of the Agreement on a semiannual basis to obtain supplemental recommendations from the Monitor for revisions to its policies and training, based upon that analysis.

IV. RESPONDING TO AND INTERACTING WITH PEOPLE WITH BEHAVIORAL HEALTH DISABILITIES OR IN CRISIS

- 83. KCSO will revise its policies to establish a preference, when responding to calls involving a person in mental health crisis or suffering from a mental health disability, that deputies be dispatched who are specifically trained in dealing with these subjects and in the application of de-escalation techniques for handling such crises. Dispatch protocols will continue to emphasize preference for relying upon the Kern County Mobile Evaluation Team (MET) for handling such incidents. When MET resources are unavailable and no immediate threat of harm to others is evident, other trained personnel will be utilized if feasible, and de-escalation tactics will be given highest preference in an effort to resolve these incidents without resorting to the use of force.
- 84. KCSO and the County shall provide sufficient resources to staff the MET at a level that is reasonably calculated to make MET services available as often as is feasible. KCSO shall assign and deploy CIT-trained deputies across all shifts and days of the week. KCSO will continue to use virtual MET when it is not feasible to provide in-person services.

- 85. Sworn staff, call takers, and dispatch personnel will continue to receive training that emphasizes a person may be suspected of having a behavioral health disability or being in crisis from a number of factors, including self-reporting, information provided by witnesses or informants, KCSO's previous knowledge of the individual, or a deputy's direct observation.
- 86. Deputies will continue to be trained to not make assumptions regarding the dangerousness of an individual based on that individual's disability.
- 87. KCSO will continue to use a Crisis Intervention Team Training (CIT) first-responder model of police-based crisis intervention with community, health care, and advocacy partnerships to assist individuals with behavioral health disabilities and individuals who are in crisis.
- 88. The goals of the CIT program will continue to be to equip deputies with methods to properly interact with persons with behavioral health disabilities or in crisis safely; de-escalate crises and reduce the unnecessary use of force against individuals with behavioral health disabilities or in crisis; minimize arrests; improve the safety of patrol deputies, individuals with behavioral health disabilities or in crisis and their families, and others within the community; refer individuals to the County's behavioral health crisis system; and reduce the inappropriate involvement of individuals with behavioral health disabilities with the criminal justice system.
- 89. KCSO will continue to work toward ensuring all patrol deputies receive a 40-hour Crisis Intervention Team (CIT) training and ensuring corrections deputies continue to receive a modified CIT training that is specific to correctional settings. KCSO will continue to provide all cadets in its academies and all lateral transfers to KCSO CIT training consistent with deputy and detentions deputy classifications. In addition, sworn personnel who are newly promoted to a supervisory position shall receive a refresher CIT training as part of their leadership training.
- 90. KCSO will continue to provide CIT training on responding to individuals in crisis to all of its recruits and will continue working toward training all deputies. KCSO will work with the Monitor to determine the necessary amount of in-service training. The annual training will be adequate for deputies to demonstrate competence in the subject matter and will include specified topics.

- 91. All KCSO dispatchers and their supervisors will receive CIT training that is adequate to enable them to identify, dispatch, and appropriately respond to calls for service that involve individuals in crisis.
- 92. Within 180 days of the effective date of the Agreement, KCSO will designate a sworn employee at the rank of sergeant or above to act as a Crisis Intervention Coordinator ("Coordinator") to better facilitate communication between KCSO and members of the behavioral health provider community and to increase the effectiveness of KCSO's crisis intervention program. KCSO will ensure that the Coordinator is empowered to fulfill all duties of the Coordinator required by the Agreement.
- 93. KCSO will develop a protocol to evaluate the effectiveness of its policies for responding to calls for service involving a person in crisis or with a mental health disability, and responding to inmates in crisis, who are suicidal, or who have a mental health disability. The protocol will include audits and improvement loops to be developed by the Monitor in consultation with the DOJ.
- 94. KCSO will work with the monitor to include as part of its commendation policy an award or commendation that recognizes employees who demonstrate exceptional skill in employing their CIT training in the field.

V. MANAGEMENT AND SUPERVISORY OVERSIGHT

- 95. KCSO will, in consultation with the Monitor and with the approval by the DOJ, continue to develop and implement policies, guidelines, and training to ensure all supervisors and managers, including those in the Detentions Bureau, (a) exercise appropriate supervisory oversight of use of force incidents and PREA incidents, (b) conduct objective and impartial investigations of those matters, (c) are held accountable for meeting agency standards and expectations, (d) engage with and listen to community feedback, (e) incorporate community feedback when able and appropriate, and (f) develop and evaluate policing strategies and tactics reflective of contemporary best police practices.
- 96. KCSO will, in consultation with the Monitor and approval by DOJ, also develop and implement mandatory supervisory training in accord with contemporary police practices that

will include techniques for effectively guiding and directing the actions of their subordinate personnel, promoting effective and constitutional police practices, and stressing the importance of de-escalating conflict situations whenever possible.

- 97. KCSO will, in consultation with the Monitor and approval by DOJ, develop specific metrics and guidelines for evaluating the effectiveness of the supervision of its deputies, and perform evaluations based on these metrics and guidelines on an annual basis. Based on its review of this practice, the Monitor and/or DOJ will make supplemental recommendations as to revisions to the metrics and guidelines to continue to improve the effectiveness of the supervision of KCSO's deputies.
- 98. All polices, metrics and guidelines will incorporate processes for internal or external reviews, audits, and/or continuous improvement loops in order to ensure the reforms are effective and sustainable.

VI. <u>LANGUAGE ACCESS</u>

- 99. KCSO agrees to effectively communicate with and provide timely and meaningful access to police services to all members of the Kern County community, regardless of their limited ability to speak, read, write, or understand English. To achieve this outcome, KCSO agrees to:
 - a. work and meaningfully engage with its CAP and community stakeholders to develop and implement a language access policy that is consistent with Title VI, to provide meaningful access to KCSO programs and services for individuals, including jail inmates, who have a limited ability to speak, read, write, or understand English;
 - b. jointly designate, with the County of Kern, a language access coordinator who will coordinate with KCSO and review KCSO's language access policy for compliance with applicable federal and California law;
 - c. provide training on its language access plan for all deputies, communication supervisors, call-takers, and dispatchers that addresses procedures consistent with KCSO policy for responding to calls requiring language access services.
- 100. KCSO will consult with the Monitor, DOJ and the language access coordinator to develop an audit protocol and will annually audit its plan, and report to the public, on its website, the

results of the audit. The audit will determine how KCSO manages language access services, whether the services align with community needs, and how these services compare with similar entities. KCSO shall annually report to the public, on its website, the results of the audit.

VII. RECRUITMENT, HIRING, AND PROMOTIONS

A. Recruitment of Sworn Personnel

- 101. To maintain high-level, quality service, ensure deputy safety and accountability, and promote constitutional, effective policing, KCSO and the Kern County Human Resources Division will review and revise as necessary its recruitment and hiring program to ensure that KCSO continues to successfully attract and hire a diverse group of qualified individuals, for both patrol and detentions.
- 102. According to the timeline specified in the Monitoring Plan, discussed in detail below, KCSO and the Kern County Human Resources Division will develop a written Recruitment Plan that includes clear goals, objectives, and action steps for attracting and retaining a quality work force that reflects the diversity of the Kern County community.
- 103. The Recruitment Plan will, at a minimum, require the following:
 - a. Broad distribution of recruitment information, including information regarding career opportunities, compensation, the testing and hiring process, and applicable deadlines and requirements. Such information will, at a minimum, be readily accessible on the KCSO and Kern County Human Resources Division websites and available upon request to KCSO or Kern officials;
 - b. That candidates continue to be allowed to submit initial applications online to the Kern County Human Resources Division;
 - c. Opportunities for deputies, civilians, and members of County government to continue to assist KCSO's efforts to attract a broad spectrum of qualified applicants;
 - d. Maintain the current minimum standards for recruits and lateral hires;
 - e. Recruitment outreach to a broad spectrum of community stakeholders, aimed at increasing the diversity of its ranks, including race and gender, and applicants who are

the Commander level or higher and the reason(s) shall be documented.

1 C. Promotions 2 109. Within 6 months of the Effective Date, KCSO and the Kern County Human Resources 3 Division shall develop and implement a promotion policy that is adequate to satisfy the 4 requirements of this section. 5 110. As part of the promotion policy, KCSO will continue to consider and expand upon the 6 following factors: 7 a. Effective use of community and problem-oriented policing strategies; 8 b. The number and circumstances of uses of force; 9 A deputy's service as an FTO or Field Training Sergeant; 10 d. Disciplinary record; 11 e. Problem-solving skills; 12 Interpersonal skills; f. 13 Supervisory skills sufficient to ensure compliance with KCSO policy and the 14 requirements of the Agreement; and 15 h. Support for departmental integrity measures. 16 111. The promotion policy shall be designed to continue to ensure promotional decisions are 17 made without favoritism or unlawful discrimination; increase transparency and deputy 18 awareness about the promotions process and promotions decisions, including, but not 19 limited to, identifying criteria for promotions; and incorporate enhanced strategies for 20 promoting qualified applicants who reflect a broad cross section of the Kern County 21 community. 22 112. The Kern County Human Resources Division and KCSO will report annually to the Board 23 of Supervisors, and to the public, on their websites, KCSO's promotional activities and 24 outcomes, including the number of applicants, interviewees, and selectees, broken down by 25 gender, race, ethnicity, and national origin. 26 113. The Kern County Human Resources Division KCSO shall evaluate the promotion plan 27 every two years, to assess KCSO's promotions processes for the ranks of senior deputy, 28 sergeant, lieutenant, and commander, to ensure that its policies and practices comply with

the law, are transparent, and are consistent with the Agreement. The assessment will include the senior deputy, sergeant, lieutenant, and commander promotions processes. The senior deputy, sergeant, lieutenant, and commander promotions assessment, at a minimum, will identify:

- a. The processes by which KCSO selects candidates for promotion to senior deputy, sergeant, lieutenant, and commander who possess a core set of competencies, characteristics, and capabilities and, when applicable, who are effective supervisors in compliance with KCSO policy and the Agreement;
- b. Methods for consideration of each candidate's work history, including disciplinary actions taken and commendations received, in the selection process;
- Department strategies for promoting qualified applicants who reflect a broad crosssection of the Kern County community;
- d. The frequency with which KCSO and the Kern County Human Resources Division should hold promotional exams;
- e. Opportunities to increase transparency and deputy awareness about the promotions
 process and promotions decisions, including, but not limited to, identifying criteria for
 promotions; and
- f. Recommendations for any modifications to the current promotions processes that would enable KCSO and the Kern County Human Resources Division to address the requirements of this section.
- 114. Within 60 days of the completion of the promotions assessment, KCSO and the County will develop an implementation plan to respond to any recommendations identified in the assessment, including any recommended modifications to the promotions processes and a timeline for implementation. Upon completion, the results of the assessment and its implementation plan will be provided to the Monitor for review and approval. Within 120 days of receiving the Monitor's approval, KCSO and the Kern County Human Resources Division will begin to implement the plan.

- 115. Within one year of the Effective Date, KCSO and the Kern County Human Resources

 Division will identify and publish, both internally and externally, for the ranks of senior
 deputy, sergeant, lieutenant, and commander, the duties, eligibility criteria, knowledge,
 skills, and abilities considered to select qualified candidates who are effective supervisors in
 compliance with County policy and this Agreement.
- 116. Within one year of the effective date, KCSO and the Kern County Human Resources

 Division will develop strategies to increase transparency and awareness about the

 promotions process for the ranks of senior deputy, sergeant, lieutenant, and commander,
 including, but not limited to, criteria for promotions and promotion decisions.

VIII. COMMUNITY POLICING

117. KCSO agrees to enhance, promote, and strengthen partnerships within the community, to engage constructively with the community to ensure collaborative problem-solving and biasfree policing, and to increase transparency and community confidence in KCSO. To achieve this outcome, KCSO agrees to implement the requirements set forth below. As noted above, KCSO also agrees to form and maintain a CAP, and to develop its use of force policies, community policing strategy and policies, bias-free policing policies, and civilian complaint policies with input from the CAP and other stakeholders within the community.

A. Community and Problem-Oriented Policing

- 118. KCSO agrees to broaden its efforts to actively participate in community engagement efforts, including participating in local community meetings, making itself available for community feedback, and working with the community on the development of diversion programs.

 KCSO agrees to enhance its engagement with all members of the community, including its critics. KCSO agrees to create additional easy points of access for community feedback and input, such as providing "community feedback" or "talk to your commander" links on its website and social media pages.
- 119. A variety of sworn personnel shall continue to actively attend community meetings and events. KCSO agrees to develop a plan for such attendance. The plan shall indicate the number and types of events to be attended on a regular basis and take into account the need

IX. PERSONNEL COMPLAINT REVIEW

128. KCSO will continue to ensure that all allegations of personnel misconduct are received and documented, are fully and impartially investigated, and that all personnel who commit misconduct are held accountable pursuant to a disciplinary system that is fair and consistent. To achieve these outcomes, KCSO and the County agree to implement the requirements below.

A. Complaint Intake

- 129. KCSO shall continue to make personnel complaint forms and informational materials, including brochures and posters, available at appropriate County or municipal properties in Kern County, including, at a minimum, KCSO stations, courts, county libraries, and the KCSO website and social media sites, and shall make a concerted effort to provide them to community groups, churches, and other non-governmental stakeholders.
- 130. KCSO will continue to accept all personnel complaints, including anonymous and third-party complaints, for review and investigation. Complaints may be made in writing or verbally, in person or by mail, telephone (or TDD), facsimile, or electronic mail, as well as in the field. Any LEP individual who wishes to file a complaint about a KCSO deputy or employee shall be provided with a complaint form and informational materials in the appropriate non-English language and/or be provided appropriate translation services in order to file a complaint.
- 131. The refusal to accept a personnel complaint, discouraging the filing of a complaint, or providing false or misleading information about filing a complaint, shall continue to be grounds for discipline, up to and including termination.
- 132. KCSO's citizen complaint policies and procedures will be amended to incorporate, at minimum, the best practices contained in the California Racial & Identity Profiling Advisory Board's 2019 Annual Report, at pages 41-44.
- 133. KCSO will make its complaint brochure that explains the complaint procedures available in Spanish or any other language that the County must provide to voters during an election.

139. In order to ensure that all personnel complaint investigations are thorough, fair, and resolved timely, KCSO will continue to designate its internal affairs division to serve as central coordinator and quality control hub for all personnel complaint intake, investigation and review processes, even for those cases not requiring a full administrative investigation.

KCSO will work with the monitor to enhance this process

C. Investigations

- 140. All investigations of KCSO personnel complaints, including reviews, shall continue to be as thorough as necessary to reach reliable and complete findings. In each investigation, KCSO shall continue to consider all relevant evidence, including circumstantial, direct, and physical evidence, as appropriate, and make credibility determinations based upon that evidence. There will continue to be no automatic preference for a deputy's statement over a non-deputy's statement, nor will KCSO disregard a witness' statement merely because the witness has some connection to the complainant or because of any criminal history. KCSO shall continue to make efforts to resolve material inconsistencies between witness statements. KCSO will work with the monitor to enhance this process
- 141. KCSO will continue to not permit any involved supervisor, any supervisor who authorized the conduct that led to the complaint, or any supervisor who has a conflict with the KCSO personnel involved to conduct that complaint investigation.
- 142. The misconduct investigator shall continue to seek to identify all persons at the scene giving rise to a misconduct allegation, including all KCSO deputies. The investigator shall note in the investigative report the identities of all deputies and other witnesses who were on the scene but assert they did not witness and were not involved in the incident. The investigator shall conduct further investigation of any such assertions that appear unsupported by the evidence.
- 143. All witnesses, including if authorized by the Public Safety Officers Procedural Bill of Rights Act (POBR) deputies witnessing or involved in an incident that becomes the subject of a personnel complaint, shall provide a written statement regarding their involvement in and/or observations of the incident, or be interviewed as described below.

used to track such employee actions.

complaint and allegation(s) therein are recorded accurately in the Department's database

27

2

7

11

12

10

1314

16

15

17 18

19

2021

22

2324

25

2627

28

151. KCSO agrees to provide updated and revised training to Kern County deputies and supervisors about proper complaint intake, classification, and investigation techniques.
KCSO will provide training about how to record complaints from individuals who may not be proficient in English, and the consequences for failing to properly take and objectively investigate complaints from the public.

- 152. All personnel involved in conducting personnel complaint investigations at KCSO shall receive initial training on conducting these misconduct investigations and shall receive refresher training each year. This training shall include instruction in:
 - a. investigative skills, including proper interrogation and interview techniques, gathering and objectively analyzing evidence, and data and case management;
 - b. the particular challenges of personnel complaint reviews/investigations, including identifying alleged misconduct that is not clearly stated in the complaint or that becomes apparent during the investigation, properly weighing credibility of both civilian witnesses and deputies, using objective evidence to resolve inconsistent statements, and the proper application of the preponderance of the evidence standard;
 - c. relevant state, local, and federal law, including state employment law related to deputies and the rights of public employees, as well as criminal discovery rules such as those set out in *Garrity v. New Jersey* (1967) 385 U.S. 493, *Lybarger v. City of Los Angeles* (1985) 40 Cal.3d 822, and *Brady v. Maryland* (1963) 373 U.S. 83; and
 - d. KCSO rules and policies, including the requirements of this Agreement, and protocols related to criminal and administrative investigations of alleged deputy misconduct.
- 153. All personnel responsible for the review of personnel complaint investigations at KCSO shall receive initial training on reviewing personnel complaint investigations and shall receive refresher training annually thereafter. The training shall include instruction in:
 - Ensuring that all witnesses and accused deputies are accounted for in the investigation and that they are asked about allegations they may have witnessed or in which they were allegedly involved;

necessary to carry out the terms of the agreement. The Monitor shall not, and is not intended

27

161. In order to assess and report on KCSO's implementation of this Agreement and whether implementation is resulting in constitutional policing, the Monitor shall conduct compliance reviews, audits, and outcome assessments as specified below, and such additional audits, reviews, and assessments that the Monitor or Parties deem appropriate.

B. Compliance Reviews and Audits

- 162. The Monitor shall conduct compliance reviews or audits as necessary to determine whether KCSO has implemented and continues to comply with the Material Requirements of this Agreement. A "Material Requirement" in this Agreement is a requirement of the Agreement that has a significant relationship to achieving the purposes of this Agreement.
- 163. To achieve "Full and Effective Compliance" under this Agreement, the County and KCSO must demonstrate that they have (a) incorporated all Material Requirements of this Agreement into policy, (b) trained relevant personnel as necessary to fulfill their responsibilities pursuant to the Material Requirements, and (c) ensured that each Material Requirement is being carried out in practice. No specific numerical test shall be required to demonstrate Full and Effective Compliance, so long as KCSO is demonstrating substantial compliance and adherence with the Material Requirements, continual improvement, and the overall purpose of the Material Requirements has been met. Non-compliance with mere technicalities, or temporary or isolated failure to comply during a period of otherwise sustained compliance, will not constitute failure to achieve or maintain Full and Effective Compliance. At the same time, temporary compliance during a period of otherwise sustained noncompliance will not constitute compliance with this Agreement.
- 164. Compliance reviews and audits will contain both qualitative and quantitative elements as necessary for reliability and comprehensiveness. Where appropriate, the Monitor will make use of audits conducted by KCSO's Professional Standards Unit, taking into account the importance of internal auditing capacity and independent assessment of this agreement.
- 165. Where the Monitor recommends and the Parties agree, the Monitor may refrain from conducting a compliance audit or review of a requirement previously and consistently found to be in compliance by the Monitor pursuant to audit or review. Thereafter, KCSO and/or

- 171. If after good faith attempts, disagreement regarding the Monitoring Plan remains unresolved between the Parties and/or Monitor so that the Monitoring Plan is not approved by the Parties, and the disagreement remains unresolved, the Monitor will make the final determination.
- 172. For each subsequent year, the Monitor will develop a detailed Monitoring Plan for implementation of the Agreement.
- 173. At least 30 days prior to the initiation of any outcome measure assessment or compliance review, the Monitor shall submit a proposed methodology for the assessment or review to the Parties. The Parties shall submit any comments or concerns they have regarding the proposed methodology to the Monitor within 15 days of receipt of the Monitor's notification. The Monitor shall modify the methodology as necessary to address any concerns, or shall inform the Parties in writing of the reasons they are not modifying the methodology as proposed.

Development of Policies, Procedures, and Training

- 174. KCSO will submit policies, training curricula, and lesson plans required to be written, revised, or maintained by the Agreement to the Monitor and DOJ prior to publication and implementation. The Parties will share draft policies and meet as needed to reach agreement on whether revised policies and training materials are in compliance with the requirements of the Agreement, the Constitution, federal and statutory law, best practices, and current professional standards.
- 175. Forty-five days before a compliance deadline, as set out in the Monitoring Plan, the Parties will submit the policy, training curriculum or lesson plan to the Monitor for review. The Monitor will provide written comments to DOJ and KCSO, which the DOJ shall consider in determining whether to approve the policy, training curriculum, and lesson plan.
- 176. If KCSO, DOJ, and the Monitor do not all agree that the policy, training curriculum or lesson plan is consistent with this Agreement, legal requirements, and best practices, either Party or the Monitor will provide the rationale for its objection in writing and the Parties and

training attendance.

E. Monitor Recommendations and Assessments

- 182. The Monitor may also make recommendations to the Parties regarding measures necessary to ensure timely, Full and Effective Compliance with the Agreement and its underlying objectives. Such recommendations may include a recommendation to change, modify, or amend a provision of the Agreement, a recommendation for additional training related to the Agreement, or a recommendation to seek technical assistance.
- 183. The Monitor may also, at the request of either Party, provide technical assistance consistent with the Agreement.
- 184. The Monitor shall conduct a comprehensive assessment one year after the Effective Date to determine whether and to what extent: (1) the outcomes intended by the Agreement have been achieved, and (2) any modifications to the Agreement are necessary for continued achievement in light of changed circumstances or unanticipated impact (or lack of impact) of a requirement. Based upon this comprehensive assessment, the Monitor shall recommend what modifications to the Agreement, if any, are necessary to achieve and sustain intended outcomes. Where the Parties agree with the Monitor's recommendations, the Parties shall work to adopt mutually acceptable modifications of the Agreement. KCSO will have the option to delay this comprehensive assessment for one additional year if they deem this to be the appropriate time period for the comprehensive assessment. If KCSO decides to seek this delay of the comprehensive assessment, they will advise the Monitor and DOJ within six months of the effective date of this Agreement.

F. Monitor Reports

- 185. The Monitor will issue to DOJ and KCSO a report every year that details the Parties' progress in implementing the Agreement and achieving compliance with the Agreement.

 The reports will include:
 - a. a description of the work conducted by the Monitor during the reporting period;
 - b. a listing of each Agreement requirement indicating which requirements have been: (1) incorporated into policy; (2) the subject of sufficient training for all relevant KCSO deputies and employees; (3) reviewed or audited by the Monitor to determine whether

to making any press statement regarding their employment or monitoring activities under this Agreement, the Monitor shall first provide notice to both the DOJ and KCSO and obtain prior authorization from DOJ.

G. Public Statements, Testimony, and Conflicts of Interest

- 189. The Monitor may testify as to their observations, findings, and recommendations before the Court with jurisdiction over this matter; however, no Monitor shall testify in any other litigation or proceeding with regard to any act or omission of KCSO or any of its agents, representatives, or employees related to this Agreement or regarding any matter or subject that the Monitor may have received knowledge of as a result of his or her performance under this Agreement. This paragraph does not apply to any proceeding before a court related to performance of contracts or subcontracts for Monitoring this Agreement.
- 190. Unless such conflict is waived by the Parties, the Monitor shall not accept employment or provide consulting services that would present a conflict of interest with the Monitor's responsibilities under this Agreement, including being retained (on a paid or unpaid basis) by any current or future litigant or claimant, or such litigant's or claimant's attorney, in connection with a claim or suit against KCSO, the County, or its departments, deputies, agents, or employees. This provision does not preclude the Monitor from being retained by DOJ on other matters unrelated to KCSO.
- 191. The Monitor is not a state or local agency, or an agent thereof, and accordingly the records maintained by the Monitor shall not be deemed public records subject to public inspection.
- 192. The Monitor shall not be liable for any claim, lawsuit, or demand arising out of the Monitor's performance pursuant to this Agreement.

H. Communication Between Monitor and Parties

193. The Monitor will maintain regular contact with the Parties in order to ensure effective and timely communication regarding the status of the KCSO's implementation of, and compliance with, the Agreement. To facilitate this communication, the Monitor will conduct meetings every two months, or as needed, which will include participation by KCSO, representatives of the County Counsel's office, and DOJ.

I. Access and Confidentiality

- 194. To facilitate its work, the Monitor may conduct on-site visits and assessments without prior notice to the County or KCSO. The Monitor shall have access to all necessary individuals, facilities, and documents, which shall include access to Agreement-related trainings, meetings, and reviews such as critical incident reviews, executive force review committee meetings, and disciplinary hearings.
- 195. The County or KCSO shall provide the Monitor with office space and reasonable office support, such as office furniture, secure internet access, telephone, secure document storage, and photocopying, faxing, and scanning equipment, that the Monitor may require while in Kern County.
- 196. KCSO shall ensure that the Monitor shall have full and direct access to all County and KCSO staff, employees, and facilities that the Monitor reasonably deems necessary to carry out the duties assigned to the Monitor by this Agreement. The Monitor shall cooperate with the County and KCSO to access people and facilities in a reasonable manner that, consistent with the Monitor's responsibilities, minimizes interference with daily operations.
- 197. KCSO shall ensure that the Monitor shall have full and direct access to all KCSO documents and data that the Monitor reasonably deems necessary to carry out the duties assigned to the Monitor by this Agreement, except any documents or data protected by the attorney-client privilege. The attorney-client privilege may not be used to prevent the Monitor from observing reviews, meetings, and trainings such as use of force review boards; disciplinary hearings; or discussions of misconduct complaint investigations. Should KCSO decline to provide the Monitor access to documents or data based on attorney-client privilege, KCSO shall inform the Monitor and DOJ that it is withholding documents or data on this basis and shall provide the Monitor and DOJ with a log describing the documents or data.
- 198. For the purpose of implementing this Agreement, DOJ and its consultative experts and agents shall have full and direct access to all KCSO staff, employees, facilities, and documents and data who have pertinent information about KCSO. DOJ and its consultative experts and agents shall cooperate with KCSO to access involved personnel, facilities, and

material to the Monitor and DOJ, as needed;

2728

26

b. facilitate the provision of data, documents, and other access to KCSO employees, and

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

5

K. Monitor Budget and Payment

Agreement.

and

203. The County shall bear all fees and costs of the Monitor. In approving budgets, the Parties recognize the importance of ensuring that all fees and costs borne by the County are reasonable. The Parties shall work with the Monitor to reach mutually agreed upon reasonable limits on the Monitor's fees and costs. Within 10 days of entry of judgment, Kern County shall deposit with the California Department of Justice the sum of \$250,000, which shall be held in an interest-bearing account. The Department of Justice shall pay the Monitor from this account. The Attorney General shall notify County any time the balance in said account reaches less than \$50,000, and County shall, within 10 days of receiving such notice, deliver to the California Department of Justice sufficient funds to return the account's balance to \$250,000. When the Agreement has been terminated, all funds remaining in the account shall be returned to County.

ensure that all documents and records are maintained as provided in the Agreement;

d. assist in assigning compliance tasks to KCSO personnel, as directed by the Sheriff or

his designee. The Compliance Coordinator will take primary responsibility for

collecting the information the Monitor requires to carry out the terms of the

204. Within 30 days of appointment, the Monitor shall submit to the Parties for approval a proposed budget for the first year of implementation of the Agreement. The proposed budget will describe the qualifications of all the persons or entities to be hired or employed by the Monitor as well as the Monitoring tasks that they will perform. The Monitor, at any time after their appointment, may request to be allowed to hire, employ, or contact such additional persons or entities as are reasonably necessary to perform the tasks assigned to the Monitor by the Agreement provided that those expenditures fall within the approved budget. The Monitor will notify the County and DOJ in writing if the Monitor wishes to select such additional persons or entities. The notice will identify and describe the qualifications of the person or entity to be hired or employed and the Monitoring task to be

performed. The County and DOJ must both approve of the person or entity before they may be hired or employed, although substantial deference will be afforded to the Monitor's choice. Any person or entity hired or otherwise retained by the Monitor will be subject to the provisions of the Agreement.

- 205. Thereafter, the Monitor shall submit annually a proposed budget for the Parties' approval in accordance with the process set forth above. Notwithstanding any other provision of this Judgment, the County shall not be responsible for any costs of the Monitor or related activities that exceed the approved budget.
- 206. At any time, the Monitor may submit to the Parties for approval proposed revisions to the approved budget, along with any explanation of the reason for the proposed revision. Such proposed changes may only be made upon written agreement by the Parties. In the event that a dispute arises regarding the reasonableness or payment of the Monitor's fees and costs, the Parties and the Monitor shall attempt to resolve such dispute cooperatively prior to seeking the assistance of the Court to resolve the dispute.
- 207. In the event that the Monitor is no longer able to perform their functions, within 60 days thereof, the County and DOJ will together select and advise the Court of the selection of a replacement Monitor, acceptable to both. The Parties' selection of the Monitor will be made pursuant to a method jointly established by DOJ and the County. If the Parties are unable to agree on a Monitor or an alternative method of selection within 60 days of the Monitor's incapacitation, each Party will submit the names of three candidates, or three groups of candidates, along with resumes and cost proposals, to the Court, and the Court will select and appoint the Monitor from among the qualified candidates/candidate groups.
- 208. Should either of the Parties to the Agreement determine that the Monitor or any member of the Monitor's consulting teams, their agents, employees, or independent contractors have exceeded their authority or failed to satisfactorily perform the duties required by the Agreement, the Party may petition the Court for such relief as the Court deems appropriate, including replacement of the Monitor, and/or any individual members, agents, employees, or independent contractors. Any Party bringing such a petition is required to meet and confer

with the other Party at least 21 days prior to such a petition in a good faith attempt to resolve the concern.

XII. COURT JURISDICTION, MODIFICATION OF THE AGREEMENT, AND ENFORCEMENT

- 209. The Parties agree jointly to file this Agreement with the Superior Court of the State of California, County of Kern, in the matter to be captioned *People of the State of California*, ex re. Xavier Becerra, Attorney General of the State of California v. Kern County and Kern County Sheriff's Office, Kern County Superior Court Case No. _______, and stipulate to entry of judgment on this Agreement. The stipulation shall request that the Court enter the Agreement, and conditionally dismiss the complaint in this action without prejudice, while retaining jurisdiction to enforce the Agreement. The stipulation shall further request that this action be removed from the Court's active caseload until further application by the Parties or order of the Court. The Parties will request that the Court retain jurisdiction over this action and that the Court's conditional dismissal will not prejudice any party to the action.
- 210. This Agreement resolves all of the State of California's claims under the state and federal constitutions and Civil Code section 52.3 against KCSO and the County. No prior drafts or prior contemporaneous communications, oral or written, will be relevant or admissible for the purposes of determining the meaning of any provisions herein in any litigation or other proceeding.
- 211. The Agreement is binding upon all Parties hereto, by and through their officials, agents, employees, and successors. If the County establishes or reorganizes a government agency or entity whose function includes overseeing, regulating, accrediting, investigating, or otherwise reviewing the operations of KCSO or any aspect thereof, the County agrees to ensure these functions and entities are consistent with the terms of the Agreement and will incorporate the terms of the Agreement into the oversight, regulatory, accreditation,

investigation, or review functions of the government agency or entity as necessary to ensure consistency.

- 212. The Agreement is enforceable only by the Parties. No person or entity is intended to be a third-party beneficiary of the provisions of the Agreement for purposes of any civil, criminal, or administrative action, and accordingly, no person or entity may assert any claim or right as a beneficiary or protected class under the Agreement. The County and KCSO deny the allegations in the Complaint. Nothing in this Agreement is intended to be used by third parties to create liability by or against the County or KCSO or any of their officials, officers, agents or employees under any federal, state, or municipal law, including 42 United States Code section 1983.
- 213. Unless stated otherwise in the Agreement, if either party disagrees with any aspect of the implementation of the Agreement, that party will engage in good faith informal consultation with the other party and the Monitor to attempt to resolve the disagreement. If the disagreement persists, that party will, within 10 days of the apparent impasse, inform the other Parties and the Monitor in writing of the fact of the disagreement. Within 21 days thereafter, the Parties will meet and confer on the disagreement at a mutually agreeable time. If necessary, any party may petition the Court thereafter to resolve the dispute pursuant to the provisions below.
- 214. To ensure that the requirements of the Agreement are properly and timely implemented, the Court will retain jurisdiction of this action for all purposes, including but not limited to any disputed changes to policies, procedures, training, and practices, until such time as the County has achieved Full and Effective Compliance with the Material Requirements of the Agreement, and maintained such compliance for no less than one year.
- 215. The State of California acknowledges the good faith of the County and KCSO in trying to address the measures that will ensure constitutional policing in Kern County. The State of California, however, reserves its right to seek enforcement of the provisions of the Agreement if it determines that the County and/or KCSO have failed to fully comply with

- any provision of this Agreement. The State of California agrees to consult with officials from the County and KCSO before commencing enforcement proceedings.
- 216. The Monitor, County, and DOJ may jointly stipulate to make changes, modifications, and amendments to the Agreement. Such changes, modifications, and amendments to the Agreement will be encouraged when the Parties agree, or where the reviews, assessments, and/or audits of the Monitor demonstrate, that an Agreement provision as drafted is not furthering the purpose of the Agreement or that there is a preferable alternative that will achieve the same purpose. The Parties may jointly move for approval of any proposed changes, modifications, and/or amendments, which will become effective upon approval by the Court. No change, modification, or amendment to the Agreement will have any force or effect if not set forth in writing, signed by all the Parties to the Agreement, and approved by the Court.
- 217. The Parties agree to defend the provisions of this Agreement. The Parties shall notify each other of any court or administrative challenge to this Agreement.
- 218. Nothing in this Agreement is intended to: (a) alter the existing collective bargaining agreements; or (b) impair the collective bargaining rights of employees under State and local law. Nothing in this Agreement is intended to amend or supersede any provision of State or local law.
- 219. All Parties agree that, as of the Effective Date of this Agreement, litigation is not "reasonably foreseeable" concerning the matters described in this Agreement. To the extent that either Party previously implemented a litigation hold to preserve documents, electronically stored information, or things related to the matters described in this Agreement, the Party is no longer required to maintain such a litigation hold.
- 220. The Attorney General's Office may make reasonable requests to KCSO for additional information demonstrating its compliance with any provision(s) of this Judgment. KCSO

- shall furnish such information within 30 days after the request is made, unless another date is agreed upon in writing.
- 221. Jurisdiction is retained by the Court to enforce the Judgment for a period of five years, unless that time is extended pursuant to paragraph 223 below, or reduced pursuant to paragraph 229 below, for the purpose of enabling any party to the Judgment to apply to the Court at any time for such further orders and directions as may be necessary or appropriate for the construction or the carrying out of this Judgment, for the modification of any of the injunctive provisions hereof, for enforcement of compliance herewith, and for the punishment of violations hereof, if any.
- 222. This Judgment shall take effect immediately upon entry thereof and service by mail of "Notice of Entry of Judgment" upon all parties, through their counsel of record.
- 223. Any time limits for performance imposed by the Judgment may be extended by the mutual agreement, in writing, of DOJ, KCSO, and the County, and/or by order of the Court for good cause shown by any Party.
- 224. Nothing in this Judgment alters the requirements of federal or state law to the extent these laws may currently, or upon future amendment will, offer greater protection.
- 225. Nothing in this Judgment limits the powers vested in the Attorney General by the California Constitution and state statutory law, including Government Code section 11180 et seq., to oversee or enforce any California laws or regulations, whether addressed in this Judgment or not. The Attorney General may utilize these powers to monitor the County's and KCSO's compliance with the terms of the Judgment, or to address distinct and unrelated investigation or enforcement of the laws of the State of California. Nothing in this Judgment shall abrogate the confidentiality of any materials or information obtained by DOJ during its investigation of KCSO, except as provided by law.
- 226. The injunctive provisions of this Judgment shall apply to the County and KCSO, as well as their successors, directors, officers, employees, agents, independent contractors, partners,

9 10

11

12 13

15 16

14

17

18 19

20

21

22

23

24

25 26

27

28

associates, and representatives of each of them with respect to their activities in the State of California.

227. The clerk is ordered to enter this Judgment forthwith.

XIII. TERMINATION OF THE AGREEMENT

- 228. The Parties anticipate that KCSO and the County can reach Full and Effective Compliance with the Material Requirements of this Agreement within five years of the Effective Date.
- 229. The Parties may jointly petition the Court to terminate this Agreement at any time after three years of the Effective Date if the Parties believe that KCSO has reached Full and Effective Compliance with the Material Requirements of this Agreement, and has maintained that compliance for one year. If, at any time after three years from the Effective Date, the Parties disagree about whether KCSO has been Full and Effective Compliance for one year, either party may seek to terminate the Agreement, by petitioning the court for an order terminating the Agreement. In the case of termination sought by the County or KCSO, prior to filing a motion to terminate, the County and KCSO agree to notify DOJ in writing when the County or KCSO has determined that KCSO is in Full and Effective Compliance with this Agreement, and that such compliance has been maintained for no less than one year. Thereafter, the Parties shall promptly confer as to the status of compliance. The Monitor will certify whether he or she agrees that the County and KCSO are in Full and Effective Compliance with the Material Requirements of this Agreement, or portions of the Agreement, for at least one year, at the time of the notification. No later than 21 days thereafter, the Parties will meet and confer at a mutually agreeable time as to the status of compliance. If, after a reasonable period of consultation and the completion of any additional audit or evaluation that DOJ and/or the Monitor may wish to undertake, including on-site observations, document review, or interviews with the County and KCSO personnel, the Parties cannot resolve any compliance issues, the County and/or KCSO may file a petition to terminate the Agreement. The Monitor's certification shall be admissible at the hearing on said petition. At all times, KCSO shall bear the burden of demonstrating Full

1	and Effective Compliance with the Material Requirements of this Agreement.
2	IT IS SO STIPULATED.
3	Respectfully submitted this <u>18th</u> day of December 2020.
4	For the STATE OF CALIFORNIA: Xavier Becerra
5	Attorney General of the State of California Michael L. Newman Senior Assistant Attorney General
6	Nancy A. Beninati Supervising Deputy Attorney General
7	Marisol León Deputy Attorney General
8	
9	By: anthony Seferice
10	Anthony V. Seferian Deputy Attorney General
11	Attorneys for Plaintiff, The People of the State of California
12	
13	For the COUNTY OF KERN and the KERN COUNTY SHERIFFS OFFICE:
14	
15	By: Marro araison
16	Margo A. Raison County Counsel
17	Office of the Kern County Counsel
18	
19	By:
20	Donny Youngblood Kern County Sheriff
21	
23	IT IS HEREBY ORDERED, ADJUDGED AND DECREED.
24	
25	
26	DATE:
27	JUDGE OF THE SUPERIOR COURT
28	